

***United States Court of Appeals
for the Second Circuit***



EXHIBITS

75-1239

B
P/S

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT

Docket No. 75-1239

UNITED STATES OF AMERICA,

Plaintiff- Appellee,

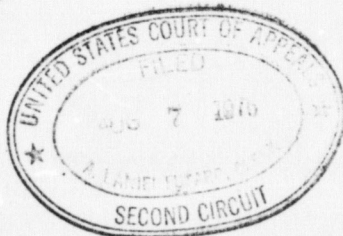
v.

ROBERT WORTHINGTON,

Defendant-Appellant.

ON APPEAL FROM THE UNITED STATES DISTRICT
COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

EXHIBIT APPENDIX



DAVIS J. STOLZAR
Attorney for Defendant-Appellant

600 Madison Avenue

New York, New York 10022

USA 33s-475
(ED. 4-23-71)

GOVT.
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

1 10

PAGINATION AS IN ORIGINAL COPY

CDC CLEARED _____ (DATE)
CDC OPER. NO. _____
BY _____



BANKERS TRUST COMPANY
NEW YORK

Branch Review _____

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME R. THEODORE WORTHINGTON
ADDRESS TO BE USED (Street Address) 33 DAVENPORT ST. APT. NO. _____
CITY & STATE NEW ROCHELLE, N.Y. ZIP CODE 10885

18039958

ACCOUNT NUMBER

DAY DUE

TYPE

OFFICE OR DEALER
0421

INTERVIEWER

SPECIAL INSTRUCTIONS

RECEIVED

Date 10/1/73

Time

By W.C. PEELE AM

Amount \$8,028-
Term 36 x 223⁰⁰

APPROVAL

B.T.Co. Liability of All Liable Entities Direct and Contingent Including This Loan

Secured _____

Unsecured _____

Name _____

Initial _____

Date _____

FOR USE OF DISCOUNT SECTION

NO. OF PAYMENTS

MONTHLY PAYMENT

ODD PAY'T IF ANY

FIRST PAY'T DUE

PURPOSE OF LOAN

DATE OF DISCOUNT

AUTO CODE

DIP

FOR USE OF DISCOUNT SECTION

L. I. Code

Fed. Code

Odd Pay't

Br. App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0 Yes = 1

Amount of Loan \$ _____

Less — Discount @ _____ % \$ _____

Filing Fees \$ _____

Credit Account No. \$ _____

Life Insurance \$ _____

Total Deductions \$ _____

Net Proceeds \$ _____

Adjustment \$ _____

Total Proceeds \$ _____

Disburse as Follows

10/1/73 Phila - (Do not use)
Key name, sample of
10885

TYPED

INDEXED

COMPUTED

NCR

DISBURSED

Name

D & B Rating

N/L

HOME TELEPHONE

BUSINESS TELEPHONE

Verified By

☐ T.D.☐ A.T.D.☒ Info.Not Verified
Listed as:

914 -

Verified By

☐ T.D.☐ A.T.D.☒ Info.Not Verified
Listed as:

682-5814

EMPLOYMENT

Our Experience

Position

Answering machine

Branch

Date & Account No.

Original Amount

Balance

Experience

Length of Employment

mail only -

No. Deps.

App

Salary \$

520 per

54000 -

App

Home Address

☐ Verified
☐ No. info.

Indicated as:

C/M

Informant

Title or Position

Previous

High Point

Exp.

Verified By

Bank

Living Trust Co.

Branch

39th St

Tel. No.

922-7893

A/C I.N.O.

F. Theodore Washington

A/C Since

9/4/73

Average Balances \$

Authorized Signatures

Reg. ching

Accommodations—Type

High Point \$

Owe \$

Experience

Remarks

N.B.

Informant

Miss Glass

at 10/14/73

CO-MAKER

Name

NO 2 P.O.B.

D & B Rating

HOME TELEPHONE

BUSINESS TELEPHONE

Verified By

☐ T.D.☐ A.T.D.☐ Info.Not Verified
Listed as:

Verified By

☐ T.D.☐ A.T.D.☒ Info.Not Verified
Listed as:

215-985-1414

EMPLOYMENT

Our Experience

Position

no record Employee

Branch

Date & Account No.

Original Amount

Balance

Experience

Length of Employment

bookkeeper says she has

No. Deps.

App

Salary \$

per

had several calls about

App

Home Address

☐ Verified
☐ No. info.

Indicated as:

this person.

C/M

Informant

Vera Watt

Title or Position

Bookkeeper

Previous

High Point

Exp.

Verified By

Bank

Branch

Tel. No.

A/C I.N.O.

A/C Since

Average Balances \$

Authorized Signatures

Accommodations—Type

High Point \$

Owe \$

Experience

Remarks

Informant

AGENCIES

M. C. B.

P. C.

Litigation

Intcty

Folder

MORTGAGES

Mtgee.

Phone No.

Title N/O

Date of Mtge.

Original Amt.

Present Bal.

Payments

Taxes & ins. incl.?

Paying record

Informant

Investigated By

A. JOHNSON

Initials

Date

09/13

For Use of Installment Loan Operations Only: . . .

Exceptions:

KEYPUNCHED BY:

VERIFIED BY:

DATE BOOKED:

1973 OCT 32 PM 9:37
ST. LOUIS, MISSOURI

USA 33a-475
(ED. 4-23-71)

GOVT.
EXHIBIT

**U. S. DIST. COURT
S. D. OF N. Y.**

1A

~~1B~~



BANKERS TRUST COMPANY

INSTALLMENT LOAN APPLICATION

TOTAL LOAN \$ 6800	NO. OF MONTHS 76	MONTHLY PAYMENT \$	DISCOUNT PER \$100 PER ANNUM \$
PURPOSE OF LOAN Auto loan			

NAME AND ADDRESS

<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST R Theodore	FIRST Washington	MIDDLE INITIAL	DATE OF BIRTH 6/8/45	<input type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE —
PRESENT HOME ADDRESS	NUMBER AND STREET 35 Davenport St	CITY OR TOWN New Rochelle	STATE NY	APT. NO. C/O JESSE	ZIP 10805	YEARS THERE 3	
LAST HOME ADDRESS	NUMBER AND STREET MOORE TOWN	CITY OR TOWN PA	STATE	APT. NO.	ZIP	YEARS THERE 15	
SOCIAL SECURITY NUMBER 10148205	AUTO <input type="checkbox"/> NO OWNED <input type="checkbox"/> YES	MAKE AND YEAR Comp CAR	DRAFT STATUS JET	HOME TELEPHONE 235 4880	NO DEPENDENTS (Excluding Self) ONE		
NEAREST RELATIVE (Not living with you)	NAME PAUL MURLE	ADDRESS Riverside Dr.	RELATIONSHIP Sister				

APPLICANT'S EMPLOYMENT

NAME OF COMPANY American planning Corp	TELEPHONE MULTIPLY FIVE	POSITION Asst	EMPLOYEE OR BADGE NUMBER	SALARY \$3600 Mo Week
ADDRESS OF COMPANY	NUMBER AND STREET 520 5th Ave	CITY OR TOWN New York N.Y.	STATE NY	ZIP 10017
OTHER EMPLOYMENT 2nd	NAME OF COMPANY Brook St. Hospital	ADDRESS Brook & Frtgn. Phd. PA	YEARS THERE 5	
OTHER INCOME	<input type="checkbox"/> RENTAL <input type="checkbox"/> DIVIDEND <input type="checkbox"/> PENSION <input type="checkbox"/> OTHER (Explain)	Hosp Admin, MR JOE HANE, 215 18th St, 505 18th St, 215 18th St		

SPOUSE'S EMPLOYMENT

NAME OF COMPANY —	TELEPHONE —	POSITION —	EMPLOYEE OR BADGE NUMBER —	SALARY \$ — Mo Week
ADDRESS OF COMPANY	NUMBER AND STREET —	CITY OR TOWN —	STATE —	ZIP —
YEARS THERE —				

BANK AND RESIDENCE

RESIDENCE <input checked="" type="checkbox"/> RENT <input type="checkbox"/> OWN	COST \$	MORTGAGEE	MORTGAGE BALANCE \$	MONTHLY RENT OR MORTGAGE PAYMENT \$ 340.00
BANK ACCOUNT <input type="checkbox"/> REG. CHECKING <input type="checkbox"/> SPECIAL CHECKING <input type="checkbox"/> SAVINGS	NAME OF BANK DIME SAVINGS BANK	ADDRESS 5th Ave	ACCOUNT NUMBERS	
TRADING TRUST COMP REG CAR				

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
TRADING TRUST COMPANY	Mt 279th	\$ 5000	\$ 4200	\$ 220
CARTE PLUMBING		\$ - 0 -	\$ - 0 -	\$ - - -
—		\$	\$	\$
—		\$	\$	\$
—		\$	\$	\$
—		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> CHECK GUARANTEE <input type="checkbox"/> CHECK CREDIT	AMOUNT OF LINE \$	FOR BANK USE

Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you? ☐ YES If yes, explain on separate sheet ☒ NO

CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:

MAKE CHECK PAYABLE TO ME AND/OR:

BRITCHESTER Lincoln - WERC REC

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agency) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purpose, or the purchase of a cooperative apartment, and is repayable in more than 57 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:
☐ HOME
☐ BUSINESS

I WOULD LIKE TO MAKE PAYMENTS ON THE DAY OF THE MONTH

SIGNATURE OF APPLICANT

R Theodore Washington

DATE
7/1/72

TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE

440 WEST
PARKS RD. EAST. N.Y.
PHONE 914 779 2000

Name & Address of Dealer EASTCHESTER LINCOLN-MERC, INC

Make (1973) Lincoln Year 1973 Model _____ Purchase Price \$ (2,700)

Serial No. 3489A90 6395 Motor No. _____

Trade In (if none state "none") - 0 - Make - 0 - Year - - - Allowance \$ _____

FOR BANK USE ONLY

Open on installment loan at present _____ A/C No. _____

_____ A/C No. _____

Comments: _____

Source: _____

Office: _____

By _____

F1277 E
ABC 7-69

SECURITY AGREEMENT

(CHATTEL MORTGAGE)

USA 33a - 475
(ED. 4-23-71)

KNOW YE ALL MEN BY THESE PRESENTS that the undersigned, _____

(if applicable, complete the following: otherwise disregard)

(Check One)

☐ a corporation organized and existing

☐ a partnership formed _____

under the laws of the State of _____ (hereinafter, whether one or more) for VALUABLE CONSIDERATION and to secure the payment of an indebtedness evidenced by a TRUST COMPANY, 16 Wall Street, New York (hereinafter called the "Bank") in the amount of _____

(\$ _____), dated _____ 19____ (said promissory note being herein called the "Note"), and any extensions

and renewals thereof, and any and all other liabilities, direct or contingent, joint, several or independent, of Debtor now or hereafter existing, secured or unsecured, due or to become due to, or held or to be held by, the Bank, does hereby grant to the Bank a security interest in the goods listed below, together with all equipment, parts, attachments, accessories, additions and all replacements thereof or thereof now or hereafter attached to, placed upon or used in connection with the said goods (all herein collectively called the "Collateral"); provided that if Debtor shall pay the indebtedness evidenced by the Note in accordance with the terms thereof, which terms are made part hereof as though herein fully set forth at length, or any renewals thereof, and any and all of said other liabilities of Debtor to the Bank, and shall comply with all things required of Debtor by this agreement, then this instrument shall be void, otherwise to remain in full force and effect.

(DESCRIPTION OF COLLATERAL)

(if this agreement covers a motor vehicle fill in under the following headings; otherwise disregard headings)

YEAR	MAKE	MODEL	SERIAL NO.	MOTOR NO.
------	------	-------	------------	-----------

If this agreement is signed by two or more parties, as Debtor, they shall be jointly and severally liable hereunder and the agreements, covenants, representations and warranties herein contained shall be binding upon each and all of them and upon their respective heirs, legal representatives, successors and assigns.

Debtor hereby warrants, represents and agrees: 1) that the above described Collateral is, or will be (if being purchased with the proceeds of the Note), lawfully owned by Debtor, free and clear of all other liens, encumbrances and security interests, and Debtor will warrant and defend title to the same against the claims and demands of all persons; 2) that Debtor has not granted, and will not grant, to anyone other than the Bank, any security interest in the Collateral and no Financing Statement or other instrument affecting the Collateral, nor rights therein, bearing the signature of, or otherwise authorized by, Debtor, is on file in any public filing office; 3) that the Collateral is and shall be retained in Debtor's possession at _____;

4) that the Collateral is, or will be, used primarily for the purpose checked below:

☐ business

☐ personal, family or household

☐ farming operations;

5) that if the Collateral is, or is to become, attached to real estate a description of the real estate is as follows:

Block	Section	Lot	Street Address	City	County	State
_____ and the name of the record owner thereof is _____						

but regardless of the manner of its affixation to such real estate, the Collateral shall at all times remain personal property; if Debtor is the fee-owner of the real estate, Debtor waives any and all claims for reimbursement for any damage or injury to the real estate resulting from the repossession and removal of the Collateral by the Bank in the event of any default hereunder; or that if the Collateral is attached to real estate before the Bank's security interest therein is perfected and the Collateral is or may become subject to a prior interest in favor of any person having an interest in the real estate, Debtor, on demand of the Bank, will furnish the Bank with a writing by which any and all persons having such prior interests subordinate or disclaim their rights and priorities to, or in favor of, the Bank's security interest provided herein; 6) that the addresses of Debtor's residence or place or places of business, if any, are those shown opposite Debtor's signature below; and 7) that Debtor will give the Bank prompt written notice of removal from, discontinuance of, or change in, the business or residence address(es) shown below.

THIS AGREEMENT IS MADE AND ENTERED INTO SUBJECT TO THE ADDITIONAL TERMS AND CONDITIONS PRINTED ON THE REVERSE SIDE HEREOF, ALL OF WHICH HAVE BEEN READ BY DEBTOR AND ARE HEREBY MADE A PART OF THIS INSTRUMENT.

This agreement may not be changed, modified or discharged, in whole or in part, and no right or remedy of the Bank hereunder or under the Note or as a secured party under the Uniform Commercial Code may be waived by the Bank unless such change, modification, discharge or waiver is in writing and signed on behalf of the Bank by one of its duly authorized officers.

The Bank is hereby authorized and empowered to date this agreement and to fill in blank spaces in accordance with the actual terms of the related transaction.

The rights and benefits of the Bank hereunder shall inure to the benefit of its successors and assigns.

This agreement and the rights and obligations of the Bank and Debtor hereunder shall be governed by and construed in accordance with the law of the State of New York.

IN WITNESS WHEREOF, Debtor has executed this agreement or has caused these presents to be executed and delivered by its proper corporate officer or officers and caused its proper corporate seal to be hereto affixed, this _____ day of _____, 19____

SECURITY AGREEMENT

DEBTOR:

[Signature] Individual Signature *[Signature]* Residence

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

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DEBTOR HEREBY FURTHER WARRANTS, REPRESENTS AND AGREES to and with the Bank: that, at Debtor's own expense, Debtor will: 1) keep the Collateral fully insured against such hazards as the Bank may require, by insurers and in amounts approved by the Bank, with losses payable to the Bank; and 2) promptly deliver the insurance policies to the Bank; and 3) keep the Collateral in first class condition at all times; that upon any failure of Debtor to comply with the above, the Bank may, at its option and without affecting any of its other rights or remedies provided herein or as a secured party under the Uniform Commercial Code, procure the insurance protection it deems necessary and/or cause repairs to be made to the Collateral, the cost of either or both of which shall be a lien against the Collateral added to the amount of the indebtedness secured hereby and payable on demand with interest at the legal rate; that Debtor hereby assigns to the Bank any and all moneys which may become due and payable under any policy insuring the Collateral covered by this agreement, including return of unearned premium, and directs any such insurance company to make payment directly to the Bank and authorizes the Bank to apply such moneys in payment on account of the indebtedness secured hereby, whether or not due, or, at the sole option of the Bank, toward replacement of the Collateral, and to remit any surplus to the Debtor; that Debtor will not use the Collateral in violation of any statute or ordinance or applicable insurance policy and will promptly pay all taxes and assessments levied against the Collateral; that Debtor will not permit any lien, charge, encumbrance or security interest of any kind whatsoever (other than the Bank's security interest) to accrue upon or attach to the Collateral; that Debtor will not remove the Collateral from its location as above set forth or, in case the Collateral, or any part thereof, is a motor vehicle, from the State of New York, without the written consent of the Bank; that if any part of the Collateral is subject to a certificate of title law, Debtor will cause the Bank's security interest to be noted thereon and promptly deliver such certificate of title to the Bank; that Debtor will not secrete, sell, transfer, change the registration, if any, dispose of, attempt to dispose of, substantially modify or abandon the Collateral or any part thereof; that Debtor will sign and deliver to the Bank such Financing Statements, in form acceptable to the Bank, as the Bank may, from time to time, reasonably request, or as are necessary in the opinion of the Bank, to establish and maintain a valid security interest in the Collateral and Debtor will pay any relative filing fees or costs with respect thereto and for prior lien searches; and that the Bank is authorized to file any such Financing Statement without the signature of the Debtor.

DEBTOR HEREBY FURTHER WARRANTS, REPRESENTS AND AGREES that in case default shall be made under any of the terms, covenants, or conditions of this agreement, or of the Note or of any other liability of Debtor to the Bank secured hereby, or in the payment of any other charges in connection herewith, or if the Collateral or any part thereof be seized or levied upon under legal process, or if Debtor makes an assignment for the benefit of creditors, or if Debtor is adjudicated insolvent or bankrupt, or if any proceedings are commenced by or against Debtor under any bankruptcy, reorganization, arrangement, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute of any jurisdiction, whether now or hereafter in effect, or if a receiver or trustee be appointed for any of Debtor's property, or if the Bank

at any time deems the security afforded by this agreement unsafe or at any risk, or if Debtor fails to fully insure the Collateral and/or deliver the insurance policies to the Bank, or if any warranty, representation or statement, made herein by Debtor or contained in any separate statement in connection herewith furnished to the Bank by or on behalf of Debtor, is untrue or incomplete in any material respect,—then, and in any such event: (a) at the option of the Bank, the entire unpaid balance of the Note secured hereby shall become due and payable immediately, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the rights and remedies of a secured party under the Uniform Commercial Code and, in addition, the rights and remedies provided herein, and (c) it shall then be lawful for, and the Bank is hereby authorized and empowered, with the aid and assistance of any person or persons, to enter any premises where the Collateral or any part thereof is, or may be, placed, and to assemble and/or remove same and/or to render it unusable and sell and dispose of such Collateral at public or private sale upon at least five days' written notice to Debtor of such sale; the proceeds of each such sale shall be applied by the Bank toward the payment of the Note secured hereby, interest, expenses of retaking, including payment by the Bank of storage, preparing for such sale, advertising, selling and all related charges and disbursements in connection therewith and any overplus may be applied by the Bank to the payment of any and all other liabilities of Debtor to the Bank then existing and whether due or not due; but should the proceeds of any such sale be insufficient to fully pay all the items above mentioned, Debtor hereby covenants and agrees to pay any deficiency to the Bank and if the Bank employs counsel for the purpose of effecting collection of any moneys due hereunder (whether or not the Bank has retaken the Collateral or any part thereof) or for the purpose of recovering the Collateral, or for the purpose of protecting the Bank's interest because of any default of Debtor, Debtor agrees to pay attorney's fees of 15% of the amount due herein, and such attorney's fees shall be a lien on the Collateral herein and the proceeds thereof. The Bank may require Debtor to assemble the Collateral and make it available to the Bank at a place to be designated by the Bank which is reasonably convenient to both parties.

DEBTOR HEREBY FURTHER WARRANTS, REPRESENTS AND AGREES that all rights and remedies hereunder are cumulative and not exclusive and that a waiver by the Bank of any breach by Debtor of the terms, covenants, and conditions hereof shall not constitute a waiver of future breaches or defaults; and no failure or delay on the part of the Bank in exercising any of its options, powers, rights or remedies, or partial or single exercise thereof, shall constitute a waiver thereof.

DEBTOR DOES HEREBY irrevocably appoint the Bank as attorney in fact, with full power of substitution, to execute proofs of claim, to endorse any draft or other instrument for the payment of money, to execute releases, to negotiate settlements, to cancel any insurance referred to herein and to do all other things necessary and required to effect a settlement under any insurance policy. Debtor does also hereby waive the right of a jury trial in any action or proceeding brought in any Court by either party, or assigns, arising out of the subject matter of this agreement, the Collateral described herein, any Note or other obligation secured hereby.

SECURITY AGREEMENT

(CHATTEL MORTGAGE)

Debtor: _____

Address: _____

To

BANKERS TRUST COMPANY
16 WALL STREET
NEW YORK, N. Y.

Covering Collateral Located At: _____

Amount of Indebtedness \$ _____

Dated _____ 19__

Filed _____ 19__

USA 33s - 475
(ED. 4-23-71)

GOVT.
EXHIBIT

**U. S. DIST. COURT
S. D. OF N. Y.**

2 ~~*13*~~

PBR 667 REV. 3-73

GARRIS
FIRST NATIONAL CITY BANK
CREDIT STATEMENT

PURPOSE NEW CAR		TOTAL OF PAYMENT 3 36		TERM	
NAME R. THEODORE GARRIS		MIDDLE GARRIS		LAST GARRIS	
DATE OF BIRTH 6-8-24		OTHER NAME(S) USED		MARRIED <input type="checkbox"/> WIDOW <input type="checkbox"/> SEPARATE <input type="checkbox"/> DIVORCED <input type="checkbox"/> SINGLE <input type="checkbox"/>	
HOME ADDRESS 520 FIFTH AVE NEW YORK 10017		CITY NEW YORK		STATE NY	
HOME PHONE 736-3844		PREVIOUS ADDRESS		CITY NEW YORK	
MAIL TO DORM B2		CITY NEW YORK		STATE NY	
EMPLOYED BY (CORP. OR TRADE STYLE) ADVANCE JR. (B&B)		POSITION FIN. CLERK		KIND OF BUSINESS APPAREL'S	
BUSINESS ADDRESS 1200 BROADWAY NY 10019		CITY NEW YORK		STATE NY	
SOCIAL SECURITY NO. 123-45-6789		BADGE/EMPLOYEE NO. 1234		YEARS EMPLOYED 6	
BUSINESS TEL. NO. (SHOW EXT.) 736-3844		HOME TEL. NO. 736-3844		IF UNDER 25 YEARS OLD - PARENT'S NAME	
GROSS BASE SALARY \$32,000		SUPERVISOR'S NAME & TITLE BERGER		YEAR 1973	
SOURCE OF OTHER INCOME AMER. PLAN INC.		GROSS INCOME \$15,000		NAME AND ADDRESS OF PREVIOUS EMPLOYER ADVANCE MANAGEMENT, WILKES-PA	
REAL ESTATE OWNED <input type="checkbox"/> RESIDENCE <input type="checkbox"/> OTHER		SPOUSE'S EMPLOYER		POSITION	
ADDRESS		ZIP CODE		YEARS THERE	
SPOUSE'S BUSINESS ADDRESS		ZIP CODE		BUSINESS TEL. NO. (SHOW EXT.)	
GROSS BASE SALARY		GROSS BASE SALARY		GROSS BASE SALARY	

BANK ACCOUNTS	CHECKING - PERSONAL	BANK FNCB	BRANCH/ADDRESS 32th & 5th	ACCOUNT NO. 64640795
	CHECKING - BUSINESS	BANK FNCB	BRANCH/ADDRESS 6	ACCOUNT NO. 11046995
	SAVINGS BALANCE	BANK	BRANCH/ADDRESS	ACCOUNT NO.

RECORD ALL EXISTING AND PENDING INSTALMENT DEBTS AS BORROWER OR CO-MAKER (INCLUDE FNCB)					
TYPE	NAME OF CREDITOR	ADDRESS	DATE MADE	LINE OR UNPAID BAL.	PAYMENT
CAR LOAN	[Signature]				
HOME IMPROVE	[Signature]				
CHECK CREDIT	[Signature]				
BANK LOANS	[Signature]				
BUSINESS LOAN	[Signature]				
FINANCE CO.	[Signature]				
CREDIT UNION	[Signature]				
MORTGAGE	[Signature]				

LIST CREDIT CARDS WITH BALANCES (INCLUDE STORE CREDIT PLANS)

HAVE YOU ANY SUITS, JUDGEMENTS OR LEGAL PROCEEDINGS AGAINST YOU?
☒ NO ☐ YES (EXPLAIN)

NAME AND ADDRESSES OF CLOSE RELATIVE(S) OR FRIEND(S) NOT LIVING WITH APPLICANT

(1) NAME **MRS J. WEBER** ADDRESS **33 DADENPORT STR** TEL. NO. **904 235-4890**

(2) NAME _____ ADDRESS _____ TEL. NO. _____

THE BANK AND THE SOURCES TO WHICH IT MAY APPLY, OR WHICH MAY APPLY TO IT, ARE AUTHORIZED TO EXCHANGE CREDIT INFORMATION RELATIVE TO THE UNDERSIGNED AND THIS OR ANY SUBSEQUENT STATEMENT RECEIVED FROM THE UNDERSIGNED. THIS STATEMENT SHALL REMAIN THE BANK'S PROPERTY. I AFFIRM THAT ALL STATEMENTS MADE TO THE BANK ABOVE ARE TRUE AND CORRECT. UNLESS THE APPLICANT HAS INDICATED OTHERWISE BY A CHOICE OF A NON-INSURED, FULLY SECURED LOAN PLAN, LIFE INSURANCE PROTECTION WILL BE PROVIDED ON THE LIFE OF THE UNDERSIGNED APPLICANT TO THE EXTENT INDICATED IN THE CERTIFICATE OF LIFE INSURANCE TO BE FURNISHED TO THE UNDERSIGNED AT THE TIME THE LOAN IS MADE OR WITHIN 30 DAYS THEREAFTER. THE BANK MAY INCLUDE THE COST OF SUCH LIFE INSURANCE IN THE AMOUNT OF THE LOAN APPLIED FOR.

CHECK ONE		TYPE CODE	BELOW FOR BANK USE ONLY	
<input type="checkbox"/> PERSONAL LOAN	01	DATE		A 24 - 4 5 5 7 - 1 - 1 - 6 5 1
<input type="checkbox"/> MOTOR CAR - NEW	42	TAKEN IN BY (INITIAL)		
<input type="checkbox"/> MOTOR CAR - USED	43	SPECIAL INSTRUCTIONS		B 1 1 - 1 9 9
<input type="checkbox"/> MOTOR CAR - PRES. OWNED	44	DATE		
<input type="checkbox"/> PROPERTY MODERNIZATION	05	<input type="checkbox"/> AUTOMATIC DEDUCT		S. FRIEDMAN 027002
<input type="checkbox"/> COLLATERAL	20	<input type="checkbox"/> PAYROLL DEDUCT		
<input type="checkbox"/> BOATS-AIRPLANES-MISC.	03	<input type="checkbox"/> FNCB-NOT PRD		212

97-3447
P.L. NO.
4203 362 NOV-57
APP. NO.

LIST ANY OTHER NAMES USED

IF I.D. IS REGISTRATION:

PLATE NO.

MAKE

5-YEAR

SIGNATURE OK

☐ ADDRESS OK

DATE OF BIRTH AGREES

☐ PICTURE OK

ADDRESS IF OTHER THAN ON STATEMENT

PROOF OF AGE (IF NECESSARY)

IF MAJOR EXPENDITURE (CAR, BOAT, REAL ESTATE, ETC.)

IF PROPERTY MODERNIZATION

SEALER'S NAME AND ADDRESS ☐ PRIVATE ☐ DEALER
EASTCHESTER LINCOLN-Mercury Corp
400 WHITEPLAINS RD.

CONTRACTOR/DEALER NAME	
ADDRESS	TEL. NO.

REMARKS/RECOMMENDATION

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

3 *15*

USA 33s-475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

3A 10

CDC CLEARED _____

(DATE)

CDC OPER. NO. _____

BY _____

**BANKERS TRUST COMPANY**
NEW YORK

Branch Review _____

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME _____

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

CITY & STATE _____

PLEASE PRINT

APT. NO. _____

ZIP CODE 11375

18275563

ACCOUNT NUMBER

DAY
DUE

TYPE

OFFICE
OR
DEALER

0421

INTERVIEWER

SPECIAL INSTRUCTIONS

FOR USE OF
DISCOUNT SECTION

RECEIVED

Date

Time

A.M.

P.M.

By

APPROVAL

Amount

Term

B.T.Co. Liability of All Liab. Entities Direct
and Contingent Including This Loan

Name

Secured

Initial

Unsecured

Date

FOR USE OF
DISCOUNT SECTION

L. I. Code

Fed. Code

Odd Pay't

Br. App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0

Yes = 1

Amount of Loan

Less — Discount @

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustment

Total Proceeds

\$ 7,740

\$ 1,219.05

\$

\$

\$

\$

\$

\$

\$

TYPED

INDEXED

COMPUTED

NCR

FEB 27 1974

1,219.05

\$ 6,520.95

\$ 6,520.95



BANKERS TRUST COMPANY

INSTALLMENT LOAN APPLICATION

7,740 215

TOTAL LOAN \$6500 - NET	NO. OF MONTHS 36	MONTHLY PAYMENT \$	DISCOUNT PER \$100 PER ANNUM \$
PURPOSE OF LOAN DENTAL EXP.			

NAME AND ADDRESS

MR MRS MISS	LAST SAMACH	FIRST ELLIOT	MIDDLE INITIAL	DATE OF BIRTH 8/18/23	<input checked="" type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
PRESENT HOME ADDRESS	NUMBER AND STREET 1 STATION SQUARE	CITY OR TOWN FOREST HILLS	STATE N.Y.	APT. NO. 401	ZIP 11375	YEARS THERE 10	
LAST HOME ADDRESS	NUMBER AND STREET RALPH PREUSS	CITY OR TOWN WEISSET ST	STATE ROCKVILLE	APT. NO. CERTA	ZIP N.Y.	YEARS THERE 2	
SOCIAL SECURITY NUMBER 106-109295	AUTO (<input type="checkbox"/> NO OWNED <input type="checkbox"/> YES	MAKE AND YEAR	DRAFT STATUS	HOME TELEPHONE 268-5900 x 401	NO. DEPENDENTS (Excluding Self)		
NEAREST RELATIVE (Not living with you)	NAME WILLIAM CLOSE	ADDRESS 333 W. 57 th ST	RELATIONSHIP N.Y.C.				

APPLICANT'S EMPLOYMENT

NAME OF COMPANY COMPONENT FASHIONS	TELEPHONE 695-3419	POSITION MFG REP	EMPLOYEE OR BADGE NUMBER	SALARY \$21.00 Mo Week
ADDRESS OF COMPANY 135 W. 36 ST	CITY OR TOWN N.Y.C.	STATE	ZIP 74PS	COMMISSIONS (if any) Mo Week
LAST EMPLOYMENT HOWARD PRINTING INC.	NAME OF COMPANY 371 N. MAIN ST	ADDRESS FREEPORT N.Y.	YEARS THERE 34RS	AMOUNT Mo Week
OTHER INCOME <input type="checkbox"/> RENTAL <input type="checkbox"/> PENSION <input type="checkbox"/> DIVIDEND <input type="checkbox"/> OTHER (Explain)	516-3796661			

SPOUSE'S EMPLOYMENT

NAME OF COMPANY NONE	TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY \$ Mo Week
ADDRESS OF COMPANY NONE	CITY OR TOWN	STATE	ZIP	YEARS THERE

BANK AND RESIDENCE

RESIDENCE <input checked="" type="checkbox"/> RENT <input type="checkbox"/> OWN	COST \$	MORTGAGEE FIRST HILLS INN	MORTGAGE BALANCE \$	MONTHLY RENT OR MORTGAGE PAYMENT \$425
BANK ACCOUNT <input checked="" type="checkbox"/> REG. CHECKING <input checked="" type="checkbox"/> SPECIAL CHECKING <input type="checkbox"/> SAVINGS	NAME OF BANK MARINE MIDLAND TRUST	ADDRESS QUEEN BEACH, FORT LEE N.Y.	ACCOUNT NUMBERS 014-12189-1	

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
NONE		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> CHECK GUARANTEE <input type="checkbox"/> CHECK CREDIT	AMOUNT OF LINE \$	FOR BANK USE

Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you? ☐ YES If yes, explain on separate sheet ☒ NO

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to the best of my knowledge and belief.

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of the

USA 33s - 475
(ED. 4-23-71)

GOVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

4 *15*

CDC CLEARED _____

(DATE)

CDC OPER. NO. _____

BY _____

**BANKERS TRUST COMPANY**
NEW YORKBranch Review *AK*

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME _____

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

CITY & STATE _____

PLEASE PRINT

18349411

ACCOUNT NUMBER

DAY DUE

TYPE

OFFICE
OR
DEALER

1220

TYPED

INDEXED

COMPUTED

NCR

DISBURSED

INTERVIEWER

RECEIVED

Date

Time

A.M.
P.M.

By

SPECIAL INSTRUCTIONS

APPROVAL

Amount

Term

B.T.Co. Liability of All Liable Entities Direct
and Contingent Including This Loan

Secured _____

Unsecured _____

Name _____

Initial _____

Date _____

FOR USE OF
DISCOUNT SECTIONNO. OF
PAYMENTSMONTHLY
PAYMENTODD PAY'T
IF ANYFIRST PAY'T
DUEPURPOSE
OF LOANATE OF
DISCOUNTAUTO
CODE

DIP

FOR USE OF
DISCOUNT SECTION

L. I. Code

Fed. Code

Odd Pay't

Br. App.

Br. P/O

Refinance "

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0 Yes = 1

Amount of Loan

Less — Discount @ 5.25 %

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustment

Total Proceeds

PAID OUT BY BRANCH

Disburse as Follows

Name

D & B Rating

N/L

Verified By

HOME TELEPHONE

Not Verified

Listed as:

201 224-2381

BUSINESS TELEPHONE

Verified By

Not Verified

Listed as:

682 5844

☐ T.D.☐ A.T.D.☒ Info.☐ T.D.☐ A.T.D.☐ Info.

EMPLOYMENT

Position

meth + app.

Age

40's

Length of Employment

17yr

No. Deps.

0

Salary \$ 5.00

per

mth.

Liens clear.

Home Address

☒ Verified
☐ No. info.

Indicated as:

Informant

Mr. Garis

Title or Position

Caretaker.

Our Experience

Branch

Date & Account No.

Original Amount

Balance

Experience

App

App

C/M

Previous

High Point

Exp.

Verified By

Bank

Branch

Tel. No.

A/C I.N.O.

A/C Since

Average Balances \$

Authorized Signatures

Accommodations—Type

High Point \$

Owe \$

Experience

Remarks

Informant

CO-MAKER

Name

D & B Rating

Verified By

HOME TELEPHONE

Not Verified

Listed as:

BUSINESS TELEPHONE

Verified By

Not Verified

Listed as:

☐ T.D.☐ A.T.D.☐ Info.☐ T.D.☐ A.T.D.☐ Info.

EMPLOYMENT

Position

Age

Length of Employment

No. Deps.

Salary \$

per

Liens

Home Address

☐ Verified
☐ No. info.

Indicated as:

Informant

Title or Position

Previous

High Point

Exp.

Verified By

Bank

Theodore T. Worthington

Branch

33 Davenport Rd

A/C I.N.O.

Open Loan

A/C Since

Average Balances \$

Authorized Signatures

5,280.00 - 24 months at

Accommodations—Type

High Point \$

Owe \$

Experience

Remarks

220 month present balance is 3,740.00.
date originally due 10/1/73 last payment 4/5/74

Informant

M. C.

future payment 5/1/74 late 2x

Litigation

Intcty

Folder

MORTGAGES

Investigated By

For Use of Installment Loan Operations Only:

Exceptions:

KEYPUNCHED BY:

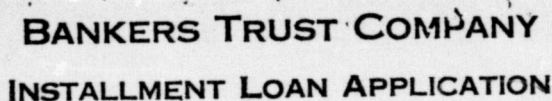
VERIFIED BY:

DATE BOOKED:
MAY 13 1974

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

4A 18

NAME AND ADDRESS

NAME AND ADDRESS		MIDDLE INITIAL		DATE OF BIRTH	<input checked="" type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST Robert	FIRST WORTHINGTON		6/4/27			-0-
PRESENT HOME ADDRESS	NUMBER AND STREET	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE	
	NORTH TOWER	HORIZON BLVD	FAIRFAX	2202	22031	16	
LAST HOME ADDRESS	NUMBER AND STREET	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE	
	33 DAWENPORT	STREET	N. ROCKVILLE	200	22031	15	
SOCIAL SECURITY NUMBER	AUTO <input type="checkbox"/> NO <input type="checkbox"/> OWNED <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> YES	MAKE AND YEAR	DRAFT STATUS	HOME TELEPHONE	NO. DEPENDENTS (Excluding Self)		
117-18-2073		Camp car		224-2381			
NEAREST RELATIVE (Not living with you)	NAME	ADDRESS		RELATIONSHIP			
	WEBER	33 DAWENPORT STREET N. ROCKVILLE		DEPT 1610			

APPLICANT'S EMPLOYMENT

APPLICANT'S EMPLOYMENT				TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY
NAME OF COMPANY		NUMBER AND STREET		CITY OR TOWN	STATE	ZIP	YEARS THERE
NAME OF COMPANY		NUMBER AND STREET		CITY OR TOWN	STATE	ZIP	YEARS THERE
LAST EMPLOYMENT		NAME OF COMPANY		ADDRESS		YEARS THERE	
OTHER INCOME	<input type="checkbox"/> RENTAL <input type="checkbox"/> PENSION	<input type="checkbox"/> DIVIDEND <input type="checkbox"/> OTHER (Explain)	AMOUNT				<input type="checkbox"/> Mo <input type="checkbox"/> Week

SPOUSE'S EMPLOYMENT

NAME OF COMPANY		TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY \$ <input type="checkbox"/> Mo <input type="checkbox"/> Week
ADDRESS OF COMPANY		NUMBER AND STREET	CITY OR TOWN	STATE	ZIP YEARS THERE

BANK AND RESIDENCE

BANK AND RESIDENCE									
RESIDENCE	<input checked="" type="checkbox"/> RENT	COST \$	MORTGAGEE	MORTGAGE BALANCE \$	MONTHLY RENT OR MORTGAGE PAYMENT \$600				
<input type="checkbox"/> OWN		NAME OF BANK			ADDRESS		ACCOUNT NUMBERS		
BANK ACCOUNT									
<input checked="" type="checkbox"/> REG. CHECKING		ZRRing Trust Company / Dome Park							
<input checked="" type="checkbox"/> SPECIAL CHECKING SAVINGS									

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER		DRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
			\$	\$ 2740	\$
	TRUST Corp		\$ 5300	\$ 2600	\$ 220
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE	AMOUNT OF LINE		
	AMER SP. BANK AMERICA	<input checked="" type="checkbox"/> CREDIT <input type="checkbox"/> CARD CHECK <input type="checkbox"/> GUARANTEE <input type="checkbox"/> CREDIT	\$ 200	\$	\$
Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?				FOR BANK USE	
<input type="checkbox"/> YES If yes, explain on separate sheet <input checked="" type="checkbox"/> NO					

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$3.00 discount per annum per one hundred dollars face amount of loan, if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months; or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no obligations to any bank, loan company, corporation or individual, and

my employer, and, if I am an individual, I agree that this application shall remain
exchange credit information relative to me. I agree that this application shall remain
ance with the law of the State of New York.

educational purposes. I represent that, except as shown above, I have no other
obligations to any bank, loan company, corporation or individual, and

IN WITNESS WHEREOF, Debtor has executed this agreement or has caused these presents to be executed and delivered by its
proper corporate officer or officers and caused its proper corporate seal to be hereto affixed, this _____ day of

_____, 19____

SECURITY AGREEMENT

DEBTOR:

R. Thayer Watkins
Individual Signature

520 1st Ave N.Y.
Residence

Individual Signature

Residence

Corp./Partnership/Trade Name

Chief Business Address

By _____

**TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE**

Name & Address of Dealer _____

Make _____ Year _____ Model _____ Purchase Price \$ _____

Serial No. _____ Motor No. _____

Trade In (if none state "none") _____ Make _____ Year _____ Allowance \$ _____

FOR BANK USE ONLY

Open on installment loan at present _____ A/C No. _____

_____ A/C No. _____

Comments: _____

DESCRIPTION OF COLLATERAL OFFERED	VALUE
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

Branch Experience

Type of A/C

A/C Since

☐ Regular Checking A/C _____

Average Balance \$ _____

☐ Special Checking A/C _____

☐ Savings A/C _____

Balance \$ _____

Loans (if any) _____ High Point \$ _____

Owe at Present \$ _____

Type of Loan ☐ Unsecured
☐ Secured

If secured—List collateral and show value: _____

Does branch hold General Collateral Agreement? _____

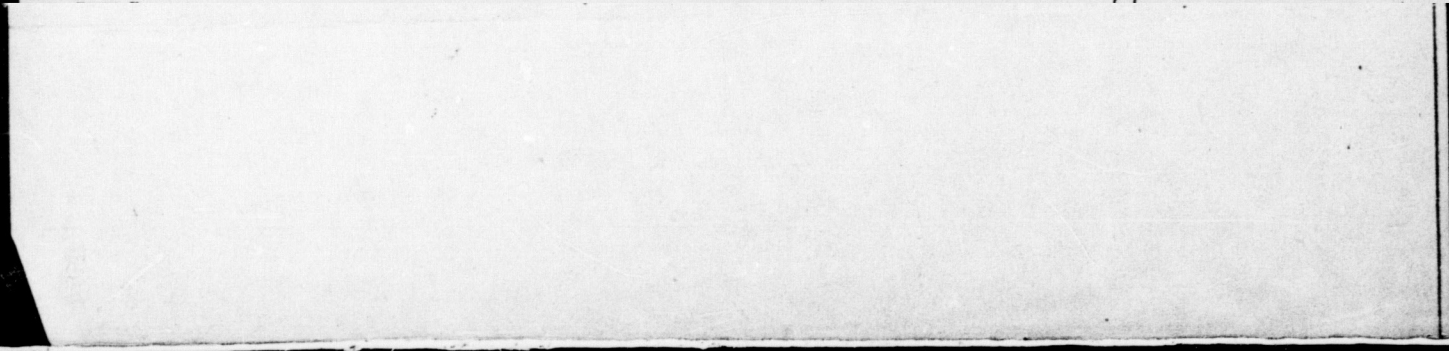
Manner in which account is maintained _____

HOW WAS APPLICANT IDENTIFIED? (Give full details) _____

N.Y. State Driver's License
W15684 \$2770 063941-27

Source: _____ Office: _____

By *[Signature]*



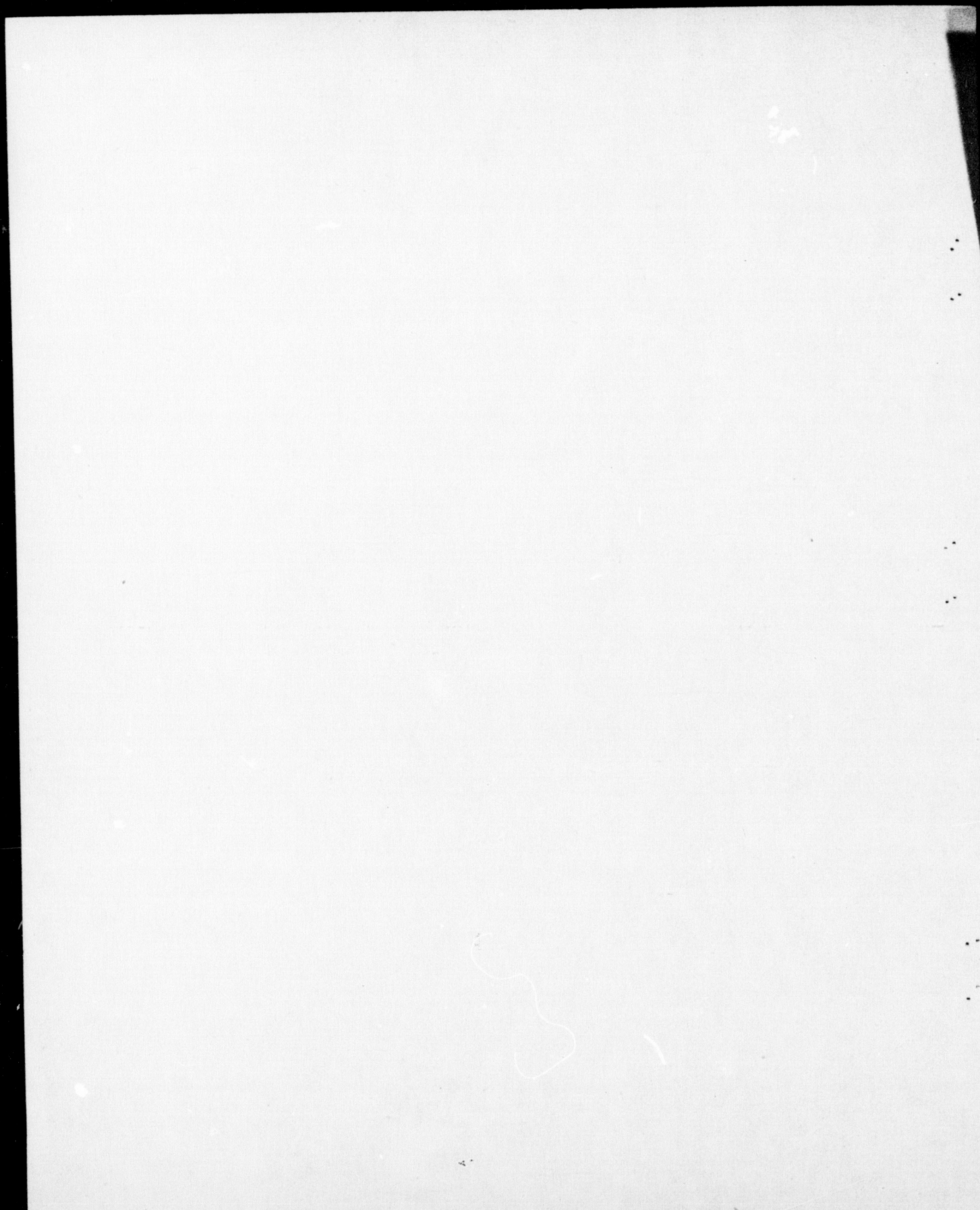
USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

HB *10*



FOR VALUE RECEIVED, the undersigned promises to pay to the order of Bankers Trust Company (hereinafter called the "Bank") at its office at 1775 Broadway, New York, N. Y., or at any of its other offices in the State of New York, the sum of Five thousand nine hundred + forty No Dollars (\$ 5940.00) in United States currency in 36 equal successive monthly installments of \$ 165.00 each, except that the final monthly installment shall be \$ _____ (any installment which is more than twice the amount of any other, constitutes a balloon payment) the first such installment to be paid on the 15 day of June 1974 and subsequent installments to be paid on the same day of each succeeding calendar month until all such payments have been made. In the event of prepayment in full of this loan, any unearned FINANCE CHARGE will be refunded based on the "Rule of 78's".

(Do not complete for: 1) business purpose loans, 2) loans where the amount financed exceeds \$25,000.)

1. Loan proceeds	\$ <u>5004.45</u>	4. FINANCE CHARGE (TOTAL)	\$ <u>935.55</u>
2. Official fees	\$ <u>0</u>	a — Credit life ins.	\$ <u>0</u>
3. Amount financed (add 1 & 2)	\$ <u>5004.45</u>	b — Interest	\$ <u>935.55</u>
ANNUAL PERCENTAGE RATE		5. Total of payments (add 3 & 4)	\$ <u>5940.00</u>
			<u>11.49%</u>

The Bank will deduct in advance the charge, if inserted in the upper right hand corner of this Note. If this Note evidences a loan under the provisions of Sec. 108 subd. 4 of the New York Banking Law or, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

The following described property is delivered to the Bank as security for the payment of all liabilities of the undersigned:

☐: _____

☐: Property described in security agreement(s) dated _____ 19____ and _____ 19____

All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance of each such account with, and each such claim against, the Bank.

The term "liabilities of the undersigned" shall include the liability evidenced by this Note and all other liabilities, direct or contingent, joint, several or independent, of the undersigned now or hereafter existing, due or to become due to, or held or to be held by, the Bank for its own account or as agent for another or others, whether created directly or acquired by assignment or otherwise.

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or upon behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of any warrant, process, or order for attachment, garnishment or other lien and/or the filing of a lien as a result thereof against any of the property of the undersigned, or upon the commencement of any proceeding under, or the use of any of the provisions of, Article 52 of the New York Civil Practice Law and Rules by any judgment creditor against the undersigned or with respect to any property of the undersigned, or upon the making by the undersigned or any endorser or guarantor of this note of an assignment for the benefit of creditors, or upon the sale of the premises to be improved if the loan evidenced by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.

Upon any transfer of any of the liabilities of the undersigned, the Bank may retain all or any part of the Collateral as security for all liabilities of the undersigned retained by the Bank.

If this note falls due on a Saturday, Sunday or a public holiday, it shall be payable on the next succeeding business day and such additional time shall be included in the computation of interest.

The Bank shall not be obligated to take any steps necessary to preserve any rights in any of the Collateral against prior parties who may be liable in connection therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Col-

Wage and Tax Statement

FEDERAL INCOME TAX INFORMATION			
1	Federal income tax withheld	2	Wages, tips and other compensation
	\$6,300.00		\$58,098.00

Type or print EMPLOYEE'S social security number, name and address below.

N. Worthington
North Tower
Arizona House
Fort Lee, New Jersey 07024

American Planning Corp. Inc.
520 Fifth Avenue

New York, New York 10036

#13-2754436

Copy C—For employee's record

1973

SOCIAL SECURITY INFORMATION

FICA employee tax withheld		4	Total FICA wages	5	Total FICA employee + employer FICA tax on wages
\$497.00			\$53,098.00		
6	State tax withheld	7	State tax credit	8	State of residence
	\$313.00		--		N. Y.
9	City tax withheld	10	City tax credit	11	City of residence
	--		--		N. Y.

STATUS	OTHER INFORMATION (SEE CIRCULAR E)	
1 Single 2 Married	3 List of group-term life insurance included in Form 706	4 List of other life insurance included in Box 2

Type or Print
EMPLOYER'S
identification
number, name
and address

This information is being furnished to the Internal Revenue Service and appropriate State officials

An "X" in the upper left corner indicates this is a corrected form

Form **W-2**

Department of the Treasury—Internal Revenue Service



USA 33s-475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

4D

AUTHORIZATION FOR PAYMENT DEDUCTION

Application Number: 18349411

Date: 5/9/74

TO BANKERS TRUST COMPANY:

You are hereby authorized to charge my

☐ Special Checking Account

☒ Regular Checking Account

Number: _____

Number: 31-988 349

COMPUTED
N C R
DISBURSED

Min. Chge.	•	
S/R		
No = 0 Yes = 1 •		

Total Deductions_____

Net Proceeds_____

Adjustment_____

Total Proceeds_____

1,211.00

\$ 6,520.95

\$ 6,520.95

Disburse as Follows_____

\$ _____

USA 33a - 475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

5

~~16~~

OF
CREDIT☐ CHECK CREDIT

FOR BANK USE

Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?

☐ YES If yes, explain on separate sheet
☒ NO

☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:

☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

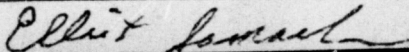
exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:

☐ HOME
☐ BUSINESS

I WOULD LIKE TO MAKE PAY-
MENTS ON THE _____ DAY
OF THE MONTH

SIGNATURE
OF
APPLICANT



DATE

CDC CLEARED _____

(DATE)

CDC OPER. NO. _____

BY _____


BANKERS TRUST COMPANY
 NEW YORK

INSTALLMENT LOAN FILE

Branch Review _____

I/L Credit Review _____

B OR P

MEMO TYPED

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

CITY & STATE _____

PLEASE PRINT

ELLIOT SOMACH

1 STATION PLAZA
FORREST HILLS, N.Y.

APT. NO. _____

ZIP CODE _____

INTERVIEWER

SPECIAL INSTRUCTIONS

FOR USE OF
DISCOUNT SECTION

RECEIVED

Date

5/17/74

Time

A.M.
P.M.

By

W. Williams

Call - in
APPROVAL BY THIS OFFICE

Amount

7740. - PAID

JOHN WEBER 3 1974

Term

36 X 215. -

NO. OF
PAYMENTS

36

MONTHLY
PAYMENT

215

ODD PAY'T
IF ANYFIRST PAY'T
DUE

718

PURPOSE
OF LOANDATE OF
DISCOUNTAUTO
CODE

DIP

B.T.Co. Liability of All Liable Entities Direct
and Contingent Including This Loan

Name

JOHN WEBER

Initial

Date

5-31-74

Secured

Unsecured

TYPED

FOR USE OF

18415730

ACCOUNT NUMBER

DAY
DUE

TYPE

OFFICE
OR
DEALER

0421

TITLE

FOR USE OF

7740

01-07-010-0000-841573
8415730
OMACH

For Use of Installment Loan Operations Only:

Exceptions:

KEYPUNCHED BY:

VERIFIED BY:

DATE BOOKED:
JUN 05 1974

K

NCR

DISBURSED

S/R

U

No = 0 Yes = 1

NET PROCEEDS

Adjustment

Total Proceeds

Disburse as Follows

~~CHECK TO L.V. BERKOWITZ~~
~~DEBIT TRUST COMPANY~~
~~CHECK TO BERKOWITZ~~
CREDIT BRANCH 56 1/2 # 31 988 349

5004.45 8592

\$ 5004.45

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

5A

[Handwritten mark]

Remarks

Informant

M. C. B.

AGENCIES

Litigation

Intcty

Folder

MORTGAGES

Mtgee.

Phone No.

Title N/O

Date of Mtge.

Original Amt.

Present Bal.

Payments

Taxes & ins. incl.?

Paying record

Informant

Investigated By

MAE EDGERTON

Initials

MAY 6 1974

Date



BANKERS TRUST COMPANY

INSTALLMENT LOAN APPLICATION

7740. - 215. -

TOTAL LOAN \$6500	NO. OF MONTHS 36	MONTHLY PAYMENT \$147. -	DISCOUNT PER \$100 PER ANNUM
PURPOSE OF LOAN Bldg Repairs & Furniture			

NAME AND ADDRESS

<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST Elliot Samra	FIRST Samra	MIDDLE INITIAL	DATE OF BIRTH 5-3-20	<input checked="" type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE -0-
PRESENT HOME ADDRESS	NUMBER AND STREET Forest Hills Tower in Queens N.Y.	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE 7	
LAST HOME ADDRESS	NUMBER AND STREET 160 West End Ave N.Y.	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE 10	
SOCIAL SECURITY NUMBER 114-12-7662	AUTO <input type="checkbox"/> NO OWNED <input checked="" type="checkbox"/> YES	MAKE AND YEAR 1970 Olds	DRAFT STATUS	HOME TELEPHONE 793-2110	NO DEPENDENTS (Excluding Self) <input checked="" type="checkbox"/>		
NEAREST RELATIVE (Not living with you)	NAME NONE	ADDRESS	RELATIONSHIP				

APPLICANT'S EMPLOYMENT

- 793-2110.

NAME OF COMPANY Dumont Foods ZNC 201	TELEPHONE 461-5450	POSITION Consult	EMPLOYEE OR BADGE NUMBER	SALARY \$3200 <input type="checkbox"/> Mo <input checked="" type="checkbox"/> Week
ADDRESS OF COMPANY Dumont Foods ZNC Leonia N.J.	CITY OR TOWN	STATE	ZIP	YEARS THERE 8
LAST EMPLOYMENT U.S. STEEL PA.	NAME OF COMPANY	ADDRESS	YEARS THERE	
OTHER INCOME <input checked="" type="checkbox"/> RENTAL <input type="checkbox"/> PENSION	<input type="checkbox"/> DIVIDEND <input type="checkbox"/> OTHER (Explain)	AMOUNT \$2200 <input type="checkbox"/> Mo <input checked="" type="checkbox"/> Week		
535 Waverick Street Brooklyn NY				

SPOUSE'S EMPLOYMENT

NAME OF COMPANY None	TELEPHONE ✓	POSITION ✓	EMPLOYEE OR BADGE NUMBER	SALARY \$ - <input type="checkbox"/> Mo <input checked="" type="checkbox"/> Week
ADDRESS OF COMPANY 11	CITY OR TOWN 11	STATE 11	ZIP 11	YEARS THERE 11

BANK AND RESIDENCE

RESIDENCE <input type="checkbox"/> RENT <input checked="" type="checkbox"/> OWN	COST \$6500	MORTGAGEE CLEAR	MORTGAGE BALANCE \$ - 0 -	MONTHLY RENT OR MORTGAGE PAYMENT \$ - 0 -
BANK ACCOUNT <input type="checkbox"/> REG. CHECKING <input type="checkbox"/> SPECIAL CHECKING <input type="checkbox"/> SAVINGS	NAME OF BANK Marine Midland	ADDRESS Forest Hills	ACCOUNT NUMBERS	

SPECIAL CHECKING
SAVINGS

MANAGE MY ACCOUNT TOPPER CARD

TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE

Name & Address of Dealer _____

Make _____	Year _____	Model _____	Purchase Price \$ _____
------------	------------	-------------	-------------------------

Serial No. _____	Motor No. _____
------------------	-----------------

Trade In (if none state "none") _____	Make _____	Year _____	Allowance \$ _____
---------------------------------------	------------	------------	--------------------

FOR BANK USE ONLY

Open on installment loan at present _____	A/C No. _____
---	---------------

A/C No. _____

Comments: _____

DESCRIPTION OF COLLATERAL OFFERED	VALUE
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

Branch Experience

USA 33a-475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

58

☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:

☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:

☒ HOME
☐ BUSINESS

I WOULD LIKE TO MAKE PAY-
MENTS ON THE _____ DAY
OF THE MONTH

SIGNATURE
OF
APPLICANT

Robert Watson

DATE

4/29/74

FOR VALUE RECEIVED, the undersigned promises to pay to the order of Bankers Trust Company (hereinafter called the "Bank") at its office at 175 Broadway, New York, N. Y., or at any of its other offices in the State of New York, the sum of seven hundred and seventy-four Dollars (\$ 774.00 -) in United States currency in 36 equal successive monthly installments of \$ 215.10 each, except that the final monthly installment shall be \$ (any installment which is more than twice the amount of any other, constitutes a balloon payment) the first such installment to be paid on the 18 day of July 1974, and subsequent installments to be paid on the same day of each succeeding calendar month until all such payments have been made. In the event of prepayment in full of this loan, any unearned FINANCE CHARGE will be refunded based on the "Rule of 78's".

(Do not complete for: 1) business purpose loans, 2) loans where the amount financed exceeds \$25,000.)

1. Loan proceeds	\$ <u>6520.95</u>	4. FINANCE CHARGE (TOTAL)	\$ <u>1219.05</u>
2. Official fees	\$ <u>-</u>	a - Credit life ins.	\$ <u>-</u>
3. Amount financed (add 1 & 2)	\$ <u>6520.95</u>	b - Interest	\$ <u>1219.05</u>
		5. Total of payments (add 3 & 4)	\$ <u>7740.00</u>
		ANNUAL PERCENTAGE RATE	<u>11.49</u> %

The Bank will deduct in advance the charge, if inserted in the upper right hand corner of this Note. If this Note evidences a loan under the provisions of Sec. 108 subd. 4 of the New York Banking Law or, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

The following described property is delivered to the Bank as security for the payment of all liabilities of the undersigned:

☐: _____

☐: Property described in security agreement(s) dated _____ 19____ and _____ 19____

All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance

time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance
HOW WAS RECEIVED IDENTIFIED (ONE THE OTHER)

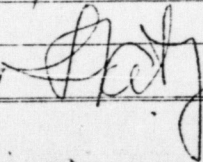
N.Y. State Driver's License

W15684 \$2770 063941-27

Source:

Office:

By



F1277 E
ABC 7-69

USA 33a-475
(ED. 4-23-71)

GOVT
EXHIBIT

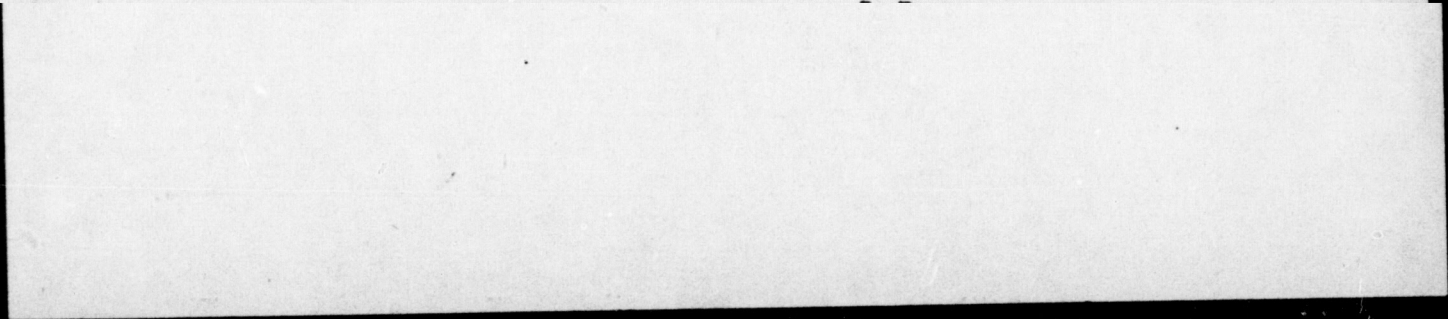
U. S. DIST. COURT
S. D. OF N. Y.

5C ~~15~~

May 2, 1971

Dumont Foods Inc.
100 Leyland Drive
Leonla, New Jersey
Att. Personnel:

Elliot Somach
1 Station Square
Forest Hills, New York
S.S. # 114 12 7662



USA 33a-475
(ED. 4-23-71)

GIWT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

5D

TO: BANKERS TRUST COMPANY
NEW YORK, N.Y.
INSTALLMENT LOAN DIVISION

Application Number Branch 10

Re: Elliot Somach

The following information is given at your request:
is

The person named herein ~~is not~~ in our employ at present

USA 33s-475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

6

~~13~~

creditors, or upon the sale of the premises to be improved or the premises by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or notice to the undersigned or right of redemption by the undersigned except as shall be required by applicable statute and cannot be waived, at its option to sell, re-sell, assign, transfer and deliver all or any part of the Collateral, at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

SIGNATURE (write in full)

Rb Wether

RESIDENCE OR BUSINESS ADDRESS

NORTH TOWER Horizon HS

tion therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Collateral, (ii) notify any obligor on any Collateral to make payment to the Bank of any amounts due thereon, and/or (iii) take control of any proceeds of any Collateral. The undersigned, if more than one, shall be jointly and severally liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

CDC CLEARED _____

(DATE)

CDC OPER. NO. _____

BY _____



BANKERS TRUST COMPANY

NEW YORK

Branch Review _____

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME _____

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

PHILIP NOLAN

1 STATION PLAZA

FOREST HILLS QUEENS NY

APT. NO. _____

ZIP CODE _____

FORESTALIA (NY) 119
American Planning Corp. Inc.

320 Fifth Avenue

New York, New York 10036

#13-2754436

USA 33s - 475
(ED. 4-23-71)

GOVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

6A

~~4~~

2. CODE
Identification
number, name
and address

This information is being furnished to the Internal Revenue Service and appropriate State officials

An "X" in the upper left corner indicates this is a corrected form

Form **W-2**

Department of the Treasury - Internal Revenue Service

USA 338-475
(ED. 4-23-71)

GER
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

4C

[Signature]



BANKERS TRUST COMPANY
INSTALLMENT LOAN APPLICATION

TOTAL LOAN \$ 7500-NET	NO. OF MONTHS 36	MONTHLY PAYMENT \$	DISCOUNT PER \$100 PER ANNUM
PURPOSE OF LOAN Bldg. improvement			

NAME AND ADDRESS

<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST NOLAN	FIRST PHILIP	MIDDLE INITIAL	DATE OF BIRTH 6-4-24	<input checked="" type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
PRESENT HOME ADDRESS	NUMBER AND STREET 1 STATION PLAZA	CITY OR TOWN FORETHILLS	STATE QUEENS NY	APT. NO. 90	ZIP SAMACH	YEARS THERE 8	
LAST HOME ADDRESS	NUMBER AND STREET 533 WARWICK ST	CITY OR TOWN BROOKLYN	STATE NY.	APT. NO.	ZIP	YEARS THERE	

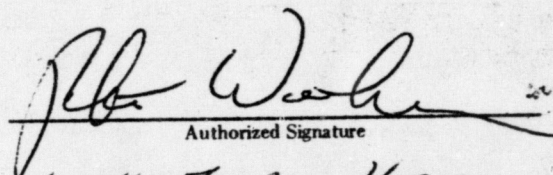
☐ Special Checking Account

Number: _____

☒ Regular Checking AccountNumber: 31-988 349Robert Worthington

(Exact Checking Account Title)

for monthly payments due on my Installment Loan obligation. This account is to be charged only if a payment is due for the current month.

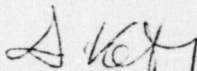


Authorized Signature

North Tower Horizon
Apt 200 07024 N.J.

Address

For Bank Use Only



Signature Verified

TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE

Name & Address of Dealer

Make

Year

Model

Purchase Price \$

Serial No.

Motor No.

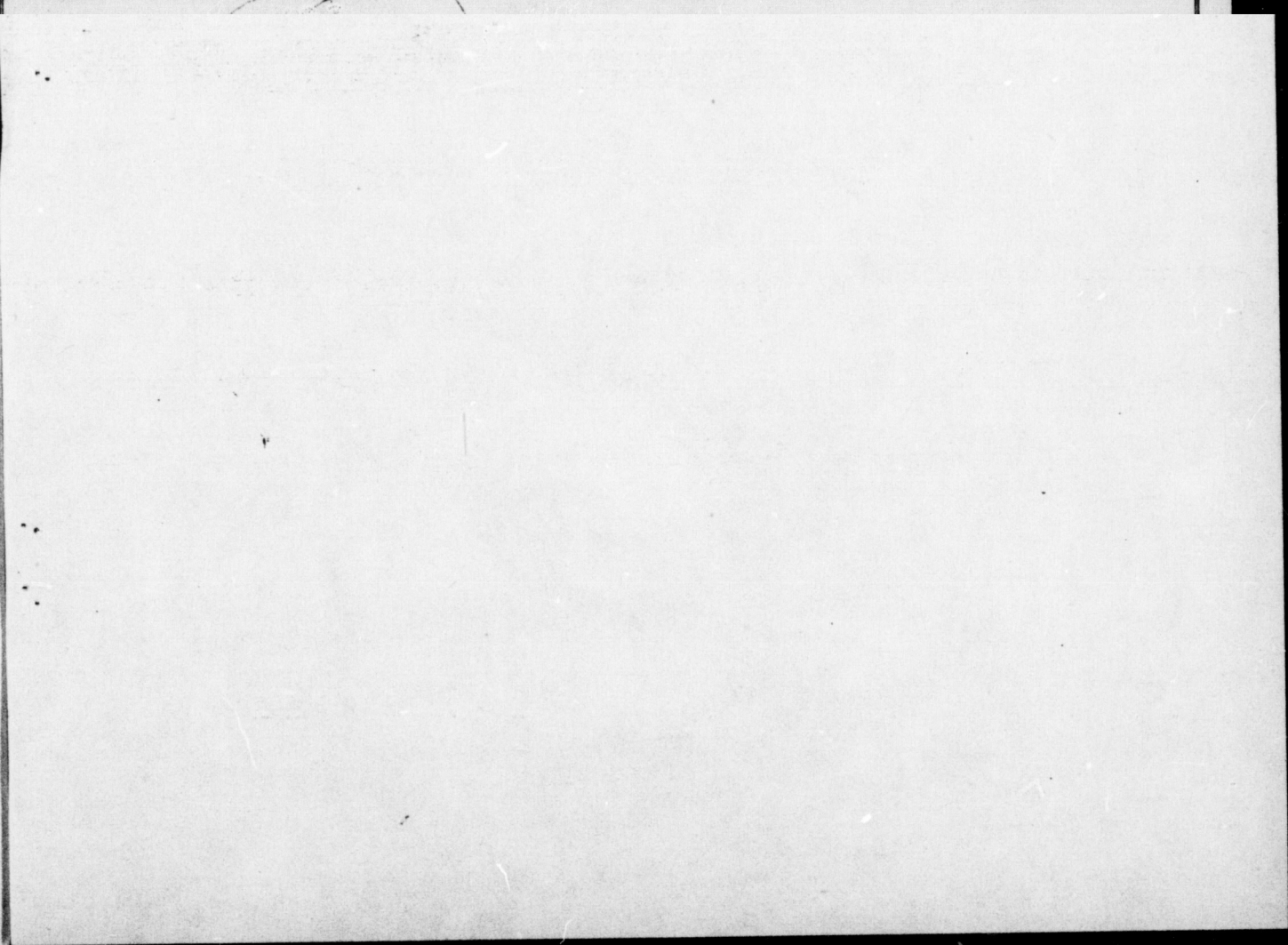
Trade In (if none state "none")

Make

Year

Allowance \$

FOR BANK USE ONLY



BANKERS TRUST COMPANY

Property Improvement Loan Information Sheet

1. Address of Property to be Improved:

15 JANDAM ST

NYC

(City or Borough)

USA 33s - 475
(ED. 4-23-71)

GOVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

LB ~~18~~

OFFICE
OR
DEALER

12420

TYPED

INDEXED

COMPUTED

NCR

DISBURSED

B.T.Co. Liability of All Liable Entities Direct
and Contingent Including This Loan

Secured _____

Unsecured _____

Name

Initial

Date

Amount of Loan

Less — Discount @

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustments

Total Proceeds

FOR USE OF
DISCOUNT SECTION

L. I. Code

Fed. Code

Odd Pay't

Br. App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = O

Yes = Y

Disburse as Follows

Name ^{KKK} JOHN WERBER

Initial

Date 5-31-74

Amount of Loan

Less — Discount @

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustments

Total Proceeds

FOR USE OF
DISCOUNT SECTION

L. I. Code

Fed. Code

Odd Pay't

Br. App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = O

Yes = Y

Disburse as Follows

DIP

\$ 7740. —

Less — Discount @

5.25 % \$ 1219.05

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustments

Total Proceeds

1219.05

\$ 6520.95

\$ 6520.95

\$ 6520.95

PAID OUT BY BRANCH

I 781110

CK to ELLIOT SOMMER

USA 33s - 475
(ED. 4-23-71)

GOVT.

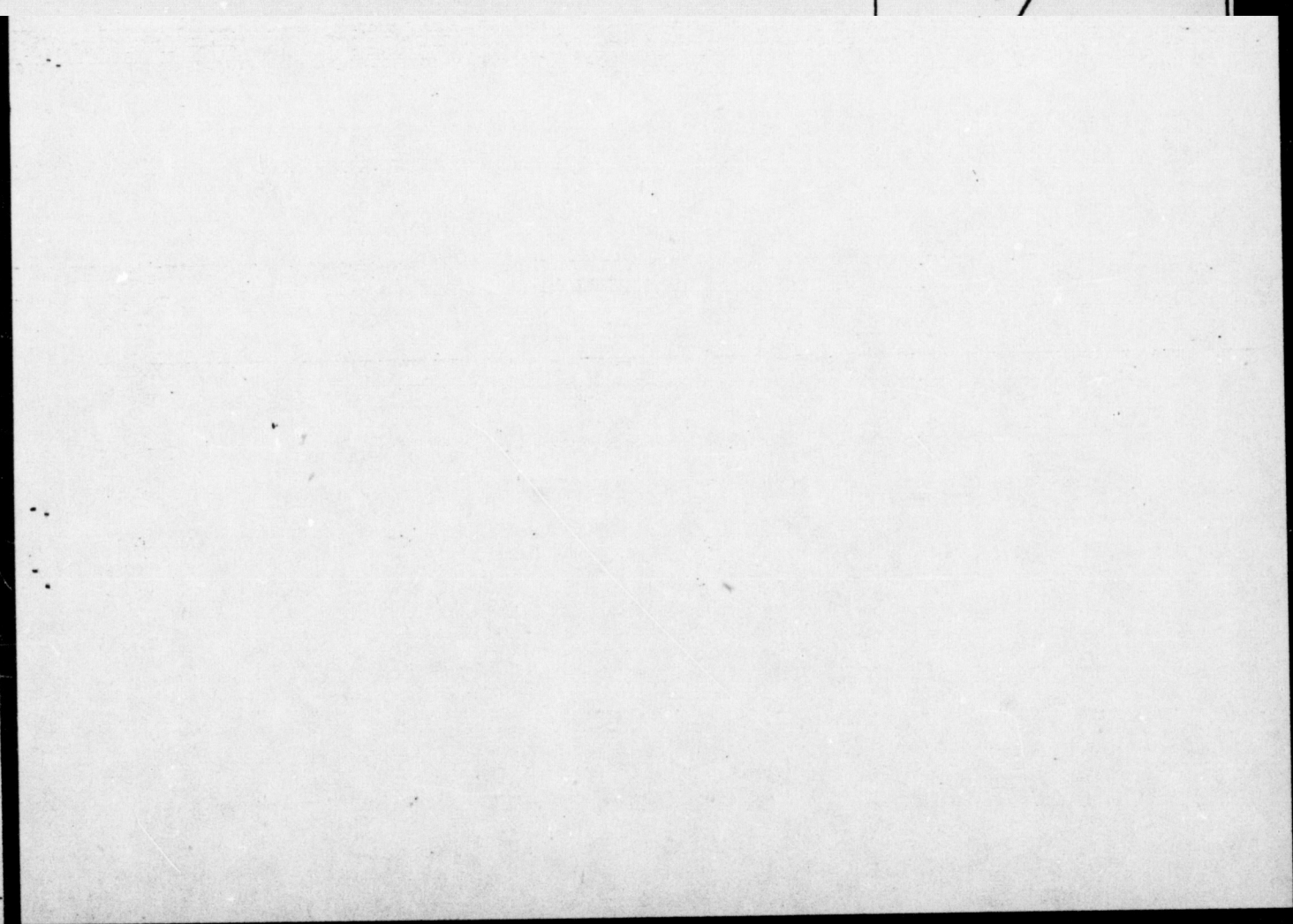
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

7

~~AD~~



CDC CLEARED _____
(DATE)

CDC OPER. NO. _____

BY _____



BANKERS TRUST COMPANY
NEW YORK

Branch Review _____

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME _____

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

CITY & STATE _____

PLEASE PRINT

PHILIP FRIEDMAN

1 STATION SQUARE

FOREST HILLS N.Y.

APT. NO. _____

ZIP CODE _____

401

11375

PLEASE PRINT

USA 338 - 475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

7A

ADDRESS OF COMPANY NUMBER AND STREET CITY OR TOWN STATE ZIP YEARS THERE

BANK AND RESIDENCE

RESIDENCE ☐ RENT ☐ OWN COST \$6500 MORTGAGEE CLEAR MORTGAGE BALANCE \$-0- MONTHLY RENT OR MORTGAGE PAYMENT \$-0-

BANK ACCOUNT NAME OF BANK ADDRESS ACCOUNT NUMBERS

☐ REG. CHECKING ☐ SPECIAL CHECKING ☐ SAVINGS

MARINE MIDLAND TOTTEN HILLS

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
Kimbel's		\$	\$	\$
Bloomfield		\$	\$	\$
SAKIS		\$	\$	\$
Bury Goodman		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> CHECK GUARANTEE <input type="checkbox"/> CHECK CREDIT	AMOUNT OF LINE	FOR BANK USE
Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?		<input type="checkbox"/> YES If yes, explain on separate sheet <input checked="" type="checkbox"/> NO		

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1). I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:
☐ HOME
☒ BUSINESS

I WOULD LIKE TO MAKE PAYMENTS ON THE 15 DAY OF THE MONTH

SIGNATURE OF APPLICANT

Elliot Samach

DATE

5-17-74



BANKERS TRUST COMPANY

INSTALLMENT LOAN APPLICATION

TOTAL LOAN \$ 8500 -	NO. OF MONTHS 12	MONTHLY PAYMENT \$	DISCOUNT PER \$100 PER ANNUM \$
PURPOSE OF LOAN Building improvements VACATION			

NAME AND ADDRESS

<input checked="" type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST FRIEDMAN	FIRST PHILIP	MIDDLE INITIAL W.	DATE OF BIRTH 3-4-25	<input checked="" type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
PRESENT HOME ADDRESS	NUMBER AND STREET 1 STATION SQUARE	CITY OR TOWN FOREST HILLS	STATE NY	APT. NO. 90 SAMMICH #401	ZIP 11325	YEARS THERE 10	
LAST HOME ADDRESS	NUMBER AND STREET 260 PALM AVE	CITY OR TOWN MIAMI BEACH	STATE FLORIDA	APT. NO.	ZIP	YEARS THERE 8	
SOCIAL	AUTO	<input type="checkbox"/> NO	MAKE	DRAFT	HOME TELEPHONE	NO. DEPENDENTS	

\$

\$

\$

\$

Branch Experience

Type of A/C

A/C Since

Average Balance \$

☐ Regular Checking A/C

☐ Special Checking A/C

☐ Savings A/C

Balance \$

Loans (if any)

High Point \$

Owe at Present \$

Type of Loan

☐ Unsecured
☐ Secured

If secured—List collateral and show value:

Does branch hold General Collateral Agreement?

Manner in which account is maintained

HOW WAS APPLICANT IDENTIFIED? (Give full details)

N.Y. exp. lis 501447-73469-445208-18-exp. 9-25
N.Y. Phone Credit Card #764-8686-1275

Source:

Office:

By *W. Williams*

TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE

Name & Address of Dealer

Make

Year

Model

Purchase Price \$

Serial No.

Motor No.

Trade In (if none state "none")

Make

Year

Allowance \$

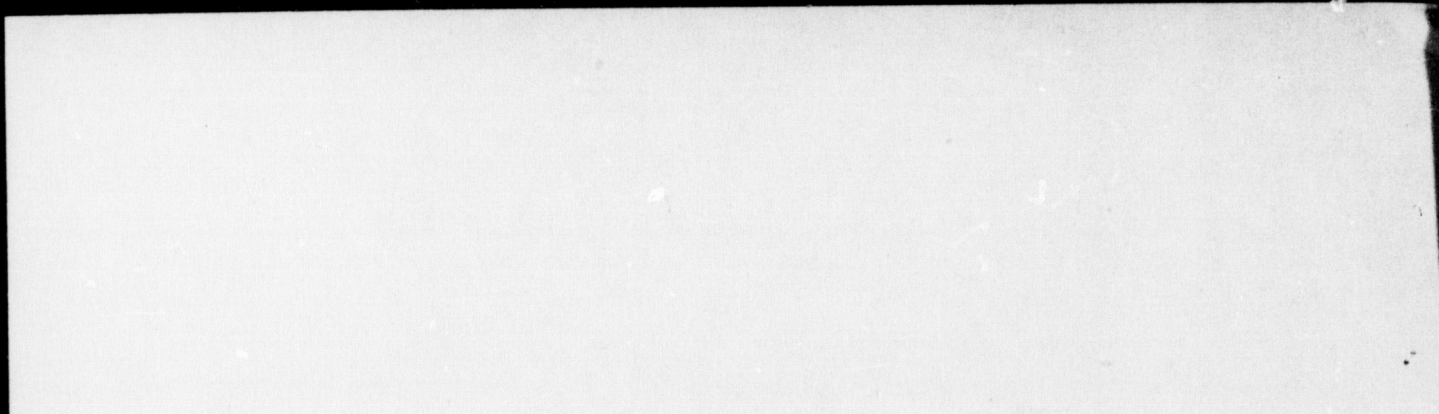
FOR BANK USE ONLY

Open on installment loan at present

A/C No.

Open an installment loan at present

A/C No.



All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance of each such account with, and each such claim against, the Bank.

The term "liabilities of the undersigned" shall include the liability evidenced by this Note and all other liabilities, direct or contingent, joint, several or independent, of the undersigned now or hereafter existing, due or to become due to, or held or to be held by, the Bank for its own account or as agent for another or others, whether created directly or acquired by assignment or otherwise.

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or on behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of any warrant, process, or order for attachment, garnishment or other lien and/or the filing of a lien as a result thereof against any of the property of the undersigned, or upon the commencement of any proceeding under, or the use of any of the provisions of, Article 52 of the New York Civil Practice Law and Rules by any judgment creditor against the undersigned or with respect to any property of the undersigned, or upon the making by the undersigned or any endorser or guarantor of this note of an assignment for the benefit of creditors, or upon the sale of the premises to be improved if the loan evidenced by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or notice to the undersigned or right of redemption by the undersigned except as shall be required by applicable statute and cannot be waived, at its option to sell, re-sell, assign, transfer and deliver all or any part of the Collateral, at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.

Upon any transfer of any of the liabilities of the undersigned, the Bank may retain all or any part of the Collateral as security for all liabilities of the undersigned retained by the Bank.

If this note falls due on a Saturday, Sunday or a public holiday, it shall be payable on the next succeeding business day and such additional time shall be included in the computation of interest.

The Bank shall not be obligated to take any steps necessary to preserve any rights in any of the Collateral against prior parties who may be liable in connection therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Collateral, (ii) notify any obligor on any Collateral to make payment to the Bank of any amounts due thereon, and/or (iii) take control of any proceeds of any Collateral. The undersigned, if more than one, shall be jointly and severally liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

SIGNATURE (write in full)

Elliot Samach

RESIDENCE OR BUSINESS ADDRESS

dumont

FOODS 100 Leyland Drive, Leonia, New Jersey 07605 ■ 201-

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

7B

J. Downes

T.B.

Branch 10

Elliot Somach



TO: BANKERS TRUST COMPANY
NEW YORK, N.Y.
INSTALLMENT LOAN DIVISION

Application Number Branch 10

Re: Elliot Somach

The following information is given at your request:
is

The person named herein ~~is not~~ ~~XXXXXXXXXX~~ in our employ at present.

Position FIELD SUPERV Salary \$ 36000.00 per yr
Length of Service 8 Does salary have a lien against it? NO
Date of Birth _____ Number of dependents _____
Social Security Number [REDACTED] 114-127662
Residence Address STATION PLAZA FORREST HILLS QUEENS
Comments _____

RECORD CLEAR, PROSPECTS CONTINUED EMPLOY GOOD

(Firm Name)

By

[Signature] [Signature] [Signature]

BY _____

INSTALLMENT LOAN FILE

NAME _____

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

PLEASE PRINT

CITY & STATE _____

PLEASE PRINT

APT. NO. _____

ZIP CODE _____

PHILIP NOLAN

1 STATION PLAZA

FOREST HILLS QUEENS NY

INTERVIEWER

RECEIVED

5-24-74

A.M.
P.M.

Mustin

SPECIAL INSTRUCTIONS

CALL IN 10:00

FOR USE OF
DISCOUNT SECTIONNO. OF
PAYMENTS

48

MONTHLY
PAYMENT

198

ODD PAY'T
IF ANYFIRST PAY'T
DUEPURPOSE
OF LOANDATE OF
DISCOUNTAUTO
CODE

DIP

APPROVAL

amt 9.504.00
48 x 198Co. Liability of All Liab. Entities Direct
Contingent Including This Loan

Name _____

Initial _____

Date _____

FOR USE OF
DISCOUNT SECTION

Code

Code

Pay't

App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0 Yes = 1

Amount of Loan

\$ 9.504.00

Less — Discount @

5.25 %

\$ 1.995.84

Filing Fees

\$ _____

Credit Account No.

\$ _____

Life Insurance

\$ _____

Total Deductions

LIABILITY CARD

Net Proceeds

NOTE MAIL

Adjustment

SEND TO ILD BY MAIL

Total Proceeds

\$

Disburse as Follows

\$

COMPUTED

NCR

DISBURSED

INSTALLMENT LOAN ACCOUNT NUMBER

SERIAL
NUMBER

206085

DEALER
NUMBER

057

REGION
NUMBER

01

BANK
NUMBER6/25/74
200

LIABILITY CARD

NOTE MAIL

SEND TO ILD BY MAIL

1.995.84

7.508.16

6071

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

6A

~~1~~

NAME AND ADDRESS

<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST NOLAN	FIRST PHILIP	MIDDLE INITIAL	DATE OF BIRTH 6-4-24	<input type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
PRESENT HOME ADDRESS 1 STATION PLAZA	NUMBER AND STREET	CITY OR TOWN FORETHILL QUEENS NY	STATE NY	AFT. NO. 40	ZIP SAMACH	YEARS THERE 8	
LAST HOME ADDRESS 533 WARWICK ST	NUMBER AND STREET	CITY OR TOWN BKLYN	STATE NY	AFT. NO.	ZIP	YEARS THERE	
SOCIAL SECURITY NUMBER 104-28-7502	AUTO OWNED <input type="checkbox"/> NO <input type="checkbox"/> YES <input checked="" type="checkbox"/>	MAKE AND YEAR COMP CAR	DRAFT STATUS	HOME TELEPHONE 793-2110	NO. DEPENDENTS (Excluding Self)		
NEAREST RELATIVE (Not living with you)	NAME ELLIOT SAMACH	ADDRESS 1 STATION PLAZA QUEENS, NY	RELATIONSHIP				

APPLICANT'S EMPLOYMENT

NAME OF COMPANY LA BOHEME RESTAURANT	TELEPHONE 628-3008	POSITION ENTERTAINMENT MANAGER	EMPLOYEE OR BADGE NUMBER	SALARY \$ 2200 <input type="checkbox"/> Mo <input checked="" type="checkbox"/> Week
ADDRESS OF COMPANY 998 MADISON AVE	CITY OR TOWN NYC	STATE NY	YEARS THERE 5	COMMISSIONS (if any) \$ <input type="checkbox"/> Mo <input type="checkbox"/> Week
LAST EMPLOYMENT THEATRE ARTS REPERTORY CO.	NAME OF COMPANY	ADDRESS 243-8900	YEARS THERE 10	AMOUNT \$ 1000 <input type="checkbox"/> Mo <input checked="" type="checkbox"/> Week
OTHER INCOME <input checked="" type="checkbox"/> RENTAL <input type="checkbox"/> DIVIDEND <input type="checkbox"/> PENSION <input type="checkbox"/> OTHER (Explain) INCOME FROM BLDG.				

SPOUSE'S EMPLOYMENT

NAME OF COMPANY	TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY \$ <input type="checkbox"/> Mo <input type="checkbox"/> Week
ADDRESS OF COMPANY	NUMBER AND STREET	CITY OR TOWN	STATE	ZIP
				YEARS THERE

BANK AND RESIDENCE

RESIDENCE <input type="checkbox"/> RENT <input checked="" type="checkbox"/> OWN	COST \$ 0	MORTGAGEE FARM + CLEAR	MORTGAGE BALANCE \$	MONTHLY RENT OR MORTGAGE PAYMENT TAXES
BANK ACCOUNT <input type="checkbox"/> REG. CHECKING <input type="checkbox"/> SPECIAL CHECKING <input type="checkbox"/> SAVINGS	NAME OF BANK CENTRAL SAVINGS	ADDRESS	ACCOUNT NUMBERS	

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise -- if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
NONE				
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> CHECK GUARANTEE <input type="checkbox"/> CHECK CREDIT	AMOUNT OF LINE \$	FOR BANK USE

Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you? ☐ YES ☒ NO If yes, explain on separate sheet

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:
☐ HOME
☐ BUSINESS

I WOULD LIKE TO MAKE PAYMENTS ON THE _____ DAY OF THE MONTH

SIGNATURE OF APPLICANT

Philip Nolan

DATE

Make Year Model Purchase Price \$
Serial No. Motor No.
Trade In (if none state "none") Make Year Allowance \$

FOR BANK USE ONLY

Open on installment loan at present

A/C No.

A/C No.

Comments:

*McDonald
Owner*

DESCRIPTION OF COLLATERAL OFFERED

VALUE

\$

\$

\$

\$

Branch Experience

Type of A/C

A/C Since

☐ Regular Checking A/C

☐ Special Checking A/C

☐ Savings A/C

Average Balance \$

Balance \$

Loans (if any) High Point \$

Owe at Present \$

Type of Loan ☐ Unsecured
☐ Secured

If secured—List collateral and show value:

Does branch hold General Collateral Agreement?

Manner in which account is maintained

HOW WAS APPLICANT IDENTIFIED? (Give full details)

*Arthur J. Gentry Association
69975 & 9332*

Professional letter from Credit # 1661

Source: *NY & L.C. N15936 02521471974-15 Exp 12/31/76*

By

*1-6557
62097*

Property Improvement Loan Information Sheet

GOV 1

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

68 ~~18~~

1. Address of Property to be Improved:

15 JANDAM ST NYC
(Number and Street) (City or Borough)

Date Purchased _____

2. In what name or names is deed recorded?:

3. Are all taxes, assessments and fire insurance premiums up to date? yes

4. Are payments on mortgage current? _____

5. Description of improvement to be made: (attach estimates)

	Cost	Name of Contractor
<u>Painting - Refurbishment</u>	\$ <u>2500-</u>	<u>Daniel Evangelista</u>
<u>and added equipment</u>	\$ <u>4500-</u>	
<u>7 facilities</u>	\$ <u>1500-</u>	
	\$ _____	
TOTAL	\$ <u>8500-</u>	

In the event the loan for the above improvement is made, in addition to the agreements contained in any note evidencing such loan, the undersigned hereby agree(s) that if the premises to be improved with the proceeds of this note are sold the entire unpaid balance of said note shall become immediately due and payable.

Date 5-24-74

[Signature]

FOR BANK USE ONLY

Deed Exhibited _____ In Name of _____
Date Recorded _____ Liber _____ Page Number _____

Address on Deed agrees with Property Location shown on Application _____

Showed last tax bills paid for first half 19 _____ Date Paid _____
second half

Special Comments _____

BRANCH _____

Interviewer _____

GOVT.

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

7

~~D~~

BY _____

INSTALLMENT LOAN FILE

NAME

PHILIP FRIEDMAN

PLEASE PRINT

ADDRESS TO BE USED (Street Address)

1 STATION SQUARE

APT. NO.

401

PLEASE PRINT

CITY & STATE

FOREST HILLS N.Y.

ZIP CODE

11375

INSTALLMENT LOAN ACCOUNT NUMBER

SERIAL NUMBER
DEALER NUMBER
BRANCH NUMBER
REGION NUMBER
BANK NUMBER

207149

056

01

INTERVIEWER

RECEIVED

6/24/74
A.M.
P.M.
Holmes

SPECIAL INSTRUCTIONS

APPROVAL

10,080 -
36 x 280 -

Delivered - 7/24/74
Mr. Werber
Mr. Brady

Liability of All Liable Entities Direct
Contingent Including This Loan

Name

Initial

Date

Phone on job disallowed
No credit history
No home phone listing

FOR USE OF
DISCOUNT SECTION

NO. OF
PAYMENTS

36

MONTHLY
PAYMENT

280

ODD PAY'T
IF ANY

FIRST PAY'T
DUE

PURPOSE
OF LOAN

DATE OF
DISCOUNT

AUTO
CODE

DIP

FOR USE OF
DISCOUNT SECTION

Code

Code

Pay't

App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0 Yes = 1

Amount of Loan

Less - Discount @

11.49 5.25 %

\$ 1587.60

Filing Fees

Credit Account No.

JUL 25 1974
LIABILITY CARD
NOTE MAILED
RETD TO HLD BY BR.

Life Insurance

Total Deductions

Net Proceeds

Adjustment

Total Proceeds

1587.60

8492.40

8492.40

8492.40

COMPUTED

NCR

DISBURSED

Disburse as Follows

CHECK TO BORROWER

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

7A

~~18~~

NAME AND ADDRESS

<input type="checkbox"/> MR <input type="checkbox"/> MRS <input type="checkbox"/> MISS	LAST FRIEDMAN	FIRST PHILIP	MIDDLE INITIAL W.	DATE OF BIRTH 3-4-25	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Separated <input type="checkbox"/> Divorced	NAME OF SPOUSE
PRESENT HOME ADDRESS 1 STATION SQUARE	NUMBER AND STREET FOREST HILLS	CITY OR TOWN NY	STATE NY	APT. NO. #401	ZIP 11375	YEARS THERE 10
LAST HOME ADDRESS 260 PALM AVE	NUMBER AND STREET MIAMI BEACH	CITY OR TOWN FLORIDA	STATE FLORIDA	APT. NO.	ZIP	YEARS THERE 8
SOCIAL SECURITY NUMBER 114-12-1502	AUTO OWNED <input type="checkbox"/> NO <input type="checkbox"/> YES	MAKE AND YEAR <input type="checkbox"/> YES <input type="checkbox"/> NO	DRAFT STATUS	HOME TELEPHONE 793-2110	NO. DEPENDENTS (Excluding Self) 0	
NEAREST RELATIVE (Not living with you) HARRY JACOBS	NAME	ADDRESS 140 CLARKSON AVE - Bklyn NY		RELATIONSHIP uncle		

APPLICANT'S EMPLOYMENT

NAME OF COMPANY DUMONT FOODS	TELEPHONE 201 461-5450	POSITION ADULT CONSULTANT	EMPLOYEE OR BADGE NUMBER	SALARY \$3000- <input type="checkbox"/> Mo <input type="checkbox"/> Week
ADDRESS OF COMPANY 100 LEYLAND DR.	CITY OR TOWN LEONIA	STATE NJ	ZIP 07033	YEARS THERE 10 yr
LAST EMPLOYMENT AREX CHEMICAL CO.	ADDRESS 1602 FLAGLER ST - MIAMI, FLA.			YEARS THERE 10 yr
OTHER INCOME <input type="checkbox"/> RENTAL <input type="checkbox"/> DIVIDEND <input type="checkbox"/> PENSION <input type="checkbox"/> OTHER (Explain)	AMOUNT \$500- <input type="checkbox"/> Mo <input type="checkbox"/> Week			

SPOUSE'S EMPLOYMENT

NAME OF COMPANY	TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY \$ <input type="checkbox"/> Mo <input type="checkbox"/> Week
ADDRESS OF COMPANY	CITY OR TOWN	STATE	ZIP	YEARS THERE

BANK AND RESIDENCE

RESIDENCE <input checked="" type="checkbox"/> RENT <input type="checkbox"/> OWN	COST \$	MORTGAGEE	MORTGAGE BALANCE \$	MONTHLY RENT OR MORTGAGE PAYMENT \$200
BANK ACCOUNT <input type="checkbox"/> REG. CHECKING <input type="checkbox"/> SPECIAL CHECKING <input type="checkbox"/> SAVINGS	NAME OF BANK FRANKLIN NATIONAL BANK - FRANKLIN SQUARE L.I.	ADDRESS	ACCOUNT NUMBERS	

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
GIMDELS		\$	\$ NONE	\$
None		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> CHECK GUARANTEE <input type="checkbox"/> CHECK CREDIT	AMOUNT OF LINE	
Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?			<input type="checkbox"/> YES <input type="checkbox"/> NO	If yes, explain on separate sheet
				FOR BANK USE

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
☒ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statement, in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1), I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO: <input type="checkbox"/> HOME <input type="checkbox"/> BUSINESS	I WOULD LIKE TO MAKE PAYMENTS ON THE _____ DAY OF THE MONTH	SIGNATURE OF APPLICANT Philip W. Friedman	DATE 6-10-74
---	---	---	------------------------

Serial No.

Motor No.

Trade In (if none state "none")

Make

Year

Allowance \$

FOR BANK USE ONLY

Open on installment loan at present

A/C No.

A/C No.

Comments:

Home Telephone Listed under Name of
ELLIOT SAMACH.

DESCRIPTION OF COLLATERAL OFFERED

VALUE

\$

\$

\$

\$

Branch Experience

Type of A/C

A/C Since

☐ Regular Checking A/C☐ Special Checking A/C☐ Savings A/C

Average Balance \$

Balance \$

Loans (if any)

High Point \$

Owe at Present \$

Type of Loan

☐ Unsecured
☐ Secured

If secured—List collateral and show value:

Does branch hold General Collateral Agreement?

Manner in which account is maintained

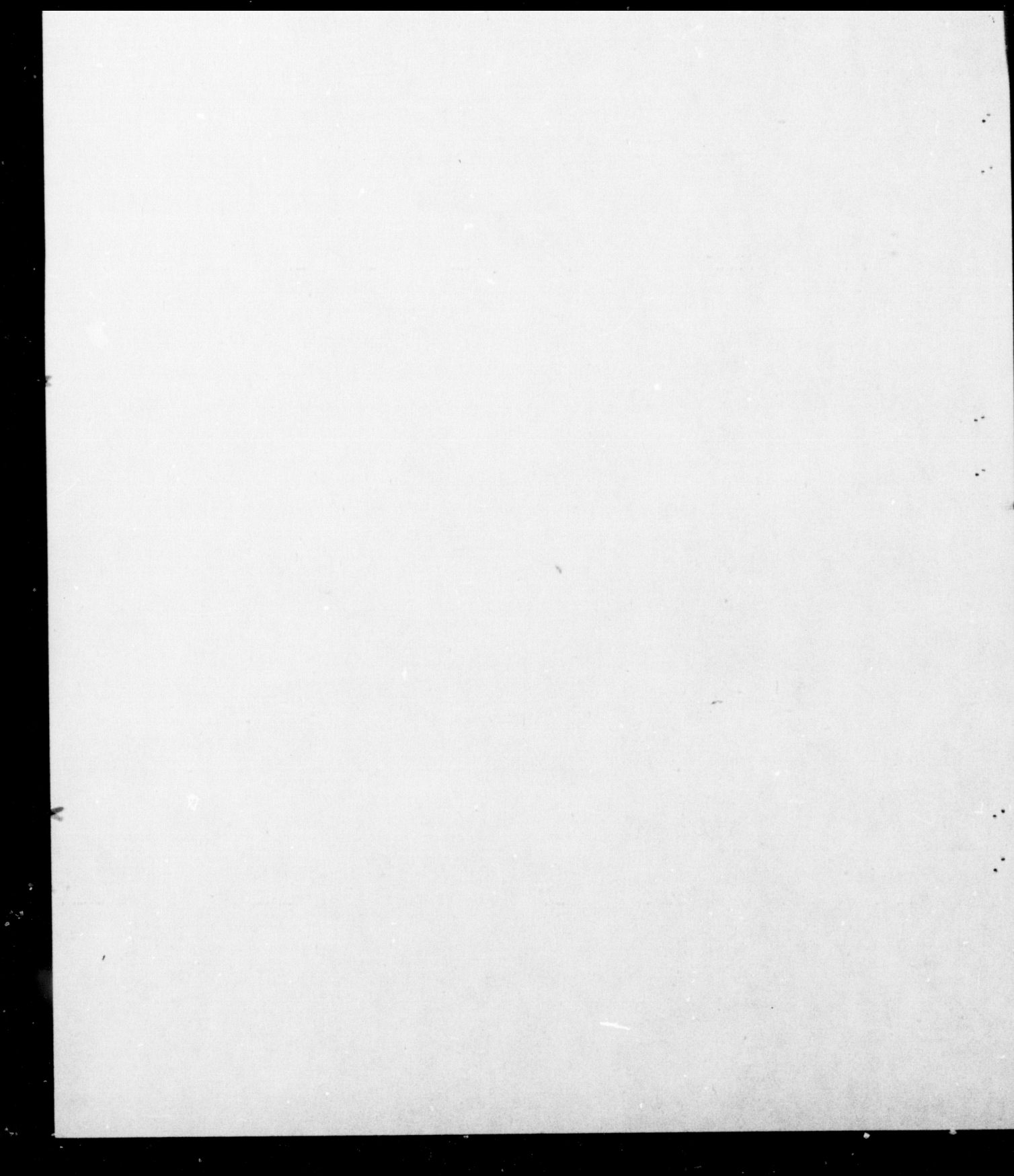
HOW WAS APPLICANT IDENTIFIED? (Give full details)

Army discharge at Nassau County Clerk's Office -
#123 - Bp. 1234 - Mineola N.Y.
5/15/51

Source:

Office:

By



7B ~~18~~

July 5, 1974

Mr. Kirby Holmes
Bankers Trust
605 Third Avenue
New York, New York

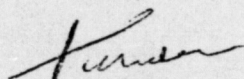
RE: Mr. Friedman

Dear Mr. Holmes:

This is to confirm that Mr. Friedman is employed by us as a Consultant in our Sales Department. His salary is approximately thirty thousand per annum, and his record clear.

This information was transmitted by phone to your Credit Department previously.

Yours truly,

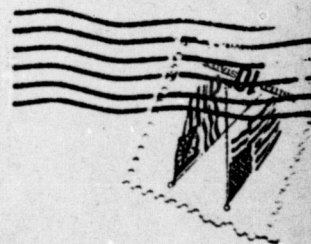


M. Randall
General Manager

MR:JF

100 Leyland Drive, Leonia, New Jersey 07605

dumont
FOODS



BANKERS TRUST CO.
605 THIRD AVENUE
NEW YORK, NEW YORK

c/o

W. H. H. H.

X

Forest Hills INN

Station Square at Continental Avenue, Queens
FOREST HILLS GARDENS, LONG ISLAND, NEW YORK
Boulevard 8-1900

USA 33a-475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

7C ~~15~~

EXECUTIVE OFFICE

July 22, 1974

Bankers Trust Company
605 Third Avenue
New York, New York

Gentlemen:

This is to advise you and to confirm the fact that
Mr. Philip W. Friedman and Mr. Elliot Samach are tenants in
common in Apt. #401 at the Forest Hills Inn, One Station
Square, Forest Hills, New York 11375.

Very truly yours,

Warren Reiner

Warren Reiner

Executive Director

Tennis Capital of the World

A hotel of unusual charm, adhering to the finest standards of service . . . noted for pleasant accommodations
fine table and distinctive banquet and party facilities. Fifteen minutes from Manhattan by train or car

USA 33a-475
(ED. 4-23-71)

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EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

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CDC CLEARED _____ (DATE)

CDC OPER. NO. _____

BY _____



BANKERS TRUST COMPANY
NEW YORK

Branch Review _____

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME ANDRE EDMANS, SR.

ADDRESS TO BE USED (Street Address) 6 HORIZON ROAD.

APT. NO. _____

CITY & STATE FT. LEE, N.J.

ZIP CODE _____

INTERVIEWER

SPECIAL INSTRUCTIONS

FOR USE OF DISCOUNT SECTION

RECEIVED

6-20-74

A.M.
P.M.

W. Williams

will consider with strong ch

APPROVAL

unt 7740. -
36X 215

Declined

Co. Liability of All Liable Entities Direct
Contingent Including This Loan

Name

J. Williams

Initial

[Signature]

Date

JUL 10 1974

FOR USE OF DISCOUNT SECTION

Code

Code

d Pay't

App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0

Yes = 1

Disburse as Follows

Amount of Loan

Less — Discount @

5.25 % \$ 1219.05

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustment

Total Proceeds

\$ 7740. -

1219.05

\$ 6520.95

\$ 6520.95

\$ 6520.95

COMPUTED

NCR

DISBURSED

Name			D & B Rating		
<u>HOME TELEPHONE</u> Verified By <input type="checkbox"/> T.D. <input type="checkbox"/> A.T.D. <input type="checkbox"/> Info. Not Verified Listed as:			<u>BUSINESS TELEPHONE</u> Verified By <input type="checkbox"/> T.D. <input type="checkbox"/> A.T.D. <input type="checkbox"/> Info. Not Verified Listed as:		
<u>EMPLOYMENT</u>			Our Experience		
Position	Age	Branch	Date & Account No.	Original Amount	Balance
Length of Employment	No. Deps.		App		
Salary \$	per	Liens	App		
Home Address	<input type="checkbox"/> Verified <input type="checkbox"/> No. info.	Indicated as:	C/M		
Informant	Title or Position	# Previous	High Point	Exp.	Verified By
Bank	Branch	Tel. No.			
A/C I.N.O.	A/C Since	Average Balances \$			
Authorized Signatures					
Accommodations—Type	High Point \$	Owe \$	Experience		
Remarks					

Name			D & B Rating		
<u>HOME TELEPHONE</u> Verified By <input type="checkbox"/> T.D. <input type="checkbox"/> A.T.D. <input checked="" type="checkbox"/> Info. Not Verified Listed as: <i>Melvin Preuss</i>			<u>BUSINESS TELEPHONE</u> 516-379 6661 Verified By <input checked="" type="checkbox"/> T.D. <input type="checkbox"/> A.T.D. <input type="checkbox"/> Info. Not Verified Listed as: 85 Mill Rd, Freport		
<u>EMPLOYMENT</u>			Our Experience		
Position	Age	Branch	Date & Account No.	Original Amount	Balance
Length of Employment	No. Deps.		App		
Salary \$	per	Liens	App		
Home Address	<input checked="" type="checkbox"/> Verified <input type="checkbox"/> No. info.	Indicated as:	C/M		
Informant	Title or Position	# Previous	High Point	Exp.	Verified By
Bank	Branch	Tel. No.			
A/C I.N.O.	A/C Since	Average Balances \$			
Authorized Signatures					
Accommodations—Type	High Point \$	Owe \$	Experience		
Remarks					

<u>AGENCIES</u>					
M. C. B.	P. C.	Litigation	Intcty	Folder	
<u>MORTGAGES</u>					
Mtgee.	Present Bal.	\$	Investigated By		
Phone No.	Payments	\$	Initials		
Title N/O	Taxes & ins. incl.?		Date		
Date of Mtge.	Paying record				
Original Amt.	Informant				

USA 33s - 475
(ED. 4-23-71)

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EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

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BANKERS TRUST COMPANY

INSTALLMENT LOAN APPLICATION

TOTAL LOAN NO. OF MONTHS	MONTHLY PAYMENT	DISCOUNT PER \$100 PER ANNUM
\$6500 36		
PURPOSE OF LOAN	FURNITURE	

NAME AND ADDRESS

MR. MRS. MISS	LAST	FIRST	MIDDLE INITIAL	DATE OF BIRTH	Single	Widowed	NAME OF SPOUSE
	ANDRE	EDMANS SR.		5/1/24	Married	Separated	NOTE
PRESENT HOME ADDRESS	NUMBER AND STREET	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE	
	6 HORIZON RD	FORT LEE	N.J.			16	
LAST HOME ADDRESS	NUMBER AND STREET	CITY OR TOWN	STATE	APT. NO.	ZIP	YEARS THERE	
	WEST END AVE	N.Y.C.				5	
SOCIAL SECURITY NUMBER	AUTO OWNED	MAKE AND YEAR	DRAFT STATUS	HOME TELEPHONE	NO. DEPENDENTS (Excluding Self)		
114-08-2223		1970 mustang		201-224-2381	0		
NEAREST RELATIVE (Not living with you)	NAME	ADDRESS	RELATIONSHIP				
	THEODORE GARRIS	HORIZON HSE FORT LEE N.J.					

APPLICANT'S EMPLOYMENT

NAME OF COMPANY	TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY
AMERICAN PLANNING CO	MURPHY	REPRESENTATIVE		\$3600 Mo
ADDRESS OF COMPANY	NUMBER AND STREET	CITY OR TOWN	STATE	ZIP
	320 5TH AVE	N.Y.C.		10
LAST EMPLOYMENT	NAME OF COMPANY	ADDRESS	YEARS THERE	AMOUNT
	LA-BOHEME REST CORP	628-3008 phone	8 months	\$300 Mo
OTHER INCOME	RENTAL	DIVIDEND	OTHER (Explain)	
			AS SHOWN ABOVE 2nd Job	

SPOUSE'S EMPLOYMENT

NAME OF COMPANY	TELEPHONE	POSITION	EMPLOYEE OR BADGE NUMBER	SALARY
ADDRESS OF COMPANY	NUMBER AND STREET	CITY OR TOWN	STATE	ZIP

BANK AND RESIDENCE

RESIDENCE	RENT	COST	MORTGAGEE	MORTGAGE BALANCE	MONTHLY RENT OR MORTGAGE PAYMENT
					\$220
BANK ACCOUNT	NAME OF BANK	ADDRESS	ACCOUNT NUMBERS		
REG. CHECKING	CHAMBERS TR	72nd Madison Ave			
SPECIAL CHECKING	DIME SAVINGS	Bank - 48th St Ave			
SAVINGS					

DEBTS (List all present debts as borrower, co-maker, endorser, guarantor or otherwise - if none write none). If more space is needed use separate sheet.

NAME OF LENDER	ADDRESS	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
GUMBEL	no balance	\$	\$	\$
Planning Co.	no balance	\$	\$	\$
no other debts		\$	\$	\$
LINE OF CREDIT	NAME OF LENDER	TYPE	AMOUNT OF LINE	
		CREDIT CARD	\$	\$
		CHECK GUARANTEE	\$	\$
		CHECK CREDIT	\$	\$
Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?				FOR BANK USE

- ☐ CREDIT PROCEEDS TO MY CHECKING ACCOUNT, NUMBER & TITLE:
- ☐ MAKE CHECK PAYABLE TO ME AND/OR:

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant me a loan and I understand you will rely upon said statements in considering this application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this application shall remain your property whether or not the loan is granted. I agree to notify you promptly of any changes in the conditions stated. If loan is granted, you are authorized to deduct in advance the discount charge appearing in the upper right hand corner of this application. Subject to a minimum charge of \$10.00, the rate of charge will not

exceed (1) \$6.00 discount per annum per one hundred dollars face amount of the loan, or (2) \$5.00 discount per annum per one hundred dollars face amount of loan if the face amount of the loan exceeds \$1200.00 and is for the purpose of property improvement or business purposes or the purchase of a cooperative apartment, and is repayable in more than 37 months, or (3) 1% per month if the loan is for educational purposes. I represent that, except as shown above, (1) I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, judgment or legal claim of any kind whatsoever now pending against me.

SEND MAIL TO:
☐ HOME
☒ BUSINESS

I WOULD LIKE TO MAKE PAYMENTS ON THE _____ DAY OF THE MONTH

SIGNATURE OF APPLICANT

DATE

6-25-74

**TO BE COMPLETED BY APPLICANT IF LOAN IS
FOR CAR PURCHASE**

Name & Address of Dealer _____

Make _____ Year _____ Model _____ Purchase Price \$ _____

Serial No. _____ Motor No. _____

Trade In (if none state "none") _____ Make _____ Year _____ Allowance \$ _____

FOR BANK USE ONLY

Open on installment loan at present _____ A/C No. _____

_____ A/C No. _____

Comments: _____

DESCRIPTION OF COLLATERAL OFFERED

VALUE

\$ _____
\$ _____
\$ _____
\$ _____

Branch Experience

Type of A/C

A/C Since

Average Balance \$ _____

☐ Regular Checking A/C

☐ Special Checking A/C

☐ Savings A/C

Balance \$ _____

Loans (if any) _____ High Point \$ _____

Owe at Present \$ _____

Type of Loan ☐ Unsecured
☐ Secured

If secured—List collateral and show value: _____

Does branch hold General Collateral Agreement? _____

Manner in which account is maintained _____

HOW WAS APPLICANT IDENTIFIED? (Give full details)

Korvette Card #0305-011-739-3

Source: _____

Office: *10*

By

W. Williams

USA 338-475
(ED. 4-23-71)

GOVT
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

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CO-MAKER'S STATEMENT

TO: BANKERS TRUST COMPANY,
NEW YORK, N. Y.Date 7-25-74This statement is given in connection with the application of A Edman for an Installment Loan.Name (Print) ☒ Mr. ☐ Mrs. ☐ Miss

First Name

Middle Name

Last Name

S.S. #

Date of Birth

Draft Status

Married ☐ Single ☐ Separated ☒ Name of Wife or Husband

Home Tel. No.

No. of Dependents

Home Address

No. & Street

Apt. No.

City or Borough

State

Zip Code

Years There

Last Previous Address

No. & Street

City or Borough

State

Years There

Car

Owned

Yr.

Make

Residence

If rented: Rent \$ per month

Landlord's Name & Address

If owned: Cost \$

Mtg. Bal. \$

Mo. Payt. \$

Mtg. \$

Employed by

Self Employed ☐

Firm Name

Position

No. Yrs. There

Address

Tel. No.

Kind of

Business

Supervisor

Present Salary

\$

Per

Yr

Present Commission \$

+

Per

Yr

Previous Employer

BOISE CASCADE

Address

WILCOX

No. Years

Other Income \$ Per Source

Nearest Relative (not living with you):

Name

Address

Relationship

W-Edman

Do you have a revolving check credit and/or credit card account at any other bank?

If yes, maximum amount(s) or line(s) \$ at

The total amount of all my present debts as Borrower, Endorser, Guarantor or otherwise is \$

If none, state "NONE"; otherwise list each obligation below:

NAME OF LENDER	ADDRESS	MAKER OR ENDORSER	ORIGINAL AMOUNT	PRESENT BALANCE	MONTHLY PAYMENT
HAT CITY BK	VARICK ST		\$ 2005	\$ 100	
	147		\$	\$	
			\$	\$	
			\$	\$	

Have you ever been adjudged a bankrupt or have any judgments, garnishments or other legal proceedings ever been filed against you?

If "yes" give particulars on separate sheet

Bank With

EAT? N.Y. Savings Bk.

- ☒ Regular Checking A/C
☐ Special checking A/C
☐ Savings A/C

How long have you known applicant?

7 yrs. Is applicant related to you, and if so, in what manner?

brother-in-law

I affirm that the foregoing statements are true and correct, and are made to induce BANKERS TRUST COMPANY to grant the requested loan and I understand you will rely upon said statements in considering the aforesaid application. You, and any source to which you may apply, or which may apply to you, (including, without limitation, my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange credit information relative to me. I agree that this Statement shall remain your property whether or not any loan is granted, and I represent that, except as shown above, I have no outstanding obligation to any bank, loan company, corporation or individual nor is there a lawsuit, judgment or legal claim of any kind whatsoever now pending against me. In the event that a loan is granted, the note, when paid, may be returned to any of the signers thereof.

Send Mail (if any) to: Home ☐
Business ☐

Signature

I. D. Over

N.Y. Lic. P18209-09358-057725-13
exp. 9-76

1974 telephone credit card
157-8061-1275.

at its office at 1775 Broadway, New York, N. Y., or at any of its bank offices in the State of
thousand seven hundred and
(\$ 7740.00) in United States currency in 36 equal successive months
each, except that the final monthly installment shall be \$ (any installment
any other, constitutes a balloon payment) the first such installment to be paid on the
and subsequent installments to be paid on the same day of each succeeding calendar month until
the event of prepayment in full of this loan, any unearned FINANCE CHARGE will be refund

(Do not complete for: 1) business purpose loans, 2) loans where the amount financed exceeds \$

1. Loan proceeds	\$ <u>6520.95</u>	4. FINANCE CHARGE (TOTAL)	\$ <u>1219.05</u>
2. Official fees	\$	a — Credit life ins.	\$
3. Amount financed (add 1 & 2)	\$ <u>6520.95</u>	b — Interest	\$ <u>1219.05</u>
ANNUAL PERCENTAGE RATE		5. Total of payments (add 3 & 4)	\$ <u>7740.00</u>
			<u>11.49</u> %

The Bank will deduct in advance the charge, if inserted in the upper right hand corner of this Note. If this Note evidences a loan under the provisions of Sec. 108 subd. 4 of the New York Banking Law or, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

The following described property is delivered to the Bank as security for the payment of all liabilities of the undersigned:

☐:

☐: Property described in security agreement(s) dated _____ 19____ and _____ 19____

All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance of each such account with, and each such claim against, the Bank.

The term "liabilities of the undersigned" shall include the liability evidenced by this Note and all other liabilities, direct or contingent, joint, several or independent, of the undersigned now or hereafter existing, due or to become due to, or held or to be held by, the Bank for its own account or as agent for another or others, whether created directly or acquired by assignment or otherwise.

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or on behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of any warrant, process, or order for attachment, garnishment or other lien and/or the filing of a lien as a result thereof against any of the property of the undersigned, or upon the commencement of any proceeding under, or the use of any of the provisions of, Article 52 of the New York Civil Practice Law and Rules by any judgment creditor against the undersigned or with respect to any property of the undersigned, or upon the making by the undersigned or any endorser or guarantor of this note of an assignment for the benefit of creditors, or upon the sale of the premises to be improved if the loan evidenced by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or notice to the undersigned or right of redemption by the undersigned except as shall be required by applicable statute and cannot be waived, at its option to sell, re-sell, assign, transfer and deliver all or any part of the Collateral, at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.

Upon any transfer of any of the liabilities of the undersigned, the Bank may retain all or any part of the Collateral as security for all liabilities of the undersigned retained by the Bank.

If this note falls due on a Saturday, Sunday or a public holiday, it shall be payable on the next succeeding business day and such additional time shall be included in the computation of interest.

The Bank shall not be obligated to take any steps necessary to preserve any rights in any of the Collateral against prior parties who may be liable in connection therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Collateral, (ii) notify any obligor on any Collateral to make payment to the Bank of any amounts due thereon, and/or (iii) take control of any proceeds of any Collateral. The undersigned, if more than one, shall be jointly and severally liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

FOR VALUE RECEIVED, the undersigned promises to pay to the order of Bankers Trust Corp at its office at 1775 Broadway, New York, N. Y., or at any of its other offices in the State of thousand seven hundred and for (\$ 7740. -) in United States currency in 36 equal successive month each, except that the final monthly installment shall be \$ (any installment v any other, constitutes a balloon payment) the first such installment to be paid on the and subsequent installments to be paid on the same day of each succeeding calendar month until : the event of prepayment in full of this loan, any unearned FINANCE CHARGE will be refund

EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

8D

(Do not complete for: 1) business purpose loans, 2) loans where the amount financed exceeds \$25,000.)

1. Loan proceeds	\$ <u>6520.95</u>	4. FINANCE CHARGE (TOTAL)	\$ <u>1219.05</u>
2. Official fees	\$	a - Credit life ins.	\$
3. Amount financed (add 1 & 2)	\$ <u>6520.95</u>	b - Interest	\$ <u>1219.05</u>
ANNUAL PERCENTAGE RATE		5. Total of payments (add 3 & 4)	\$ <u>7740. -</u>
			<u>11.49%</u>

The Bank will deduct in advance the charge, if inserted in the upper right hand corner of this Note. If this Note evidences a loan under the provisions of Sec. 108 subd. 4 of the New York Banking Law or, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

The following described property is delivered to the Bank as security for the payment of all liabilities of the undersigned:

☐: _____
☐: Property described in security agreement(s) dated _____ 19____ and _____ 19____

All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance of each such account with, and each such claim against, the Bank.

The term "liabilities of the undersigned" shall include the liability evidenced by this Note and all other liabilities, direct or contingent, joint, several or independent, of the undersigned now or hereafter existing, due or to become due to, or held or to be held by, the Bank for its own account or as agent for another or others, whether created directly or acquired by assignment or otherwise.

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or on behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of any warrant, process, or order for attachment, garnishment or other lien and/or the filing of a lien as a result thereof against any of the property of the undersigned, or upon the commencement of any proceeding under, or the use of any of the provisions of, Article 52 of the New York Civil Practice Law and Rules by any judgment creditor against the undersigned or with respect to any property of the undersigned, or upon the making by the undersigned or any endorser or guarantor of this note of an assignment for the benefit of creditors, or upon the sale of the premises to be improved if the loan evidenced by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or notice to the undersigned or right of redemption by the undersigned except as shall be required by applicable statute and cannot be waived, at its option to sell, re-sell, assign, transfer and deliver all or any part of the Collateral, at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.

Upon any transfer of any of the liabilities of the undersigned, the Bank may retain all or any part of the Collateral as security for all liabilities of the undersigned retained by the Bank.

If this note falls due on a Saturday, Sunday or a public holiday, it shall be payable on the next succeeding business day and such additional time shall be included in the computation of interest.

The Bank shall not be obligated to take any steps necessary to preserve any rights in any of the Collateral against prior parties who may be liable in connection therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Collateral, (ii) notify any obligor on any Collateral to make payment to the Bank of any amounts due thereon, and/or (iii) take control of any proceeds of any Collateral. The undersigned, if more than one, shall be jointly and severally liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

**BANKERS TRUST COM**

1775 BROADWAY, NEW YORK, N.

INSTALLMENT LOAN DIVISION

TELEPHONE

MAIL
POST OF
RADIO
NEW YO
June 25USA 338-475
(ED. 4-23-71)GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

SE

American Planning Co.
520 5th Avenue
New York, New YorkRe: Andre Edmans Sr.
6 Horizon Road
Fort Lee, New Jersey
S.S. # 114 08 2223

Att. Personnel:

Gentlemen:

We have been referred to you as the employer (or prior employer) of the subject about whom we are seeking employment verification in connection with a credit transaction.

We would appreciate your cooperation in completing the lower portion of this letter with as much of the information requested as is consistent with your policy. Such information will be held in strict confidence.

A stamped, self addressed envelope is enclosed for your convenience.

Very truly yours,

J. Downes

D1117

T.B.

Installment Loan Division

TO: BANKERS TRUST COMPANY
NEW YORK, N.Y.
INSTALLMENT LOAN DIVISION

Application Number Branch 10

Re: Andre Edmans

The following information is given at your request:
is

The person named herein is not in our employ at present.

Position Consultant Salary \$ 36,000.00 per year
Length of Service Over 10 years Does salary have a lien against it? no
Date of Birth _____ Number of dependents 0
Residence Address Same above Social Security Number Same
Comments _____

Record clear

[Signature]
(Firm Name)

D1117

By _____

**BANKERS TRUST COM**

1775 BROADWAY, NEW YORK, N. Y.

USA 33s - 475
(ED. 4-23-71)

INSTALLMENT LOAN DIVISION

TELEPHONE

MAIL
POST OF
RADIO
NEW YORK

June 26

**GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.****8F**La Bohem Resturant
998 Madison Avenue
New York, New YorkRe: Andre Edmans Sr.
6 Horizon Road
Fort Lee, New Jersey
S.S. # 114 08 2223

Att. Personnel:

Gentlemen:

We have been referred to you as the employer (or prior employer) of the subject about whom we are seeking employment verification in connection with a credit transaction.

We would appreciate your cooperation in completing the lower portion of this letter with as much of the information requested as is consistent with your policy. Such information will be held in strict confidence.

A stamped, self addressed envelope is enclosed for your convenience.

Very truly yours,

J. Downes

Installment Loan Division

D1117

T.B.

TO: BANKERS TRUST COMPANY
NEW YORK, N.Y.
INSTALLMENT LOAN DIVISION

Application Number Branch 10

Re: Andre Edmans

The following information is given at your request:
is

The person named herein is not in our employ at present.

Position General Mgr Salary \$350 per wk + per wk
Length of Service _____ Does salary have a lien against it? No
Date of Birth _____ Number of dependents 0
Social Security Number Some
Residence Address Some
Comments _____

La BOHEME CORP.

998 MADISON AVENUE
NEW YORK, N. Y. 10017
(Firm Name)

D1117

By [Signature]

X

Govt
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

86

6 something

70800

and Tax Statement Copy B—To be filed with employee's FEDERAL tax return **1973**

INCOME TAX INFORMATION			SOCIAL SECURITY INFORMATION			
1 Gross income	2 Wages, tips and other compensation	3 FICA employee tax withheld	4 Total FICA wages	5 Uncollected Employee FICA tax on tips		
\$4890.	\$48,009.00	\$497.00	\$48,009.00			
Type or print EMPLOYEE'S social security number, name and address below			6 State tax withheld	7 State wages paid	8 Name of state	
Ahmet EDMAN North Tower Horizon House Fort Lee, New Jersey 07024			\$260.00	--	N.Y.	
			9 City tax withheld	10 City wages paid	11 Name of city	
			--	--	N.Y.	
STATUS		OTHER INFORMATION (SEE CIRCULAR E)				
1. Single 2. Married		Cost of group term life insurance included in box 2		Excludable sick pay included in box 2		
This information is being furnished to the Internal Revenue Service and appropriate State officials.						

American Planning Corp. Inc.
520 Fifth Avenue
New York, New York 10036
#13-2754436

Type or Print
EMPLOYER'S
identification
number, name
and address

An "X" in the upper left corner indicates this is a corrected form.

Form **W-2**

Department of the Treasury—Internal Revenue Service

Personal Return Book

USA 33s - 475
(ED. 4-23-71)

Govt

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

84 ~~10~~

For the year January 1–December 31, 1973, or other taxable year beginning 1973, ending 19

Please print or type	Name (If joint return, give first names and initials of both)	Last name	COUNTY OF RESIDENCE	Your social security number
	<i>Arleta A</i>	<i>Edwards</i>		
	Present home address (Number and street, including apartment number, or rural route)		Occu- pation	Spouse's social security no.
	<i>North Tower Horizon Hse</i>			
City, town, or post office, State and ZIP code		Yours		
<i>Fort Lee N.J.</i>		Spouse's	<i>Wager</i>	

Filing Status—check only one:

- 1 ☐ Single
- 2 ☐ Married filing joint return (even if only one had income)
- 3 ☐ Married filing separately. If spouse is also filing give spouse's social security number in designated space above and enter full name here ▶ _____
- 4 ☒ Unmarried Head of Household
- 5 ☐ Widow(er) with dependent child (Year spouse died ▶ 19)

Exemptions

- 6a Yourself . . . ☒ Regular / 65 or over / Blind Enter number of boxes checked ▶
- b Spouse . . . ☐ ☐ ☐
- c First names of your dependent children who lived with you _____ Enter number ▶
- d Number of other dependents (from line 27) . . . ▶
- 7 Total exemptions claimed . . . ▶ *2*

8 Presidential Election Campaign Fund.—Check ☐ if you wish to designate \$1 of your taxes for this fund. If joint return, check ☐ if spouse wishes to designate \$1. Note: This will not increase your tax or reduce your refund. See note below.

Income	9 Wages, salaries, tips, and other employee compensation. (Attach Forms W-2. If unavailable, attach explanation)	9	<i>18000</i>	<i>00</i>	
	10a Dividends (See instructions on page 6.) \$	10b Less—exclusion \$ <i>1500</i>	10c	<i>1500</i>	<i>00</i>
	10d (Gross amount received, if different from line 10a . . . \$ <i>4500</i>)				
	11 Interest income . . .	11			
	12 Income other than wages, dividends, and interest (from line 38) . . .	12	<i>1700</i>	<i>00</i>	
	13 Total (add lines 9, 10c, 11, and 12) . . .	13			
	14 Adjustments to income (such as "sick pay," moving expenses, etc. from line 43) . . .	14			
	15 Subtract line 14 from line 13 (adjusted gross income) . . .	15	<i>29500</i>	<i>00</i>	

- If you do not itemize deductions and line 15 is under \$10,000, find tax in Tables and enter on line 16.
- If you itemize deductions or line 15 is \$10,000 or more, go to line 44 to figure tax.
- CAUTION. If you have unearned income and can be claimed as a dependent on your parent's return, check here ☐ and see instructions on page 7.

Please attach Copy B of Forms W-2 here	16 Tax, check if from:	Tax Tables 1–12	Tax Rate Schedule X, Y, or Z	16	<i>29500-</i>	<i>00</i>
	<input type="checkbox"/> Schedule D	<input type="checkbox"/> Schedule G	Form 4726 OR <input type="checkbox"/> Form 4972	17		
	17 Total credits (from line 54) . . .	17				
	18 Income tax (subtract line 17 from line 16) . . .	18				
	19 Other taxes (from line 61) . . .	19				
	20 Total (add lines 18 and 19) . . .	20	<i>9000</i>	<i>00</i>		
	21a Total Federal income tax withheld (attach Forms W-2 or W-2P to front) . . .	21a	<i>5387</i>	<i>00</i>		
	b 1973 estimated tax payments (include amount allowed as credit from 1972 return) . . .	b				
c Amount paid with Form 4868, Application for Automatic Extension of Time to File U.S. Individual Income Tax Return . . .	c					

Please attach Copy B of Forms W-2 here

Attach here

x, Payments and Credits

Other Dependents	(a) NAME	(b) Relationship	(c) Months lived in your home. If born or died during year, write B or D.	(d) Did dependent have income of \$750 or more?	(e) Amount YOU furnished for dependent's support. If 100% write ALL.	(f) Amount furnished by OTHERS including dependent.
	B Edmans	Mother		no	\$ ALL	\$ - 0 -

27 Total number of dependents listed in column (a). Enter here and on line 6d

Part III Income other than Wages, Dividends, and Interest

28 Business income or (loss) (attach Schedule C)	28	
29 Net gain or (loss) from sale or exchange of capital assets (attach Schedule D)	29	42,000
30 Net gain or (loss) from Supplemental Schedule of Gains and Losses (attach Form 4797)	30	5,000
31 Pensions, annuities, rents, royalties, partnerships, estates or trusts, etc. (attach Schedule E)	31	
32 Farm income or (loss) (attach Schedule F)	32	
33 Fully taxable pensions and annuities (not reported on Schedule E—see instructions on page 8)	33	
34 50% of capital gain distributions (not reported on Schedule D)	34	
35 State income tax refunds (does not apply if refund is for year in which you took the standard deduction—others see instructions on page 8)	35	
36 Alimony received	36	
37 Other (state nature and source)	37	
38 Total (add lines 28, 29, 30, 31, 32, 33, 34, 35, 36, and 37). Enter here and on line 12	38	-17,000

Part IV Adjustments to Income

39 "Sick pay." (From Forms W-2 and W-2P. If not shown on Forms W-2 or W-2P, attach Form 2440 or statement.)	39	
40 Moving expense (attach Form 3903)	40	
41 Employee business expense (attach Form 2106 or statement)	41	
42 Payments as a self-employed person to a retirement plan, etc. (see Form 4848)	42	
43 Total adjustments (add lines 39, 40, 41, and 42). Enter here and on line 14	43	17,000

Part V Tax Computation (Do not use this part if you use Tax Tables 1-12 to find your tax.)

44 Adjusted gross income (from line 15)	44	31,000
45 (a) If you itemize deductions, enter total from Schedule A, line 41 and attach Schedule A (b) If you do not itemize deductions, enter 15% of line 44, but do NOT enter more than \$2,000. (\$1,000 if line 3 checked)	45	
46 Subtract line 45 from line 44	46	
47 Multiply total number of exemptions claimed on line 7, by \$750	47	6,500
48 Taxable income. Subtract line 47 from line 46	48	24,500

(Figure your tax on the amount on line 48 by using Tax Rate Schedule X, Y, or Z, or if applicable, the alternative tax from Schedule D, income averaging from Schedule G, maximum tax from Form 4726, or special averaging from Form 4972.) Enter tax on line 16.

Part VI Credits

49 Retirement income credit (attach Schedule R)	49	
50 Investment credit (attach Form 3468)	50	
51 Foreign tax credit (attach Form 1116)	51	
52 Credit for contributions to candidates for public office—see instructions on page 9	52	
53 Work Incentive (WIN) credit (attach Form 4874)	53	
54 Total credits (add lines 49, 50, 51, 52, and 53). Enter here and on line 17	54	

Part VII Other Taxes

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

81 ~~1~~

June 25, 1974

American Planning Co.
520 5th Avenue
New York, New York
Att. Personnel:

Andre Edmans Sr.
6 Horizon Road
Fort Lee, New Jersey
S.S. # 114 08 2223

J. Downes

T.B.

Branch 10

Andre Edmans

USA 33s-475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

PJ

June 26, 1974

La Bohem Restaurant
998 Madison Avenue
New York, New York
Att. Personnel:

Andre Edmans Sr.
6 Horizon Road
Fort Lee, New Jersey
S.S. # 114 08 2223

J. Downes

T.B.

Branch 10

Andre Edmans

GOVT

EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

9 18

FIRST NATIONAL CITY BANK

CREDIT STATEMENT

Good FUL 1D + P/S

PURPOSE FOR H. 10000		TOTAL OF PAYMENTS \$500		TERM 36	
NAME MR. ELLIOT M. SAWATCH JR		MIDDLE JR		LAST JR	
DATE OF BIRTH 12/10/40		OTHER NAME(S) USED —		NO. OF DEPENDENTS (INCLUDING SELF) 1	
HOME ADDRESS 1 STATION PLAZA FOREST HILLS		CITY FOREST HILLS		STATE N.Y.	
PREVIOUS ADDRESS 46 PARK AVE NYC		CITY NYC		STATE N.Y.	
EMPLOYER'S NAME AMERICAN PRINTER INC		POSITION ANALYST		KIND OF BUSINESS CAPITAL FORMATION	
BUSINESS ADDRESS 500 8TH AVE NYC		CITY NYC		STATE N.Y.	
SOCIAL SECURITY NO. 104-15-1522		BADGE/EMPLOYEE NO. N.Y. TEL. PATROLL CODE		YEARS EMPLOYED 8	
BUSINESS TEL. NO. (SHOW EXT.) MUR 844 TD 757 210 TD		HOME TEL. NO. 757 210 TD		IF UNDER 25 YEARS OLD - PARENT'S NAME —	
GROSS BASE SALARY \$1800		SUPERVISOR'S NAME & TITLE BANKER WORK		AUTO OWNED TO BE PURCHASED YEAR 75 MAKE NISSA TRUCK	
SOURCE OF OTHER INCOME 2nd job		GROSS INCOME \$1800		NAME AND ADDRESS OF PREVIOUS EMPLOYER HAWARD PRINTS INC 371 MAIN - FREEPORT	
REAL ESTATE OWNED 573 WAREHOLD ST		RESIDENCE —		SPOUSE'S EMPLOYER —	
SPOUSE'S BUSINESS ADDRESS None		CITY —		STATE —	
BANK ACCOUNTS CHECKING - PERSONAL REGULAR SPECIAL SECURITY NAT. N.Y.C.		BANK —		BRANCH/ADDRESS —	
CHECKING - BUSINESS SAVINGS BALANCE \$10000		BANK DIME SAVINGS BANK		BRANCH/ADDRESS —	
RECORD ALL EXISTING AND PENDING INSTALMENT DEBTS AS BORROWER OR CO-MAKER (INCLUDE FNCR)					
TYPE CAR LOAN		NAME OF CREDITOR CHEMICAL BANK NYC		DATE MADE 6000	
LINE OR UNPAID BAL. —		PAYMENT 225		ACCOUNT NUMBER JUL-OKT-1925	

NOTE - PLEASE WRITE FOR INFO

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

9A

180762

PBR 668 REV. 7-74

FIRST NATIONAL CITY BANK
PERSONAL FINANCE DEPARTMENT - NEW YORK

APPLICATION NUMBER 34-999
ANNUAL PER-CENTAGE RATE 13.38 %
\$ 6732

PROCEEDS TO BORROWER
PROPERTY INS. PREMIUM
FILING FEE
AMOUNT FINANCED (1) + (2) + (3)
PREPAID FINANCE CHARGE
GROUP CREDIT LIFE INS. PREMIUM
FINANCE CHARGE (5) + (6)

(1) \$ 5519.92
(2) \$ —
(3) \$ —
(4) \$ 5519.92
(5) \$ 1212.08
(6) \$ —
(7) \$ 1212.08

TOTAL OF PAYMENTS (4) + (7)
FOR VALUE RECEIVED, the undersigned (jointly and severally) hereby promise(s) to pay to FIRST NATIONAL CITY BANK (the "Bank")
at its office at 399 Park Avenue, New York, New York 10022 (i) THE SUM OF

Sixty seven hundred thirty two (\$ 6732) (TOTAL OF PAYMENTS)
() IN 36 EQUAL CONSECUTIVE MONTHLY INSTALMENTS OF \$ 187 EACH ON THE SAME DAY OF EACH MONTH, COMMENCING 30 DAYS FROM THE DATE THE LOAN IS MADE; OR () IN 12 EQUAL CONSECUTIVE WEEKLY INSTALMENTS OF \$ — EACH ON THE SAME DAY OF EACH WEEK, COMMENCING NOT EARLIER THAN 5 DAYS NOR LATER THAN 45 DAYS FROM THE DATE THE LOAN IS MADE; OR () IN — EQUAL CONSECUTIVE BI-WEEKLY INSTALMENTS OF \$ — EACH, COMMENCING NOT EARLIER THAN 10 DAYS NOR LATER THAN 45 DAYS FROM THE DATE THE LOAN IS MADE, AND ON THE SAME DAY OF EACH SECOND WEEK THEREAFTER; OR () IN — EQUAL CONSECUTIVE SEMI-MONTHLY INSTALMENTS OF \$ — EACH, COMMENCING NOT EARLIER THAN 10 DAYS NOR LATER THAN 45 DAYS FROM THE DATE THE LOAN IS MADE, AND ON THE SAME DAY OF EACH SEMI-MONTHLY PERIOD THEREAFTER, (iii) A FINE COMPUTED AT THE RATE OF 5¢ PER \$1 ON ANY INSTALMENT WHICH HAS BECOME DUE AND REMAINED UNPAID FOR A PERIOD IN EXCESS OF 10 DAYS, PROVIDED (A) IF THE PROCEEDS TO THE BORROWER ARE \$10,000 OR LESS, NO SUCH FINE SHALL EXCEED \$5 AND THE AGGREGATE OF ALL SUCH FINES SHALL NOT EXCEED THE LESSER OF 2% OF THE AMOUNT OF THIS NOTE OR \$25, OR (B) IF THE ANNUAL PERCENTAGE RATE STATED ABOVE IS 7.50% OR LESS, THE LIMITATIONS PROVIDED IN (A) SHALL NOT APPLY AND NO SUCH FINE SHALL EXCEED \$25 AND THE AGGREGATE OF ALL SUCH FINES SHALL NOT EXCEED 2% OF THE AMOUNT OF THIS NOTE, AND SUCH FINE(S) SHALL BE DEEMED LIQUIDATED DAMAGES OCCASIONED BY THE LATE PAYMENT(S); (iii) IN THE EVENT OF THIS NOTE MATURING, SUBJECT TO AN ALLOWANCE FOR UNEARNED INTEREST ATTRIBUTABLE TO THE MATURED AMOUNT, INTEREST AT A RATE EQUAL TO 1% PER MONTH AND (iv) IF THIS NOTE IS REFERRED TO AN ATTORNEY FOR COLLECTION, A SUM EQUAL TO ALL COSTS AND EXPENSES THEREOF, INCLUDING AN ATTORNEY'S FEE EQUAL TO 15% OF THE AMOUNT OWING ON THIS NOTE AT THE TIME OF SUCH REFERENCE, FOR NECESSARY COURT COSTS.

AND DATE LOAN MADE

P.L. NO.

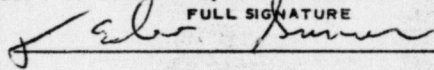
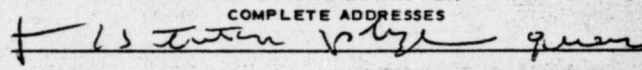
NOTE, THE BANK HAS TAKEN AN ASSIGNMENT OF 10% OF THE UNDERSIGNED BORROWER'S WAGES IN ACCORDANCE WITH THE WAGE ASSIGNMENT ATTACHED TO THIS NOTE.

In the event of default in the payment of this or any other Obligation or the performance or observance of any term or covenant contained herein or in any note or other contract or agreement evidencing or relating to any Obligation or any Collateral on the Borrower's part to be performed or observed; or the undersigned Borrower shall die; or any of the undersigned become insolvent or make an assignment for the benefit of creditors; or a petition shall be filed by or against any of the undersigned under any provision of the Bankruptcy Act; or any money, securities or property of the undersigned now or hereafter on deposit with or in the possession or under the control of the Bank shall be attached or become subject to distraint proceedings or any order or process of any court; or the Bank shall deem itself to be insecure, then and in any such event, the Bank shall have the right (at its option), without demand or notice of any kind, to declare all or any part of the Obligations to be immediately due and payable, whereupon such Obligations shall become and be immediately due and payable, and the Bank shall have the right to exercise all the rights and remedies available to a secured party upon default under the Uniform Commercial Code (the "Code") in effect in New York at the time, and such other rights and remedies as may otherwise be provided by law. Each of the undersigned agrees (for purposes of the "Code") that written notice of any proposed sale of, or of the Bank's election to retain, Collateral mailed to the undersigned Borrower (who is hereby appointed agent of each of the undersigned for such purpose) by first class mail, postage prepaid, at the address of the undersigned Borrower indicated below three business days prior to such sale or election shall be deemed reasonable notification thereof. The remedies of the Bank hereunder are cumulative and may be exercised concurrently or separately. If any provision of this paragraph shall conflict with any remedial provision contained in any security agreement or collateral receipt covering any Collateral, the provisions of such security agreement or collateral receipt shall control.

Acceptance by the Bank of payments in arrears shall not constitute a waiver of or otherwise affect any acceleration of payment hereunder or other right or remedy exercisable hereunder. No failure or delay on the part of the Bank in exercising, and no failure to file or otherwise perfect or enforce the Bank's security interest in or with respect to any Collateral, shall operate as a waiver of any right or remedy hereunder or release any of the undersigned, and the Obligations of the undersigned may be extended or waived by the Bank, any contract or other agreement evidencing or relating to any Obligation or any Collateral may be amended and any Collateral exchanged, surrendered or otherwise dealt with in accordance with any agreement relative thereto, all without affecting the liability of any of the undersigned. In any litigation (whether or not arising out of or relating to any Obligation or Collateral or other matter connected herewith) in which the Bank and any of the undersigned may be adverse parties, the Bank and each such undersigned hereby waives their respective right to demand trial by jury and, additionally, each such undersigned waives his right to interpose in any such litigation any counterclaim of any nature or description which he may have against the Bank. In addition, the Bank shall not be deemed to have obtained knowledge of any fact or notice with respect to any matter relating to this note or any Collateral unless contained in a written notice mailed, postage prepaid, or personally delivered to the Personal Finance Department of the Bank at its address set forth above. Each of the undersigned, by his signature hereto, hereby waives presentation for payment, demand, notice of non-payment, protest and notice of protest with respect to the indebtedness evidenced by this note, and each such undersigned hereby agrees that this note shall be deemed to have been made under and shall be construed in accordance with the laws of the State of New York.

Each of the undersigned hereby authorizes the Bank to date this note as of the day the loan evidenced hereby is made, to correct patent errors herein and, at its option, to cause the signatures of one or more co-makers to be added without notice to any prior obligor.

RECEIPT OF A COPY OF THIS NOTE, APPROPRIATELY FILLED IN, IS HEREBY ACKNOWLEDGED BY THE BORROWER

	FULL SIGNATURE	COMPLETE ADDRESSES
BORROWER		
WIFE OR HUSBAND OF BORROWER AS CO-MAKER	_____	_____
CO-MAKER	_____	_____
CO-MAKER	_____	_____

ASSIGNMENT OF WAGES, SALARY, COMMISSIONS OR OTHER COMPENSATION FOR SERVICES

This Assignment is executed as security for, or as a manner or method of repayment of, money advanced by a bank, trust company or credit union doing business in New York.

To: **FIRST NATIONAL CITY BANK - AS ASSIGNEE**

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

10

CDC CLEARED _____ (DATE)

CDC OPER. NO. _____

BY _____



BANKERS TRUST COMPANY
NEW YORK

INSTALLMENT LOAN FILE

NAME JOSEPH A. SEMPER

ADDRESS TO BE USED (Street Address) c/o SEEPALU ELECTRIC CO, INC APT. NO. _____

CITY & STATE 308 LENOX AVE. NEW YORK, N.Y. ZIP CODE 10027

PLEASE PRINT

INTERVIEWER

RECEIVED

9/17/74

A.M.
P.M.

C. Miller

SPECIAL INSTRUCTIONS

FOR USE OF
DISCOUNT SECTION

NO. OF
PAYMENTS 36

MONTHLY
PAYMENT 180

ODD PAY'T
IF ANY

FIRST PAY'T
DUE

PURPOSE
OF LOAN

DATE OF
DISCOUNT

AUTO
CODE

DIP

APPROVAL

at 6480.00

36 x 180

o. Liability of All Liable Entities Direct
ontingent Including This Loan

Name

Initial

Date

FOR USE OF
DISCOUNT SECTION

Code

Code

Don't

Amount of Loan

Less — Discount @

Filing Fees

6 % 1166.40

6480.00

INSTALLMENT LOAN ACCOUNT NUMBER

SERIAL
NUMBER

211311

DEALER
NUMBER

051

BRANCH
NUMBER

REGION
NUMBER

01

BANK
NUMBER

and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

SIGNATURE (write in full)

RESIDENCE OR BUSINESS ADDRESS

X 
X 
F 1276D
ABC 3-69

211311..

Bankers Trust

The Short Loan Form

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

10A

1 Amount Requested \$6500 for 36 months Day of month for loan payment

Purpose FURNITURE 1 Bk. IMPROV-

2 Personal Data

First name JOSEPH Middle initial A Last name SEMPER Date of Birth 3/30/34 Spouse's Name ✓

Address 143-57 229TH STREET QUEENS, N.Y. Zip 11413 Apt # How long 15 yrs

Social Security No 062-48-9771 Number of dependents (excluding self) one Home phone 723-0142 ✓

Name of Employer SEPAUL ELECTRA CO. How long 10 yrs Position

Address of Employer 308 LENOX AVE. Apt 6 WTC South Zone Zip 10027 Business phone 289 1075 ✓

3 Financial Data

Salary \$38000 ✓ year Spouse's income --- recent other income \$4000 yr

If self-employed, bank of business account Address

Rent ☒ own ☐ Monthly rent or mortgage payment \$353 Mortgage balance 27000 Market value of house 40000

4 Debts

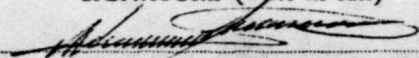
The following is a list of all my credit lines and debts as borrower, co-maker, endorser, guarantor or otherwise. If none, write NONE. If more space is needed, use bottom of this form.

Name and address of creditors	Original amount or Credit line	Present balance	Monthly payment
Richmond L Hall savings Bank	30,000	27,000	253
CHEMICAL Bank	5000	1000	168

at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

SIGNATURE (write in full)



RESIDENCE OR BUSINESS ADDRESS

20 LA-BOHEME REST. CORP.

liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

For Bank Use Only

Bank Experience

Type of account

Branch/bank address

Account since

☐ Regular checking

CHEMICAL BANK

Average balance \$

☐ Special checking

☐ Savings

Return to the Savings

Balance \$

N. 1000

Automatic Loan Payment Authorization

Checking Account Title

CHEMICAL BANK

Account number

You are authorized to charge the above account for payments becoming due on this loan until further written notice, or until paid. This account is to be charged only if a payment is due for the current month.

Signature

Auto Loans

Name and address of dealer

Make

Year

Model

Purchase price \$

Trade-in allowance

Serial no.

Supplemental Data

Former home address *25 MILLINGTON ST. AT VERNON*

City

Zip

10553

Years there

Former employer *C + D ELECTRIC CO INC #*

Address

42 BERGEN STREET Bklyn.

City

N.Y.

Zip

Years there

Spouse's employer

NONE

Address

NONE

City

Zip

Years there

NONE

Sources other income

Amount

Month/Year

Rental

income from apt.

\$ *4000*

☐ ☒

Pension

\$

☐ ☐

\$

☐ ☐

FOR VALUE RECEIVED, the undersigned promises to pay to the order of Bankers Trust Company at its office at 1775 Broadway, New York, N. Y. or at any of its other offices in the State of New York six thousand four hundred eighty & 00 (\$ 6480.00) in United States currency in 36 equal successive monthly installments, except that the final monthly installment shall be \$ (any installment which, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

10 B

(Do not complete for: 1) business purpose loans, 2) loans where the amount financed exceeds \$25.

1. Loan proceeds

\$ 5313.60

4. FINANCE CHARGE (TOTAL)

\$ 1166.40

2. Official fees

\$ _____

a— Credit life ins. \$

1166.40

b— Interest

3. Amount financed (add 1 & 2)

\$ 5313.60

5. Total of payments (add 3 & 4)

13.38 %

\$ 6480.00

ANNUAL PERCENTAGE RATE

The Bank will deduct in advance the charge, if inserted in the upper right hand corner of this Note. If this Note evidences a loan under the provisions of Sec. 108 subd. 4 of the New York Banking Law or, if not otherwise prohibited by law, then and in either such case, a) this loan shall be subject to a minimum discount charge of \$10.00, and b) the undersigned promises to pay to the holder hereof a fine of 5¢ per dollar on any installment payment which has become due and remains unpaid for a period in excess of 10 days, provided that such fine shall not exceed \$5.00 and only one fine shall be collected on any such payment regardless of the period during which it remains in default, and provided further that the aggregate of such fines collected in connection with the loan evidenced hereby shall not exceed 2% of such loan and shall in no event exceed \$25.00.

The following described property is delivered to the Bank as security for the payment of all liabilities of the undersigned:

☐:

☐: Property described in security agreement(s) dated _____ 19____ and _____ 19____

All Collateral shall be subject to a lien and a security interest in favor of the Bank, as security for any and all liabilities of the undersigned. The term "Collateral" shall include the above described property, all substitutions therefor, all additions thereto (including without limitation all cash and dividends and other distributions and all rights, privileges and options relating to, declared or granted in connection with such property) and the proceeds thereof and all other property of every description, now or hereafter in the possession or custody of or in transit to, the Bank for any purpose, including safekeeping, collection or pledge, for account of the undersigned, or as to which the undersigned may have any right or power. The balance of every account of the undersigned with, and each claim of the undersigned against, the Bank existing from time to time, shall be subject to a lien and security interest in favor of the Bank and subject to be set off against any and all liabilities of the undersigned, and the Bank may at any time or from time to time at its option and without notice appropriate and apply toward the payment of any of the liabilities of the undersigned the balance of each such account with, and each such claim against, the Bank.

The term "liabilities of the undersigned" shall include the liability evidenced by this Note and all other liabilities, direct or contingent, joint, several or independent, of the undersigned now or hereafter existing, due or to become due to, or held or to be held by, the Bank for its own account or as agent for another or others, whether created directly or acquired by assignment or otherwise.

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or on behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.



Miss W. Williams
present from days

7/13	40	0	692.00	-0-		692.00	14	52.56	419.5					576.44	
PAY PERIOD ENDING	REG.	O.T.	REGULAR EARNINGS	OVER- TIME		TOTAL PAY	SOC. SEC.	FED. W.T.	STATE W.T.	CITY W.T.	DIS.			NET AMOUNT	NUMBER
	TIME WORKED		YOU EARNED THIS AMOUNT			AND WE HAVE DEDUCTED THE ABOVE AMOUNTS FOR YOU									

EMPLOYEES PAY STATEMENT - DETACH
AND RETAIN FOR YOUR RECORDS

SEE-PAUL ELECTRIC CO. Inc.
NEW YORK, N.Y. 10030

Form CK - 48 Reg. NY

USA 338-475
(ED. 4-23-71)

GOT.
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

10 E ~~*10*~~

SEP 14 1975

1007

1011 001 707

100 10

ADW 13 75

NEW YORK, N.Y.

(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

11 ~~12~~

CDC CLEARED _____
(DATE)

CDC OPER. NO. _____

BY _____



BANKERS TRUST COMPANY
NEW YORK

I/L Credit Review _____

INSTALLMENT LOAN FILE

NAME _____

Phill R Nolan

PLEASE PRINT

ADDRESS TO BE USED (Street Address) _____

449 W 56th ST.

APT. NO. _____

PLEASE PRINT

CITY & STATE _____

M. G. C.

M. G.

ZIP CODE 10019

PLEASE PRINT

Write soc. sec. no. on Check or Money Order. Attach here

Tax, Payments and

- 19 Other taxes (from line 61)
- 20 Total (add lines 18 and 19)
- 21a Total Federal income tax withheld (attach Forms W-2 or W-2P to front)
- b 1973 estimated tax payments (include amount allowed as credit from 1972 return)
- c Amount paid with Form 4868, Application for Automatic Extension of Time to File U.S. Individual Income Tax Return
- d Other payments (from line 65)
- 22 Total (add lines 21a, b, c, and d)

21a	5387	00
b		
c		
d		

20	9000	00
21		
22	5387	

Balance Due or Refund

- 23 If line 20 is larger than line 22, enter **BALANCE DUE IRS**
(Check here ☐ , if Form 2210, Form 2210F, or statement is attached. See instructions on page 8.)
- 24 If line 22 is larger than line 20, enter amount **OVERPAID**
- 25 Amount of line 24 to be **REFUNDED TO YOU**
- 26 Amount of line 24 to be credited on 1974 estimated tax

Pay in full with return. Make check or money order payable to Internal Revenue Service

23	3613	
24		
25		
26		

Note: 1972 Presidential Election Campaign Fund Designation.—Check ☐ if you did not designate \$1 of your taxes on your 1972 return, but now wish to do so. If joint return, check ☐ if spouse did not designate on 1972 return but now wishes to do so.

Sign here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which he has any knowledge.

☐ Your signature _____ Date _____

☐ Spouse's signature (if filing jointly, BOTH must sign even if only one had income) _____

☐ Preparer's signature (other than taxpayer) _____ Date _____

Address (and ZIP Code) _____ Preparer's Emp. Ident. or Soc. Sec. No. _____

6011
EXHIBIT

U. S. DIST. COURT
S. D. OF N. Y.

11A ~~11A~~

7920 36 20

Bankers Trust
The Short Loan Form

1

Amount Requested 6500 for 36 months Day of month for loan payment

Purpose furniture & appliances

2

Personal Data

First name Phil Middle initial R Last name Nolan Date of Birth 2/1/46 Spouse's Name Sholz John

Address 449 West 56th Street Zip 10019 Apt. # 54 How long 10

Part IV Credits

49 Retirement income credit (attach Schedule R)	49		
50 Investment credit (attach Form 3468)	50		
51 Foreign tax credit (attach Form 1116)	51		
52 Credit for contributions to candidates for public office—see instructions on page 9	52		
53 Work Incentive (WIN) credit (attach Form 4874)	53		
54 Total credits (add lines 49, 50, 51, 52, and 53). Enter here and on line 17 ▶	54		

Part V Other Taxes

55 Self-employment tax (attach Schedule SE)	55		
56 Tax from recomputing prior-year investment credit (attach Form 4255)	56		
57 Tax from recomputing prior-year Work Incentive (WIN) credit (attach schedule)	57		
58 Minimum tax. Check here <input type="checkbox"/> if Form 4625 is attached	58		
59 Social security tax on tip income not reported to employer (attach Form 4137)	59		
60 Uncollected employee social security tax on tips (from Forms W-2)	60		
61 Total (add lines 55, 56, 57, 58, 59, and 60). Enter here and on line 19 ▶	61		

Part VI Other Payments

62 Excess FICA tax withheld (two or more employers—see instructions on page 9)	62		
63 Credit for Federal tax on special fuels, nonhighway gasoline and lubricating oil (attach Form 4136)	63		
64 Credit from a Regulated Investment Company (attach Form 2439)	64		
65 Total (add lines 62, 63, and 64). Enter here and on line 21d ▶	65		

Foreign
Accounts

Did you, at any time during the taxable year, have any interest in or signature or other authority over a bank, securities, or other financial account in a foreign country (except in a U.S. military banking facility operated by a U.S. financial institution)? ▶ ☐ Yes ☒ No

If "Yes," attach Form 4683. (For definitions, see Form 4683.)

For Bank Use Only

Bank Experience

Type of account

Branch/bank address

Account since

☐ Regular checking

1st NATIONAL - Citic

Average balance \$

☐ Special checking

☐ Savings

First Savings

Balance \$

Automatic Loan Payment Authorization

Checking Account Title

Account number

You are authorized to charge the above account for payments becoming due on this loan until further written notice, or until paid. This account is to be charged only if a payment is due for the current month.

Signature

Auto Loans

1st Savings

T.B.

Branch 10

Andre Edmans

HEEL

Bankers Trust
The Short Loan Form

USA 33s - 475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

11B

1

Amount Requested 6500, for 36 months Day of month for loan payment

Purpose

Co-signor for Philip Nelson
husband

2

Personal Data

First name SHIRLEY Middle initial I. Last name JOHNSON Date of Birth 9/12/36 Spouse's Name Mr. Nelson

Address 449 WEST 56ST Apt 5A Zip 10019 Apt # 5A How long 9 1/2 yrs

J. Downes

T.B.

Branch 10

Andre Edmans

For Bank Use Only

Bank Experience

Type of account

Branch/bank address

Account since

☐ Regular checking

Average balance \$

☒ Special checking

1st NATIONAL CITY 42nd & MADISON nyc.

☐ Savings

Balance \$

Automatic Loan Payment Authorization

Checking Account Title SHIRLEY IRA JOHANSON

Account number 56 343192

You are authorized to charge the above account for payments becoming due on this loan until further written notice, or until paid. This account is to be charged only if a payment is due for the current month.

Signature

Shirley De Johnson

Auto Loans none

Name and address of dealer

Make

Year

Model

Purchase price \$

Trade-in allowance

Serial no.

Supplemental Data

Former home address

327 East 15th St. Eugene Oregon

Zip

PROTECTOR NF-3

V.P.D.

BANK ACCOUNTS	<input type="checkbox"/> REGULAR <input type="checkbox"/> SPECIAL	SECURITY NAT.	N.Y.C. Clear	ACCOUNT NO.
	CHECKING - BUSINESS	BANK	BRANCH/ADDRESS	
	SAVINGS BALANCE	BANK	BRANCH/ADDRESS	ACCOUNT NO.

W/1/2 \$50000 DIME/Williamsonbank Brooklyn NY controlled

RECORD ALL EXISTING AND PENDING INSTALMENT DEBTS AS BORROWER OR CO-MAKER (INCLUDE FNCS)

TYPE	NAME OF CREDITOR	ADDRESS	DATE MADE	LINE OR UNPAID BAL.	PAYMENT	ACCOUNT NUMBER
CAR LOAN	CHEMICAL Bank	NYC		6000	225	516-DR-4325
HOME IMPROVE	parent					
CHECK CREDIT	none					
BANK LOANS	Notes American					
BUSINESS LOAN	P. Cunningham					
FINANCE CO.	parent			74	132	
CREDIT UNION						
MORTGAGE						

LIST CREDIT CARDS WITH BALANCES (INCLUDE STORE CREDIT PLANS)

HAVE YOU ANY SUITS, JUDGEMENTS OR LEGAL PROCEEDINGS AGAINST YOU?

☐ NO ☐ YES (EXPLAIN) None

NAME AND ADDRESSES OF CLOSE RELATIVE(S) OR FRIEND(S) NOT LIVING WITH APPLICANT

(1) NAME RAULPH PREUS ADDRESS 13 WINDLEY RD Rockville MD TEL. NO. 516-DR-4325

(2) NAME ADDRESS TEL. NO. Grand

THE BANK AND THE SOURCES TO WHICH IT MAY APPLY, OR WHICH MAY APPLY TO IT, ARE AUTHORIZED TO EXCHANGE CREDIT INFORMATION RELATIVE TO THE UNDERSIGNED AND THIS OR ANY SUBSEQUENT STATEMENT RECEIVED FROM THE UNDERSIGNED. THIS STATEMENT SHALL REMAIN THE BANK'S PROPERTY. I AFFIRM THAT ALL STATEMENTS MADE TO THE BANK ABOVE ARE TRUE AND CORRECT. UNLESS THE APPLICANT HAS INDICATED OTHERWISE BY A CHOICE OF A NON-INSURED, FULLY SECURED LOAN PLAN, LIFE INSURANCE PROTECTION WILL BE PROVIDED ON THE LIFE OF THE UNDERSIGNED APPLICANT TO THE EXTENT INDICATED IN THE CERTIFICATE OF LIFE INSURANCE TO BE FURNISHED TO THE UNDERSIGNED AT THE TIME THE LOAN IS MADE OR WITHIN 30 DAYS THEREAFTER. THE BANK MAY INCLUDE THE COST OF SUCH LIFE INSURANCE IN THE AMOUNT OF THE LOAN APPLIED FOR.

X Elia S. S. FULL SIGNATURE DATE

CHECK ONE	TYPE CODE	BELOW FOR BANK USE ONLY																																		
<input checked="" type="checkbox"/> PERSONAL LOAN	01	<table border="1"> <tr> <td>A</td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> <td>6</td> <td>7</td> <td>8</td> <td>9</td> <td>10</td> <td>TOTAL</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>											A	1	2	3	4	5	6	7	8	9	10	TOTAL												
A	1	2	3	4	5	6	7	8	9	10	TOTAL																									
<input type="checkbox"/> MOTOR CAR - NEW	42																																			
<input type="checkbox"/> MOTOR CAR - USED	43																																			
<input type="checkbox"/> MOTOR CAR - PRES. OWNED	44	<table border="1"> <tr> <td>B</td> <td>11</td> <td>12</td> <td>13</td> <td>14</td> <td>TOTAL</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table>											B	11	12	13	14	TOTAL																		
B	11	12	13	14	TOTAL																															
<input type="checkbox"/> PROPERTY MODERNIZATION	05																																			
<input type="checkbox"/> COLLATERAL	20																																			
<input type="checkbox"/> BOATS - AIRPLANES - MISC.	45																																			
<input type="checkbox"/>	03																																			

SPECIAL INSTRUCTIONS

☐ AUTOMATIC DEDUCT

☐ PAYROLL DEDUCT

☐ FNCS - NOT PRO

DATE 9/9/74
TAKEN IN AT INITIAL 9/9/74

APP. NO. 34-449

P.L. NO.

USA 33a-475
(ED. 4-23-71)

Govt

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

R ~~10~~

F M S SALES CORP.

AND DATE

SAME DAY OF EACH SEMI-MONTHLY PERIOD HEREINAFTER, PROVIDED (A) IF THE PROCEEDS TO THE WHICH HAS BECOME DUE AND REMAINED UNPAID FOR A PERIOD IN EXCESS OF 10 DAYS, PROVIDED (A) IF THE PROCEEDS TO THE BORROWER ARE \$10,000 OR LESS, NO SUCH FINE SHALL EXCEED \$5 AND THE AGGREGATE OF ALL SUCH FINES SHALL NOT EXCEED THE LESSER OF 2% OF THE AMOUNT OF THIS NOTE OR \$25, OR (B) IF THE ANNUAL PERCENTAGE RATE STATED ABOVE IS 7.50% OR LESS, THE LIMITATIONS PROVIDED IN (A) SHALL NOT APPLY AND NO SUCH FINE SHALL EXCEED \$25 AND THE AGGREGATE OF ALL SUCH FINES SHALL NOT EXCEED 2% OF THE AMOUNT OF THIS NOTE, AND SUCH FINE(S) SHALL BE DEEMED LIQUIDATED DAMAGES OCCASIONED BY THE LATE PAYMENT(S); (iii) IN THE EVENT OF THIS NOTE MATURING, SUBJECT TO AN ALLOWANCE FOR UNEARNED INTEREST ATTRIBUTABLE TO THE MATURED AMOUNT, INTEREST AT A RATE EQUAL TO 1% PER MONTH AND (iv) IF

10
B Z

THIS NOTE IS REFERRED TO AN ATTORNEY FOR COLLECTION, A SUM EQUAL TO ALL COSTS AND EXPENSES THEREOF, INCLUDING AN ATTORNEY'S FEE EQUAL TO 15% OF THE AMOUNT OWING ON THIS NOTE AT THE TIME OF SUCH REFERENCE, FOR NECESSARY COURT COSTS. THE ACCEPTANCE BY THE BANK OF ANY PAYMENT(S) EVEN IF MARKED PAYMENT IN FULL OR SIMILAR WORDING, OR IF MADE AFTER ANY DEFAULT HEREUNDER, SHALL NOT OPERATE TO EXTEND THE TIME OF PAYMENT OF OR TO WAIVE ANY AMOUNT(S) THEN REMAINING UNPAID OR CONSTITUTE A WAIVER OF ANY RIGHTS OF THE BANK HEREUNDER.

IN THE EVENT THIS NOTE IS PREPAID IN FULL OR REFINANCED, THE BORROWER SHALL RECEIVE A REFUND OF THE UNEARNED PORTION OF THE PREPAID FINANCE CHARGE COMPUTED IN ACCORDANCE WITH THE RULE OF 78 (THE "SUM OF THE DIGITS" METHOD), PROVIDED THAT THE BANK MAY RETAIN A MINIMUM FINANCE CHARGE OF \$10, WHETHER OR NOT EARNED, AND, EXCEPT IN THE CASE OF A REFINANCING, NO REFUND SHALL BE MADE IF IT AMOUNTS TO LESS THAN \$1. IN ADDITION, UPON ANY SUCH PREPAYMENT OR REFINANCING, THE BORROWER SHALL RECEIVE A REFUND OF THE CHARGE, IF ANY, FOR GROUP CREDIT LIFE INSURANCE INCLUDED IN THE LOAN EQUAL TO THE UNEARNED PORTION OF THE PREMIUM PAID OR PAYABLE BY THE HOLDER OF THE OBLIGATION (COMPUTED IN ACCORDANCE WITH THE RULE OF 78), PROVIDED THAT NO REFUND SHALL BE MADE OF AMOUNTS LESS THAN \$1.

AS COLLATERAL SECURITY FOR THE PAYMENT OF THE INDEBTEDNESS OF THE UNDERSIGNED HEREUNDER AND ALL OTHER INDEBTEDNESS OR LIABILITIES OF THE UNDERSIGNED TO THE BANK, WHETHER JOINT, SEVERAL, ABSOLUTE, CONTINGENT, SECURED, UNSECURED, MATURED OR UNMATURED, UNDER ANY PRESENT OR FUTURE NOTE OR CONTRACT OR AGREEMENT WITH THE BANK (ALL SUCH INDEBTEDNESS AND LIABILITIES BEING HEREINAFTER COLLECTIVELY CALLED THE "OBLIGATIONS"), THE BANK SHALL HAVE, AND IS HEREBY GRANTED, A SECURITY INTEREST AND/OR RIGHT OF SET-OFF IN AND TO (a) ALL MONIES, SECURITIES AND OTHER PROPERTY OF THE UNDERSIGNED NOW OR HEREAFTER ON DEPOSIT WITH OR OTHERWISE HELD BY OR COMING TO THE POSSESSION OR UNDER THE CONTROL OF THE BANK, WHETHER HELD FOR SAFEKEEPING, COLLECTION, TRANSMISSION OR OTHERWISE OR AS CUSTODIAN, INCLUDING THE PROCEEDS THEREOF, AND ANY AND ALL CLAIMS OF THE UNDERSIGNED AGAINST THE BANK, WHETHER NOW OR HEREAFTER EXISTING, AND (b) THE FOLLOWING DESCRIBED PERSONAL PROPERTY (ALL SUCH MONIES, SECURITIES, PROPERTY, PROCEEDS, CLAIMS AND PERSONAL PROPERTY BEING HEREINAFTER COLLECTIVELY CALLED THE "COLLATERAL"): () Motor Vehicle () Boat () Stocks, () Bonds, () Savings, and/or _____

SEE CUSTOMER'S COPY OF SECURITY AGREEMENT(S) OR COLLATERAL RECEIPT(S) RELATIVE TO THIS LOAN FOR FULL DESCRIPTION.

IF THIS NOTE IS SECURED BY A MOTOR VEHICLE, BOAT OR AIRCRAFT, PROPERTY INSURANCE ON THE COLLATERAL IS REQUIRED, AND THE BORROWER MAY OBTAIN THE SAME THROUGH A PERSON OF HIS OWN CHOICE.

IF THE COLLATERAL SPECIFIED ABOVE IS NOT SUFFICIENT TO SECURE THE PAYMENT OF THIS NOTE, THE BORROWER SHALL BE RESPONSIBLE FOR THE PAYMENT OF THE REMAINING BALANCE OF THE NOTE.

USA 33s - 475
(ED. 4-23-71)

GOVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

13 ~~14~~

2/8/74

Received from

ASSIGNMENT OF WAGES, SALARY, COMMISSIONS OR OTHER COMPENSATION FOR SERVICES

This Assignment is executed as security for, or as a manner or method of repayment of, money advanced by a bank, trust company or credit union doing business in New York.

To: **FIRST NATIONAL CITY BANK — AS ASSIGNEE**
PERSONAL FINANCE DEPARTMENT
810 SEVENTH AVENUE
NEW YORK, NEW YORK 10019

Date _____, 19____

I, the undersigned, being the "Borrower" indicated on the promissory note which appears above, in consideration of your making the loan evidenced by said note, do hereby assign to you, as collateral security for the payment of the indebtedness evidenced thereby, any and all monies which may hereafter become due or owing to me as salary, wages, commissions or other compensation for services from any present or future employer of mine (herein referred to as my "Employer"), to the extent of an amount equal to 10%, thereof, computed at the time when such salary, wage(s), commission(s), or other compensation is (are) payable, and you are hereby authorized to apply the same, as and when received by you, to the satisfaction of all such indebtedness as shall then be due and owing by the undersigned on account of said note until all such indebtedness shall be fully paid.

I hereby authorize my Employer to give full force and effect hereto, he being hereby released and discharged from any and all liability to me for or on account of any and all monies which may be paid you hereunder.

I hereby acknowledge receipt of a copy hereof.

**THIS IS AN ASSIGNMENT OF WAGES, SALARY, COMMISSIONS
OR OTHER COMPENSATION FOR SERVICES.**

SIGNATURE OF
ASSIGNOR

SIGN FULL NAME



(SIGNATURE OF COMPLETE NAME OF ASSIGNOR)

AMERICAN PLANNING COMPANY OF NEW YORK, INC.
TWO WORLD TRADE CENTER, NEW YORK, N.Y.

INSTALLMENT

REGION
NUMBER

01

BANK
NUMBER

6690

COMPUTED

N C R

ured

Date

FOR USE OF
DISCOUNT SECTION

Code

Code'

Pay't

App.

Br. P/O

Refinance

Emp. Loan

108.4 Loan

Min. Chge.

S/R

No = 0 Yes = 1

Amount of Loan

Less — Discount @

Filing Fees

Credit Account No.

Life Insurance

Total Deductions

Net Proceeds

Adjustment

Total Proceeds

\$ 6480.00

6 % \$ 1166.40

1166.40

\$ 5313.60

\$ 5313.60

Name and address of creditors

Original balance
or Credit line

Present balance

Monthly payment

Richtman L Hall Savings Bank 18,000	27,000	253
CHEMICAL BANK 5000	1,000	168

You and any source to which you may apply or which may apply to you (including without limitation my employer, bank(s) or credit agencies), are authorized to give and/or obtain credit records and information relative to me.

I agree that my foregoing statements are true and correct and are made to Bankers Trust Company to grant me a loan and I understand you will reply upon said statements in considering this application. I represent that except as shown above, (1) I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, suit or other pending or law suit of any kind whatsoever now pending against me.

5

Signature

Joseph A. Penner

Date

9/12/74

☐ Credit record(s) to be taken from number & title

☐ Make check payable to me and/or

Pay to home ☒ office ☐

2233 ABC 2-74

Cat. No. 82233 250/Pkg.

Purpose; furnish
36 under

APPLICATION FOR LOAD - THE ESSENTIALS,

phil - use the information
that is available to you to
fill in the spaces. Then
submit the app. To Barker
Trust 65th & 3rd Ave across
from Oscar's.

Address

NONE

City

Zip

Years there

NONE

Sources other income

Amount

Month/Year

Rental

return from rent

\$ 4000

☐ ☒

Pension

\$

☐ ☐

Dividend

\$

☐ ☐

Other

\$

☐ ☐

Identification

Driver's license

5/0/5/4/7/5/6/1/9/5/3/7/0/2/4/9/6-34 exp 3/31/78

Signature agrees ☒Address agrees ☐Date of birth ☒

Voter's registration #

Auto registration signature agrees ☒

1L5511

Employee ID address agrees ☐

Other (specify)

G. Muller
J1

Signature of interviewer

Comments

USA 33s-475
(ED. 4-23-71)

GOVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y. ~~17~~

15

NEW YORK STATE
DRIVER LICENSE

Signature in Full (Wife use own first name)

x *Heidecan O Saudace*

4

BEOL

2/02/62 M S 2-00

Record of Convictions

for Renewal

Not a License

4

Signature in Full (Wife use own first name)

x *Heidecan O Saudace*

BEOL

2/02/62 M

need by the Bank for its own account. 2-10-2010 11:12 AM

Upon non-payment when due of any of the liabilities of the undersigned, or in case the Bank deems itself insecure, or upon failure of the undersigned to insure in favor of and to the satisfaction of the Bank any property of the undersigned, or if it appears at any time that any representation in any financial or other statement of the undersigned, delivered to the Bank by or on behalf of the undersigned, is untrue or incomplete, or if an adverse change shall occur in the financial condition of the undersigned, or if the undersigned or any endorser or guarantor of this note shall die or (being a partnership or corporation) shall be dissolved, or shall become insolvent (however evidenced), or upon the suspension of business of the undersigned, or upon the issuance of any warrant, process, or order for attachment, garnishment or other lien and/or the filing of a lien as a result thereof against any of the property of the undersigned, or upon the commencement of any proceeding under, or the use of any of the provisions of, Article 52 of the New York Civil Practice Law and Rules by any judgment creditor against the undersigned or with respect to any property of the undersigned, or upon the making by the undersigned or any endorser or guarantor of this note of an assignment for the benefit of creditors, or upon the sale of the premises to be improved if the loan evidenced by this note is for the purpose of property improvement in whole or in part, or upon a trustee or receiver being appointed for the undersigned or any endorser or guarantor of this note or for any of the property thereof, or upon any proceedings being commenced by or against the undersigned or any such endorser or guarantor under any bankruptcy, reorganization, arrangement of debt, insolvency, readjustment of debt, receivership, liquidation or dissolution law or statute, — then and in any such event, (a) all liabilities of the undersigned shall become at once due and payable, without notice, presentment or demand of payment, which are hereby expressly waived, (b) the Bank shall have the right from time to time, without advertisement or demand upon or notice to the undersigned or right of redemption by the undersigned except as shall be required by applicable statute and cannot be waived, at its option to sell, re-sell, assign, transfer and deliver all or any part of the Collateral, at any brokers' board or exchange or at public or private sale, for cash or on credit or for future delivery, and in connection therewith may grant options and may impose reasonable conditions such as requiring any purchaser to represent that any stock constituting part of the Collateral is being purchased for investment purposes only, and (c) the Bank shall, upon mailing notice to the undersigned that it so elects, have from the date of such mailing the right from time to time to vote any stock securing any liabilities of the undersigned. Upon each such sale, the Bank, unless prohibited by provision of any applicable statute which cannot be waived, may purchase all or any part of the Collateral being sold, free from and discharged of all trusts, claims, right of redemption and equities of the undersigned.

THE UNDERSIGNED ACKNOWLEDGE(S) RECEIPT OF A COPY OF THIS NOTE AND OF THE SECURITY AGREEMENT(S), IF ANY, DESCRIBED ABOVE.

SIGNATURE (write in full)

Joseph D. Smith

RESIDENCE OR BUSINESS ADDRESS

14152-22nd St SKR

In the case of each such sale, or of any proceedings to collect any liabilities of the undersigned, the undersigned shall pay all costs and expenses of every kind incurred in connection therewith, including attorneys' fees for necessary court process of 15% of the unpaid balance of the loan evidenced hereby, and after deducting such costs and expenses from the proceeds of any sale or collection, the Bank may apply any residue to pay any liabilities of the undersigned, who will continue liable for any deficiency, with interest. The undersigned shall also pay to the Bank all costs and expenses, including filing fees and attorneys' fees incurred by the Bank in connection with the custody, care, preservation, perfection or collection of any of the Collateral.

Upon any transfer of any of the liabilities of the undersigned, the Bank may retain all or any part of the Collateral as security for all liabilities of the undersigned retained by the Bank.

If this note falls due on a Saturday, Sunday or a public holiday, it shall be payable on the next succeeding business day and such additional time shall be included in the computation of interest.

The Bank shall not be obligated to take any steps necessary to preserve any rights in any of the Collateral against prior parties who may be liable in connection therewith, and the undersigned hereby agrees to take such steps. Although not obligated to do so, the Bank may (a) demand, sue for, collect or receive any money or property at any time due, payable or receivable on account of or in exchange for any obligation securing any of the liabilities of the undersigned, (b) compromise and settle with any person liable on such obligation, and (c) extend the time of payment of or otherwise change the terms thereof, as to any party liable thereon, all without incurring responsibility to the undersigned or affecting any of the liabilities of the undersigned.

The Bank shall have the right, at any time and from time to time, without notice, to (i) transfer into its own name or that of its nominee any of the Collateral, (ii) notify any obligor on any Collateral to make payment to the Bank of any amounts due thereon, and/or (iii) take control of any proceeds of any Collateral. The undersigned, if more than one, shall be jointly and severally liable hereunder and the term "undersigned" wherever used herein shall mean the undersigned or any one or more of them.

No delay on the part of the Bank in exercising any of its options, powers or rights, or partial or single exercise thereof, shall constitute a waiver thereof. The options, powers and rights of the Bank specified herein are in addition to those otherwise created. The Bank shall have the right, for and in the name, place and stead of the undersigned, to execute endorsements, assignments or other instruments of conveyance or transfer with respect to any of the Collateral. This note and the rights and obligations of the Bank and of the undersigned hereunder shall be governed by and construed in accordance with the law of the State of New York.

Wage and Tax Statement

Copy C—For employee's record

1973

FEDERAL INCOME TAX INFORMATION			SOCIAL SECURITY INFORMATION								
1	Federal income tax withheld	2	Wages, tips and other compensation	3	FICA employee tax withheld	4	Total FICA wages	5	Uncollected Employee FICA tax on tips		
	\$4,680.00		\$36,008.00		\$357		\$26,006.00				
Type or print EMPLOYEE'S social security number, name and address below						6	State tax withheld	7	State wages paid	8	Name of state
Joseph Semper 143-57 229th Street Queens, New York							\$1,822.00				
						9	City tax withheld	10	City wages paid	11	Name of city
SEEPAL ELECTRIC INC. 308 Lenox Avenue New York, N. Y.						STATUS		OTHER INFORMATION (SEE CIRCULAR E)			
						1. Single 2. Married		Cost of group term life insurance included in box 2		Excludable sick pay included in box 2	

Type or Print
EMPLOYER'S
Identification
number, name
and address

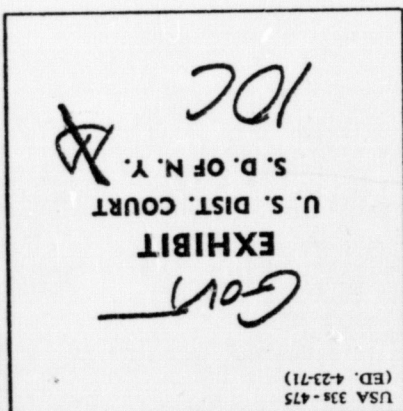
This information is being furnished to the Internal Revenue Service and appropriate State officials.

An "X" in the upper left corner indicates this is a corrected form.

Form W-2

Department of the Treasury—Internal Revenue Service

132653306

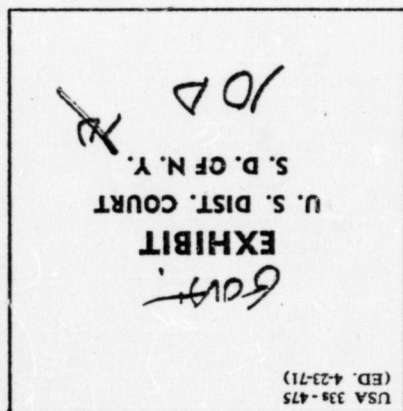


7/13	40	0	692.00	-0-		692.00	14	52.36	419.5					576.84	
PAY PERIOD ENDING	REG.	O.T.	REGULAR EARNINGS	OVER-TIME		TOTAL PAY	SOC. SEC.	FED. W.T.	STATE W.T.	CITY W.T.	DIS.			NET AMOUNT	NUMBER
	TIME WORKED		YOU EARNED THIS AMOUNT			AND WE HAVE DEDUCTED THE ABOVE AMOUNTS FOR YOU									

EMPLOYEES PAY STATEMENT - DETACH
AND RETAIN FOR YOUR RECORDS

SEE-PAUL ELECTRIC CO. Inc.
NEW YORK, N.Y. 10030

Form CK-48 Reg. NY



NEW YORK TELEPHONE

New York Telephone

your telephone number
212 289 1075 707

AUG 13 74

Return with the enclosed card
with your payment.
When paying in person, please
bring your bill and the card.

HARRY SEEPAUL
308 LENOX AVE
NEW YORK NY
10027

	State-Local Tax	Federal Tax	Charge
Minimum Charge for Service (message units included)	306	306	3.25
Additional Message Units	545	545	5.15
Pay-Per-Call Telegrams (see Statement)	22	84	1.00
Other Charges or Credits (explanation enclosed)	314	314	39.02
Total Current Charges (including taxes)	1187	1249	100.44
Total of Current Charges including Taxes			100.44

Please remit cash (Bill please disregard this amount if paid)

1007 1011 001 17 Total
LISTED IN YOUR DIRECTORY

888-P3

Thank You Payment for current charges is due by

SEP 10

Purpose furniture & (clothes)

2 Personal Data

First name Phil Middle initial R Last name Nolan Date of Birth 7/1/66 Spouse's Name Shirley John
Address 449 West 56th Street Zip 10019 Apt. # 5A How long 10
Social Security No. 018-12-7552 Number of dependents (excluding self) one Home phone 765-4488
Name of Employer Monoy (Mutual of NY) How long 10 Position Public Rel
Address of Employer 845- 3rd Ave NY Zip 10022 Business phone 426-6500

3 Financial Data

Salary 42000 week/year 72 Spouse's income \$12000 other income
If self employed, bank of business account Address
Rent ☒ Down ☐ Monthly rent or mortgage payment 765 Mortgage balance Market value of house

4 Debts

The following is a list of all my credit lines and debts as borrower, co-maker, endorser, guarantor or otherwise; if none, write NONE. If more space is needed, use bottom of this form.

Name and address of creditors	Original amount or Credit line	Present balance	Monthly payment
<u>none</u>			
<u>none</u>			
<u>none</u>			
<u>none</u>			

You, and any source to which you may apply, or which may apply to you (including without limitation my employer, bank(s) or credit agencies), are authorized to give and/or obtain and/or exchange information relative to me.

I affirm that the foregoing statements are true and correct and are made to Bankers Trust Company to grant me a loan and I understand you will reply upon said statements in considering this application. I represent that, except as shown above, (1) I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, bankruptcy, judgment or legal claim of any kind whatsoever now pending against me.

5 Signature

Phil Nolan

Date

- ☐ Credit proceeds to my checking account, number & title
☐ Make check payable to me and/or

Mail to home ☐ office ☒

Purpose CO-SIGNOR FOR PHILIP WILSON

2 Personal Data

First name SHIRLEY Middle initial I. Last name JOHNSON Date of Birth 9/12/36 Spouse's Name WILSON
Address 449 WEST 56 ST Apt 5A Zip 10019 Apt # 5A How long 9 1/2 yrs
Social Security No 728-14-6208 Number of dependents (excluding self) None Home phone 765-4498
Name of Employer MUSCULAR DYSTROPHY ASSOC. AMERICA How long 5 yrs. Position SOC. WORKER
Address of Employer 501 MADISON AVE Zip 10022 Business phone 758-7710

3 Financial Data

Salary \$11,000 week/year yr Spouse's income A/SH other income \$2,100-
If self employed, bank of business account n/a Address 1001 5th Ave
Rent ☒ Own ☐ Monthly rent or mortgage payment \$365 Mortgage balance _____ Market value of house _____

4 Debts

The following is a list of all my credit lines and debts as borrower, co-maker, endorser, guarantor or otherwise if none, write NONE. If more space is needed, use bottom of this form.

Name and address of creditors	Original amount or Credit line	Present balance	Monthly payment
<u>1st NATIONAL CITY BANK</u>	<u>3000.00</u>	<u>2750⁰⁰</u>	<u>125⁰⁰</u>
<u>AMERICAN EXPRESS</u>	<u>-</u>	<u>0</u>	<u>-</u>
<u>BLOOMINGDALES</u>	<u>200.00</u>	<u>0</u>	<u>0</u>
<u>BERGDOFF GOODMAN'S</u>	<u>unlimited</u>	<u>0</u>	<u>0</u>

You, and any source to which you may apply, or which may apply to you (including without limitation my employer, bank(s) or credit agencies) are authorized to give and/or obtain and/or exchange information relative to me.

I affirm that the foregoing statements are true and correct and are made to Bankers Trust Company to grant me a loan and I understand you will reply upon said statements in considering this application. I represent that, except as shown above: (1) I have no outstanding obligations to any bank, loan company, corporation or individual, and (2) there is no lawsuit, bankruptcy, judgment or legal claim of any kind whatsoever now pending against me.

5 Signature

Shirley I. Johnson

Date 9/17/74

- ☐ Credit proceeds to my checking account, number & title
☐ Make check payable to me and/or _____

Mail to home ☒ office ☐

Name and address of dealer

Make

Year

Model

Purchase price \$

Trade-in allowance

Serial no.

Supplemental Data

Former home address 327 East 15th St., Eugene, Oregon City Zip

Years there 2 yrs.

Former employer NEW YORK CITY DEPARTMENT OF SOCIAL SERVICES

Address 252 West 30th St. City N.Y.C. Zip 10001

Years there 5 yrs.

Spouse's employer n/c

Address — City Zip

Years there —

Sources other income HUDSON HEALTH CLUB Amount 168⁰⁰ Month/Year

Rental — \$ ☐ ☐

Pension — \$ ☐ ☐

Dividend — \$ ☐ ☐

Other — \$ ☐ ☐

Identification

Driver's license /

Signature agrees ☐ Address agrees ☐ Date of birth ☐

Voter's registration #

Auto registration signature agrees ☐

Employee ID address agrees ☐

Other (specify)

Signature of interviewer

Comments

V.P.D. Sheet Protected N-3

V.P.D. Sheet

CHECK IS IN PAYMENT OF FOLLOWING ITEMS

DATE	AMOUNT

CORRECT ENDORSEMENT IS RECEIPT



Security National Bank
FREEPORT, N. Y. 11520

E.M.S. SALES CORP.
ONE STATION SQUARE
FOREST HILLS, NEW YORK 11375

PAY TO THE
ORDER OF Dr. Wynn Adler

One Hundred

\$100.00

DOLLARS

194

6/4

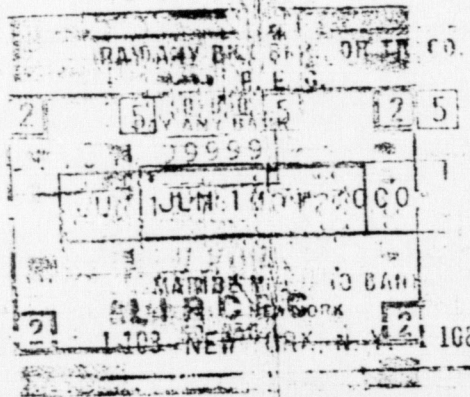
1974

50-399
214

Elliot Sarnach

⑆0214⑆0399⑆⑆0721 0257 6⑆

⑈0000010000⑈



JUN 14 1974 222 4736

PAY ANY BANK, P.E.S.
BROADWAY BANK
& TRUST CO.
PATERSON, N.J.
RR 405 RR 55A05

Dr. Wynn Adler
440 2664

2 / 8 / 74

Received from
Eliot Powell -

the sum of three hundred
dollars & two hundred cash
and one hundred in check

R T Watson

AMERICAN PLANNING COMPANY OF NEW YORK, INC.
TWO WORLD TRADE CENTER, SUITE 2242
NEW YORK, N.Y. 10048

Mr Phil Holker

USA 338-475
(ED. 423-71)

Best
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

14 ~~ED~~

PLEASE BE SURE TO DEDUCT ANY PER CHECK CHARGES OR SERVICE CHARGES THAT MAY APPLY TO YOUR ACCOUNT

Trust 65th & 3rd Ave cross
from Oscar's.

William P FREEDMAN
41 PARK AVE 628-1879 40 Madison

PREVIOUS ADDRESS use the Johnson
address

N. S.S. no 151-16-2102

Employment

LA-Bottoms RPT 2000

998 MAD, AVE NYC 628-3008

10 yrs - Salary - \$2000.00

Gen. Mgmt -

previous Employer
Dumont Foods -

now 445 W 56th St

Rent income \$7200 per yr.

Baby Oscar

Champion Park

Williamburg Savings Pl

Q.

MDS
WDB

USA 33a-475
(ED. 4-23-71)

605
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

17 ~~*18*~~

Date

3/29/73

Location

550 5th Ave.

Applicant

Robert Worthington

Type of service

M + P

Rate

25.00 per month

Date service begins

4/15/73

Source

D. H. Lewis

Special Instructions

Theodore R. Harris

Good M + M

*Testing order
Mrs. Graham
2 X 2562*

#269 PB. 4/11

Tenant understands that this is a month to month lease, terminable on tenant's written notice given 60 (sixty) days prior to the 1st day of the month tenant elects to terminate.

Any security shall be applied to last months' rent.

This service is offered and the undersigned accepts same on the express understanding that we are not responsible for either the receipt or holding of packages of any description whatsoever.

John W. Weber
Account

BILLING ADDRESS

*33 Danversport Ave.
New Rochelle*

APPLICATION FOR DELIVERY OF MAIL THROUGH AGENT

3/29/73

In consideration of delivery of my or our mail to the agent named below, the addressee and agent agree that: (1) the Post Office will not forward my or our mail on a change of address order upon termination of this agency relationship; (2) the forwarding or return of my or our mail is the responsibility of the agent; and (3) all mail, including letters and other first class mail, delivered to the agent under this authorization must be prepaid with new postage when redeposited in the mails.

NOTE: This application must be executed in duplicate by applicant in the presence of the agent or his authorized employee. A signed copy will be kept on file by the agent in such manner that it is at all times available for examination by postal representatives.

To Postmaster

New York, N.Y.

2. MAIL ADDRESSED TO (Name and address)

AME R Plan Inc.

3. DELIVER TO AND IN CARE OF (Name and address of Agent)

520 FIFTH AVENUE SERVICE CO
520 FIFTH AVENUE
NEW YORK, N.Y. 10036

4. NAME OF APPLICANT (Print or type)

Robert Northington

4a. HOME ADDRESS (Number, Street and ZIP Code)

33 Laneport Ave
New Rochelle, N.Y. 10858

5. NAME OF FIRM OR CORPORATION

AME R Plan Inc.

5a. BUSINESS ADDRESS (Number, Street and ZIP Code)

6. KIND OF BUSINESS

Venture Capital

7. If address is a FIRM, name each member whose mail is to be delivered

8. If a CORPORATION, give names and addresses of its officers

9. REFERENCE (Name and address)

Mutual Savings Bank
5th St. and
1st Ave.

10. REFERENCE (Name and address)

Mr. R. Longley
31st St. 31st St. 7th Fl.
N. S. Longley Inc. N.Y.

11. IF BUSINESS NAME OF THE ADDRESS (Corporation or Trade Name) HAS BEEN REGISTERED, GIVE NAME OF COUNTY AND STATE, AND DATE OF REGISTRATION.

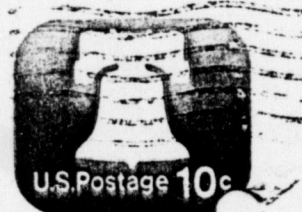
12. SIGNATURE OF AGENT

Linda May

13. SIGNATURE OF APPLICANT (If firm or corporation, application must be signed by officer. Show title.)

+ R. T. Oathman

Edna Washington
3 Dec 1975
New Rochelle N.Y.



Mr. Reilly Gorman
United States District Attorney office
United States Southern District Court
Foley Square N.Y. N.Y.

USA 338-475
(ED. 4-23-71)

EXHIBIT
U. S. DIST. COURT
S. D. OF N.Y.

18

~~18~~

USA 33s - 475
(ED. 4-23-71)

GVT

EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.

IPA

~~D~~

Mr. Riley Gorman
U.S. Dist. Office
Foley Square Bldg.

U. S. ATTORNEY

74-3370

1975 APR 16 AM 10:13

(74-4044)
S. D. N. Y.

(75-0056)

4/15/75

Dear Sir:

I spoke to the Clerk in
Judge Knapp's office, 4/14/75
in response to her call, upon
the matter of - Change of Attorney's.

I advised, and requested of
Hon. William Knapp JSC that I be
allowed to retained Counsel of my
choice, on a fee basis, for reasons
stated.

The Clerk advised me that she
had informed you and that I should
do so. With no luck on the phone,
I chose this method to meet her
request. The Clerk also advised me
to notify her, for a Conference with
the new Counsel before or by Friday
of this week.

I am now busily speaking too
Counsel that I am seeking. There has
been substantial changes in the
number of indictments since I spoke
to some persons who have appeared
on my behalf in this case and
this needs more consideration by
them as well as the fee.

I will call the Clerk for the
Conference as she advised.

Robert Wehner

USA 338-475
(ED. 4-23-71)

Govt
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y. ~~19~~

U. S. DEPARTMENT OF JUSTICE
RETURN IN FIVE DAYS TO
UNITED STATES ATTORNEY
SOUTHERN DISTRICT OF NEW YORK
U. S. COURT HOUSE-FOLEY SQUARE
NEW YORK, N. Y. 10007

OFFICIAL BUSINESS

POSTAGE AND FEES PAID
U. S. DEPARTMENT OF JUSTICE



BANKAMERICARD.

This Card is the sole property of BT CREDIT CO. INC. ("Issuer") and is not transferable. The signing or any use of this Card by the person whose family name is embossed on the face hereof ("Holder") shall constitute Holder's acknowledgment (1) of receipt of the BankAmericard Retail Installment Credit Agreement, which either accompanying this Card or was previously delivered (2) that Holder agrees to be bound by such Agreement (3) that Holder is responsible for its use to surrender the Card to Issuer at the address shown below for all leases, purchases of goods and services and cash advances pursuant to an agreement with an authorized lender made or obtained through use of the Card, including any use by Holder or by another with the consent, expressed or implied of Holder, and (4) prior to receipt by Issuer at such address of oral or written notification of such use, that any unauthorized use of the Card is the responsibility of Holder for such lesser amount as may be provided by applicable law for transactions arising out of such loss, theft or unauthorized use.

BT CREDIT CO. INC., BankAmericard Center, P.O. Box 609, Arsonia Station, New York, N.Y. 10023
©BankAmerica Service Corporation 1958, 1972. Service Marks Owned and Licensed by BankAmerica Service Corporation.

BANKAMERICARD

AUTHORIZED SIGNATURE

Robert Northington
4250 199 551 145

VALID FIRST OF 06/74 GOOD THRU 10/74 BAC

ROBERT NORTINGTON

SIGNATURE

CHEMICAL BANK

This card lets you charge wherever the Master Charge or Interbank symbols are displayed. It lets you charge for clothing, recreation, entertainment, travel, food and countless other items and services.

Cash advances are also available at Master Charge banks throughout the country. If you would like assistance or information, call (212) 762-4700. Use of this card by the holder is governed by the terms and conditions of the Master Charge Card agreement furnished upon issuance, as amended and supplemented from time to time. This card is the property of Chemical Bank and must be returned upon request.



CHEMICAL BANK
master charge
THE INTERBANK CARD

5263 0083 071 527

1263 CHEM 02-78
THEODORE R. GARRIS



THIS CARD IS THE PROPERTY OF AMERICAN EXPRESS COMPANY AND MUST BE RETURNED UPON REQUEST

PROTECTED IF YOU LOSE IT: You are fully protected against any fraudulent use of the card when you notify American Express before it is fraudulently used. Your maximum liability is \$50 should you fail to report its loss or theft.

CARDMEMBER'S SIGNATURE
X A000 1 518 1PE 240

Use of this card is governed by conditions set forth when issued. If found in U.S.A., please return to American Express P.O. Box 13767, Phoenix, Arizona 85002. Outside of U.S.A., return to nearest American Express office.

MADE IN U.S.A. 12-73

NOT TRANSFERABLE

SEE REVERSE SIDE

AMERICAN EXPRESS

045 3921872 B 600AX

VALID 09/74 THRU 02/75

R T NORTINGTON

* NOT VALID IF CANCELLED OR REVOKED

NEW YORK STATE
CERTIFICATE OF
REGISTRATION

SIGNATURE IN FULL (WRITE OWN FIRST NAME)

X R W Carr

PAS

3Y09A912191

45.00

TYPE

VEHICLE IDENT. NUMBER

INCLUDES ADD. CHG.

EXP. DTS

10/31/75

VEHICLE DESCRIPTION

GARRIS, ROBERT, W

1973 LINCO
20SD BR

33 DAVNPRT AV

4889 GAS

NEW ROCHELLE NY10805

WT/SEATS AND FUEL
PLATE NUMBER
968NLX

7222486 OCT 07 1974

ANNUAL CHG.

42.00

LNB236 DEPARTMENT OF
EWG327 MOTOR VEHICLES

VINCENT L TOFANY—Commissioner of Motor Vehicles
VOID IF ALTERED EXCEPT FOR CHANGE OF ADDRESS

NEW YORK STATE

SIGNATURE IN FULL (WRITE OWN FIRST NAME)

X

RENEWAL
CERTIFICATE
FOR

OWNER(S)

GARRIS, ROBERT, W

PAS

REGISTRATION

33 DAVNPRT AV

968NLX

NEW ROCHELLE NY10805

WHICH EXPIRES

10/31/75

BR 1973 LINCO B 20SD

COLOR YEAR MAKE CYL BODY

FUEL WT/SEATS VEHICLE IDENT. NUMBER

GAS 4889 3Y09A912191

NON-TRANSFERABLE

7222486 OCT 07 1974

42.00

LNB236 DEPARTMENT OF

EWG327 MOTOR VEHICLES

EWG 327

VINCENT L TOFANY—Commissioner of Motor Vehicles
VOID IF ALTERED EXCEPT FOR CHANGE OF ADDRESS

No.

8/16 1974 1-12
\$50.210

DAY TO THE ORDER OF Cash

Twenty Five DOLLARS

CHEMICAL BANK
1242 SECOND AVENUE
NEW YORK, N. Y. 10021 83

John B. B...

⑆0210⑈0012⑆ ⑈083⑈240179⑈

RALPH I PREUSS
10 WELSLEY ROAD
KVL CNTR NY 11570

Signature Ralph I Preuss

Area Code 516

Immediately say to the operator
—MY CREDIT CARD NUMBER IS—

157-8061 127 J

FOR CALLS BACK TO THE UNITED STATES, SEE REVERSE

1974
TELEPHONE
CREDIT CARD



5263 0083 077 627 07705

1263 CHEM 02-76
THEODORE R GARRIS

SALESMAN

STATE N.Y.

DRIVERS LICENSE NO.

MOBIL MOBIL OIL CORPORATION

DEALER ACCOUNT NUMBER 554 123 9

DATE 112914

STATE

PALISADE SC P
1433 PALISADE AVE
FORT LEE NJ 07024

CUSTOMER SIGNATURE X John B. B...

Cardholder agrees to pay to the issuer of the presented credit card the herein stated charges in accordance with the agreement between cardholder and said issuer.

PRODUCT OR SERVICE	QTY.	PRICE	AMOUNT
SAVING			7705
CAN			
REPAIR ORDER # T.B.A			
PRICES INCLUDE APPLICABLE FEDERAL & STATE EXCISE TAXES			
TOTAL MUST AGREE WITH AMOUNT IMPRINTED AT TOP			7705

CUSTOMER COPY

AMERICAN PLANNING CO.
OF N. Y. INC.
520 - 5TH AVENUE
NEW YORK, N. Y. 10036

PAY TO THE
ORDER OF

19
\$
DOLLARS

FIRST NATIONAL CITY BANK
FIFTH AVENUE AT 37TH ST.
NEW YORK, N.Y. 10018

1100019011-120210000081: 1104699511

HOWARD PRINTZ INC.
371 S. MAIN STREET
FREEPORT, N. Y. 11520

2203


50-399
214 72

19

PAY TO THE
ORDER OF

\$

DOLLARS

 Security National Bank
FREEPORT, N. Y. 11520

02021403991: 0721 0217 711

E.M.S. SALES CORP.
ONE STATION SQUARE
FOREST HILLS, NEW YORK 11375

139

50-399
214

19

PAY TO THE
ORDER OF \$

DOLLARS

CORRECT ENDORSEMENT IS RECEIPT



Security National Bank
FREEPORT, N. Y. 11520

61:0214 0399: 0721 0257 61

R. THEODORE GARRIS

187

RESERVE OUR NATIONAL WILDLIFE

PAY TO THE
ORDER OF

19

1-12
210 83

\$

DOLLARS

CHEMICAL BANK

1242 SECOND AVENUE, NEW YORK, N. Y. 10021

MEMO

⑆0210⑆0012⑆⑆083⑆240179⑆0187

MOUNTAIN LION

DEPOSIT RECORD

045-752-872-J Jan

PRINT NAME

PRINT ADDRESS

ACCOUNT CODE NO.

CHECKS AND OTHER ITEMS ARE RECEIVED FOR DEPOSIT
SUBJECT TO THE RULES AND REGULATIONS OF THIS BANK.

157 4241
GRAND CENTRAL
770 LEX AVE
60th

823 104-
47 TEL

188 PERSONAL

221 CAR

100 - Gumball

129 M L

7500

600 Rent

2100

100 MONEY

2200

INSTRUCTIONS INSIDE COVER

FOR YOUR CONVENIENCE, A PLACE TO RECORD AUTOMATIC PAYMENTS/DEPOSITS IS LOCATED IN BACK.

PLEASE BE SURE TO <u>DEDUCT</u> ANY PER CHECK CHARGES OR SERVICE CHARGES THAT MAY APPLY TO YOUR ACCOUNT										BALANCE	
CHECK NO.	DATE	CHECKS ISSUED TO OR DESCRIPTION OF DEPOSIT	[1] AMOUNT OF CHECK		✓ T	[2] CHECK FEE (IF ANY)	[3] AMOUNT OF DEPOSIT				
									1380	-	
									120	-	
		Loft Craft							1268		
									11 00		
							DEPOSIT		2380		
							Doc		14		
4/1/61		Honor \$100							2386		
									250		
		Pelican							2096		
		Dolores		250							
		Rent		600							

[illegible][illegible]

USA 33a-475
(ED. 4-23-71)

GOVT
EXHIBIT
U. S. DIST. COURT
S. D. OF N. Y.

20 ~~17~~

(1) R
RS
SS
MT LAST R Theodore FIRST Washington MIDDLE I (12)
1A

(2) DEBTOR: R Theodore Washington Individual Signature (13)
1B (14)
(15)

(3) ~~R Theodore Washington~~ AM EX (19)

(4) Robert Washington 4A

(5) Robert Washington BK AM (19)

(6) Robert Washington EM (18)

Robert Washington Letter 4/15/75 (18A) (7)

8 SIGNATURE IN FULL DRIVE USE OWN FIRST NAME! x R W Carr 24 AMOUNT PAID NO. WORTHINGTON ZIP
License (19) 8A YEA

(9) R Theodore Washington 1A

(10) MR
MRS
MISS Robert LAST WORTHINGTON FIRST
CITY OR TOWN

MIDDLE 1

tan

(12)

PRECEDOUS
ESS (14A)

(13)

NAME OF BANK
DIME SAVINGS Bank
TRADING TRUST Comp 1A

(14)

TRADING TRUST COMPANY

1A

(15)

ABOVE 8A

(16)

AND STREET
Davenport HOWARD
4A (25)

ingtan

Cash
(27) check (19)

(17)

AVE
ESS
14A

chyt

Cash
(28) 2/8/74
(13)

(18)

403
ESS
(14A)

ADDRESS
(26) ESS
(14A)

Letter #415/75

7/4/75
YEA

(19)

AVE 8B

Dumont Foods 5A

NUMBER AND STREET

Dumont Foods (29)

(20)

AVE 8B

FIRST
EDMANS (30)
RD 8A

1A

(21)

AVE 1A
COMPANY

(33) Green DIA 18A

Reilly Gorman Guards (35) 8A

(36) PAUL NAM

(37) PAUL IA

THEODORE GARRIS (38) 8A

LY APPLY TO THE AUTHORIZED TO EXCHANGE CREDIT INFORMATION RI
THE UNDERSIGNED. THIS STATEMENT SHALL REMAIN THE BANK'S PROPERTY
IF THE APPLICANT HAS INDICATED OTHERWISE BY A CHOICE OF A NON-
LIFE OF THE UNDERSIGNED APPLICANT TO THE EXTENT INDICATED IN THE
IF LOAN IS MADE OR WITHIN 30 DAYS THEREAFTER. THE BANK MAY INCL

X Theodore Garrison (39) 2

Theodore Garrison (40) 25
CHECK (A)

R T W letter 48/74 (41) 13

(42) New Rattelle IA

(45)

47 ADEN

48 Letter

Letter

49 Demo

50 Demo

NUMBER AND ST
DACUP

52 IA

Dear
54 Letter 4/

57 Ph

LA-

59

LA-
☐ RENTAL
☐ BENEFIT

8 States District Env 18
States Southern District

9 Dime Savings 4A

10 Dime Savings
ESS 14A 51 ESS 14A

NUMBER AND STREET
DASHVILLE 53
52 1A

Pay 8B

Dear Sir: Per
54 Letter 4/15/75 18A 55 8B

Proving Inc.

56 8A

7 The Park 58
ESS 14A

LA-Bottoms Rent
59 ESS 14A

NAME OF COMPANY
LA-Bottoms Rent 8A
☐ RENTAL ☐ DIVIDEND

(60) Beach 0B

Dime-Savings
Payment Authorization

(65) Star 11A

(67) Show 11A

(69) Park 10A

Park & Hill

Park & Hill
CHEMICAL

2

et Env 18
District

4A

Surge

51 ESS 14A

Donk - Surin (64)
Payment Authorization 11A

Pay

8B

(65) Start (66)
11A

Perit

(67) Show (68)
11A

55 8B

(69) Perit (70)
10A

ing Laco.

8A

Putnam L. Hill Surge (71)
10A

58

ESS 14A

Putnam L. Hill (72)
10A

Perit

ESS 14A

Perit 8A

8B

607
EXHIBIT

U. S. DIST. COURT

S. D. OF N. Y.
21 - 10

812

State of New York }
DEPARTMENT OF STATE } ss.:

It is Hereby Certified, That

the records of this Department show that

AMERICAN PLANNING CO. OF N.Y. INC.

the Certificate of Incorporation of which was filed on the seventh day of March, 1961, was dissolved by proclamation of the Secretary of State published on the fifteenth day of December, 1965, pursuant to Section 203-A of the Tax Law, and that such dissolution has not been annulled.

Witness my hand and the official seal of the
Department of State at the City of
Albany, this thirteenth day
of May one thousand

STATE OF NEW YORK }
DEPARTMENT OF STATE } ss.:

I HEREBY CERTIFY, That I have made diligent examination of the
index of corporation certificates filed by this department for
a Certificate of Incorporation of

AMER PLAN INC.

and that upon such examination, I find no such Certificate of Incorporation

----- on file in this department.

WITNESS my hand and the official seal of the

Department of State at the City of

Albany, this thirteenth day

of May one thousand nine

hundred and seventy-five.

Frank M. Lyons

Secretary of State

STATE OF NEW YORK }
 DEPARTMENT OF STATE } ss.:

I HEREBY CERTIFY, That I have made diligent examination of the index of corporation certificates filed by this department for a Certificate of Incorporation of

AMERICAN PLANNING INCORPORATED

and that upon such examination, I find no such Certificate of Incorporation

----- on file in this department.

WITNESS my hand and the official seal of the

Department of State at the City of

Albany, this thirteenth day

of May one thousand nine

hundred and seventy-five.

Frank S. McLean

Secretary of State

DEFENDANT'S

EXHIBIT

U.S. DIST. COURT
S.D. OF N. Y.

A-~~10~~

american planning corp

american planning corp

american planning corp

american planning corp

american planning corp

Robert Worthington₁

Robert Worthington₂

Robert Worthington₃

Robert Worthington⁹

Robert Worthington¹⁰

Robert Worthington¹¹

Robert Worthington¹²

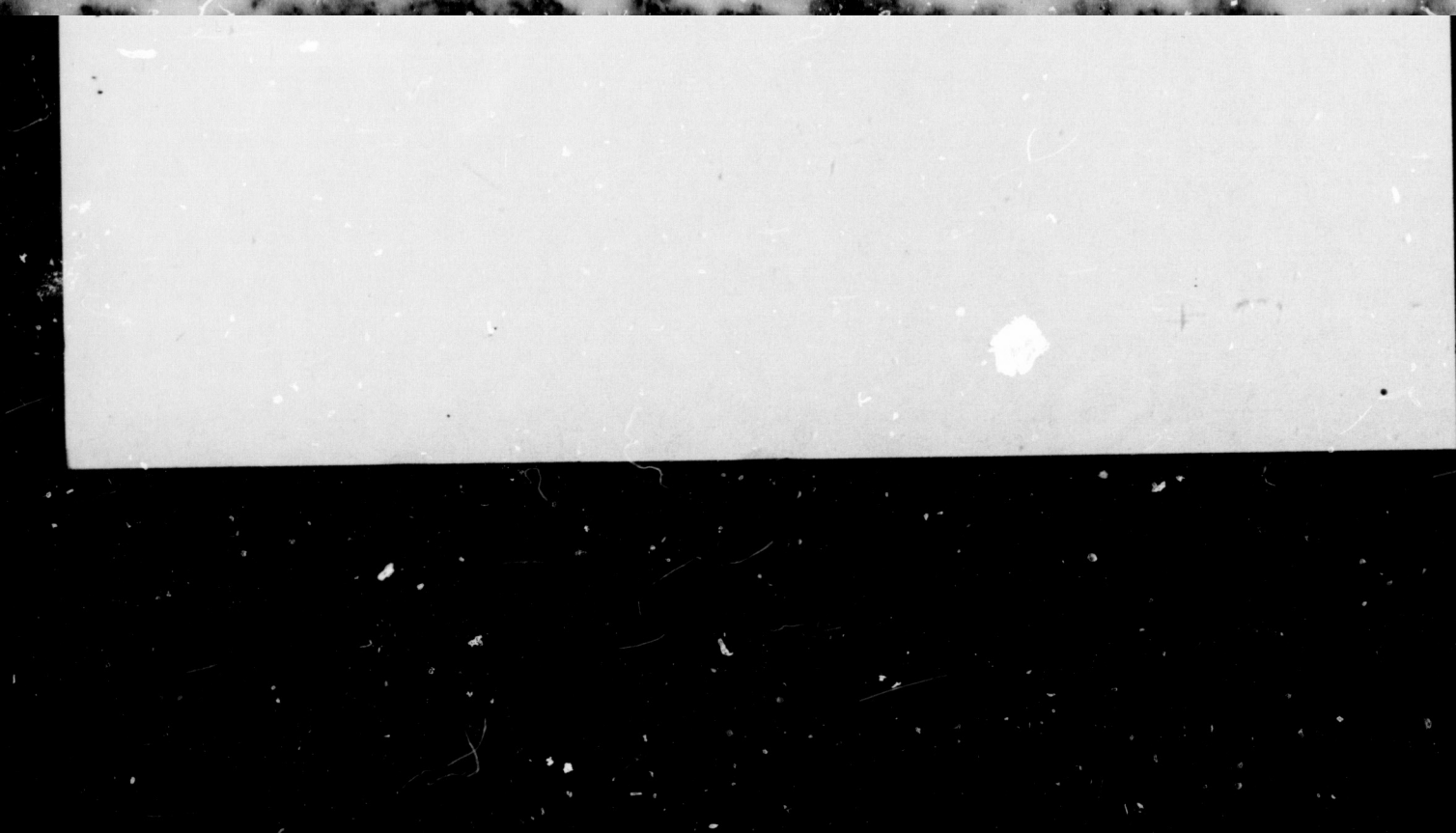
Robert Worthington¹³

1211

IRVING TRUST Company

IRVING TRUST Company

IRVING TRUST Company



(10) Robert WORTHINGTON
LAST FIRST
CITY OR TOWN
4A

(11) William P. FREEDMAN
ESS (14A)

47 DAVENPORT STR.
FANT

1A

(26) AJO 8B

(21) AJO 1A
IMPANY

(22) AJS 1B

(23) AVE
AUTO ☐ NO
8A

(24) AJO
8A

Edman (29)

Edmans (30)
FIRST
ST CITY
Rd 8A

A Edman 8B (31)

re (not living with you):

A. Edman
me (32) 8B

+ \$712.

(42) New Rattelle
1A

43 World Trade
ADDRESS

4A
(44) Unconcern 8B

(45)

Williamburg
14A

Squire

letter 4/15/75 (46)
12A

NAT
LA-8

☐ RENTAL
☐ DISCOUNT

(60)

(61)

(62) ENT

(63)

NAME OF COMPANY
LA-Portero Reit 8A
☐ RENTAL ☐ DIVIDEND

(60) BEACH 8B
(61) _b State

(62) ^{ENT} BROAD 1A
_{(1) RENTAL}

(63) Blanc H 1A

50 R-17 8A

1
late 8B

1A

45
1A

Witness my hand and the official seal of the
Department of State at the City of
Albany, this thirteenth day
of May one thousand
nine hundred and seventy-five.

Wm. M. Wilson
Secretary of State

Handwritten text, possibly "General Nelson"

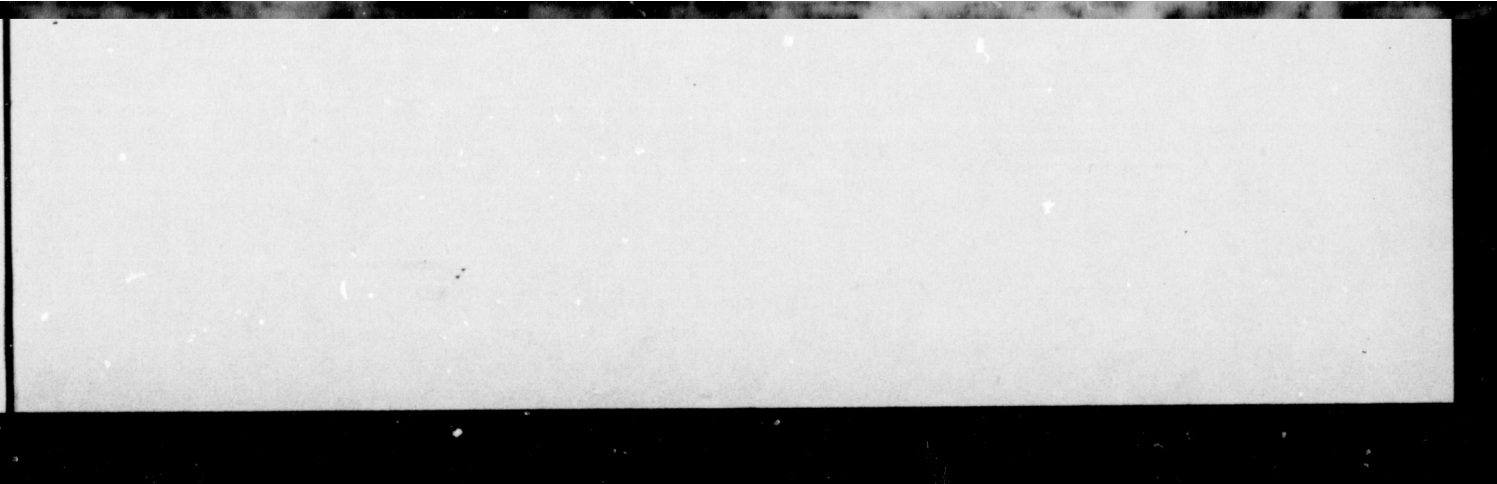
Secretary of State

R 662-527

Walter Wilson

Secretary of State

R 662-527



PRZ
1/16/70

for
1/10/75

POC
5/16/70

POC
5/16/75

x

R Theodore Worthington¹⁷

R Theodore Worthington¹⁸

R Theodore Worthington¹⁹

auth.

AL
1/16/75

R Theodore Worthington²⁰

R Theodore Worthington²¹

R Theodore Worthington²²

R Theodore Worthington²³

R Theodore Worthington²⁴

PC
5/16/75

Phil Use the information that is available
to you to phil in the Spacer Then
Submit this application to Bankers
Trust 65 and 13rd ave across
from Oscars

Previous address

LA Boheme Inc

Dumont Foods

Dumont Foods

Dumont Foods

LA

LA BOHEME RESTAURANT

LA BOHEME RESTAURANT

LA. BOHEME RESTAURANT

for
5/16/77

IRVING TRUST Company

AMERICAN Planning Comp

for
5/16/70

Горный Миллс

Горный Миллс

Горный Миллс

for
1/16/75

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

R Theodore GARRIS

RC
5/16/75

11

marine midland

marine midland

10/75
MC

Dumont Foods

Dumont Foods

Dumont Foods

Dumont Foods

\$16.75
per

COPY RECEIVED
AUG 7 1975
U. S. ATTORNEY
SO. DIST. N.Y.

CURRAN